

Queensland



Queensland Office of Financial Supervision Act 1992

QUEENSLAND OFFICE OF FINANCIAL SUPERVISION REGULATION 1992

**Reprinted as in force on 1 August 1992
(Regulation not amended up to this date)**

Reprint No. 1

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the Office of the Queensland Parliamentary Counsel
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Information about this reprint

This regulation is reprinted as at 1 August 1992.

Also see Endnotes for—

- details about when provisions commenced; and
- any provisions that have not commenced and are not incorporated in the reprint.

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QUEENSLAND OFFICE OF FINANCIAL SUPERVISION REGULATION 1992

TABLE OF PROVISIONS

Section		Page
1	Short title	3
2	Commencement	3
3	Relevant interest in securities (s.52(2))	3
4	Register of Financial Interests (s.52(3))	3
5	Prescribed changes in financial interests (s.52(6))	4
6	Meaning of "associate"	4

ENDNOTES

1	Index to Endnotes	5
2	Date to which amendments incorporated	5
3	List of legislation	5

QUEENSLAND OFFICE OF FINANCIAL SUPERVISION REGULATION 1992

[reprinted as in force on 1 August 1992²]

Short title

1. This regulation may be cited as the *Queensland Office of Financial Supervision Regulation 1992*³.

Commencement

2. This regulation commences on 1 July 1992.

Relevant interest in securities (s.52(2))

3. A person has a relevant interest in securities if the person has a relevant interest in the securities for the purposes of the Corporations Law.

Register of Financial Interests (s.52(3))

4. The Register of Financial Interests must, so far as it relates to relevant interests in securities, contain the following information—

- (a) the name of the person who has the relevant interest and whether that person is a director or employee of QOFS;
- (b) the name of the body corporate to which the securities relate;
- (c) the nature of the relevant interest and the nature and number of the securities to which the relevant interest relates;
- (d) the date of the acquisition of the relevant interest and, if applicable—
 - (i) the date of any change in the nature of the relevant interest; or
 - (ii) the date of disposal of the relevant interest;
- (e) if the securities are not registered in the name of the person who

has the relevant interest—the name of the person who is registered as the holder of the securities.

Prescribed changes in financial interests (s.52(6))

5. Section 52(6) of the Act does not apply to a change in a financial interest of a prescribed person if—

- (a) in the case of a change in a financial interest consisting of withdrawable shares or the deposit of money—the change is a non-reportable cash transaction, or a non-reportable currency transfer, within the meaning of the *Cash Transaction Reports Act 1988* (Commonwealth); and
- (b) in the case of a change in a financial interest consisting of a current account or of an overdraft or credit card arrangement—the change was given on the financial institution’s usual commercial terms.

Meaning of “associate”

6. For the purposes of section 53(7) of the Act, the question whether a prescribed person is associated with a person or body is to be determined under section 4 of the Financial Institutions Code.

ENDNOTES

1 Index to Endnotes

		Page
2	Date to which amendments incorporated	5
3	List of legislation	5

2 Date to which amendments incorporated

This is the day mentioned in section 5(c) of the *Reprints Act 1992*. However, no amendments have commenced operation before that day. Future amendments of the *Queensland Office of Financial Supervision Regulation 1992* may be made in accordance with this reprint because of section 49 of the *Reprints Act 1992*.

3 List of legislation

Queensland Office of Financial Supervision Regulation 1992 SL No 183
 pubd Gaz 26 June 1992 pp 2067–9
 ss 1–2 commenced on date of publication
 remaining commenced 1 July 1992 (see s 2)