



*Introduction Agents Act 2001*

# **Introduction Agents Regulation 2002**

**Current as at 1 July 2017**





Queensland

# Introduction Agents Regulation 2002

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# Introduction Agents Regulation 2002

## 1 Short title

This regulation may be cited as the *Introduction Agents Regulation 2002*.

## 2 Commencement

This regulation commences on 17 February 2003.

## 3 Refund of fees

- (1) Subsection (2) applies if—
  - (a) an applicant applies for a licence and—
    - (i) the chief executive refuses to grant the licence; or
    - (ii) the applicant withdraws the application for the licence before the chief executive has granted, or refused to grant, the licence; or
  - (b) a licensee applies for a renewal of the licensee's licence and—
    - (i) the chief executive refuses to renew the licence; or
    - (ii) the licensee withdraws the application for the renewal of the licence before the chief executive has granted, or refused to grant, the renewal of the licence; or
  - (c) a licensee applies for the replacement of a lost, stolen or destroyed licence and—
    - (i) the chief executive refuses to replace the licence; or
    - (ii) the licensee withdraws the application for the replacement of the licence before the chief executive has replaced, or refused to replace, the licence.

- (2) The chief executive may, after deducting a reasonable amount to cover the cost of processing the application, refund a part of the fee paid by the applicant or licensee.
- (3) Subsection (4) applies if a licensee surrenders the licensee's licence before the licence expires and complies with section 31(3) of the Act.
- (4) The chief executive may refund a part of a fee for an application for the licence or for renewal of the licence.
- (5) In deciding whether to refund part of the fee under subsection (4), and any amount to be refunded, the chief executive must have regard to the cost of processing the relevant application and the unexpired term of the licence.

#### **4 Other information to be contained in register of licences—Act, s 33**

For section 33(2)(b) of the Act, the following information is prescribed—

- (a) for a licence held by an individual—
  - (i) the individual's name; and
  - (ii) if the individual carries on the business of an introduction agent under a business name—the business name;
- (b) for a licence held by a group of individuals—
  - (i) the individuals' names; and
  - (ii) if the group of individuals carries on the business of an introduction agent under a business name—the business name;
- (c) for a licence held by a corporation—
  - (i) the corporation's name and Australian company number; and
  - (ii) if the corporation carries on the business of an introduction agent under a business name—the business name;
- (d) for any licence—

- 
- (i) the name of any associated person of the licensee; and
  - (ii) any internet address of the licensee for the business of an introduction agent.

**5 Statement to be included in an introduction agreement—  
Act, s 44(1)(b)(iii)**

- (1) For section 44(1)(b)(iii) of the Act, the following statement is prescribed—

‘A cooling-off period applies to this introduction agreement!

You, the client, may end this introduction agreement during the cooling-off period.

If you choose to end this introduction agreement, you must indicate to the introduction agent your intention to do so before the end of 3 (three) clear business days after you receive a copy of the introduction agreement signed by you and the introduction agent.

For example, if you receive a copy of the introduction agreement signed by you and the introduction agent at 2p.m. on Monday, to end the agreement, you must indicate to the introduction agent your intention to end the agreement by the end of Thursday, assuming that Monday to Thursday are all business days.

You may end this introduction agreement by signing the notice on the last page, detaching it, and giving it to the introduction agent.’.

- (2) The statement in subsection (1) must—
- (a) if printed or typewritten, be at least 16 point font size; or
  - (b) if handwritten, be in upper case letters at least 4mm high.

**6 Other requirements prescribed for an introduction agreement—Act, s 44(1)(c)**

- (1) For section 44(1)(c) of the Act, an introduction agreement must include the following form of notice as the last page of the agreement—

**‘Cancellation of Agreement during cooling-off period**

I, \_\_\_\_\_, [client’s name] of  
\_\_\_\_\_, [client’s address]  
exercise my rights under section 58 of the *Introduction Agents Act 2001* to end this introduction agreement during the cooling-off period. I exercise my rights by signing this notice and giving it to you.

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

Information to be provided by introduction agent

\_\_\_\_\_ [Introduction agent’s name]

\_\_\_\_\_ [Introduction agent’s address]

\_\_\_\_\_ [Introduction agent’s facsimile number, if any]

\_\_\_\_\_ [Introduction agent’s telex number, if any]’.

- (2) The form of notice in subsection (1) must—
- (a) if printed or typewritten, be at least 16 point font size; or
  - (b) if handwritten, be in upper case letters at least 4mm high.

**8 Fees**

The fees payable under the Act are stated in schedule 1.

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**Schedule 1      Fees****section 8**

	<b>\$</b>
1    Application for a licence under section 19(2)(c)(i) of the Act for a term of—	
(a) 1 year	748.10
(b) 2 years	1,336.00
(c) 3 years	2,013.00
2    Application for renewal of a licence under section 25(2)(c)(i) of the Act for a term of—	
(a) 1 year	748.10
(b) 2 years	1,336.00
(c) 3 years	2,013.00
3    Application for the replacement of a licence under section 30(2) of the Act	76.00
4    Certificate under section 33(5) of the Act certifying as to a matter relating to the contents of the register	33.30

## 1 Index to endnotes

- 2 Key
- 3 Table of reprints
- 4 List of legislation
- 5 List of annotations

## 2 Key

### Key to abbreviations in list of legislation and annotations

Key	Explanation	Key	Explanation
<b>AIA</b>	= <b>Acts Interpretation Act 1954</b>	<b>(prev)</b>	= <b>previously</b>
<b>amd</b>	= <b>amended</b>	<b>proc</b>	= <b>proclamation</b>
<b>amd</b>	= <b>amendment</b>	<b>prov</b>	= <b>provision</b>
<b>t</b>			
<b>ch</b>	= <b>chapter</b>	<b>pt</b>	= <b>part</b>
<b>def</b>	= <b>definition</b>	<b>pubd</b>	= <b>published</b>
<b>div</b>	= <b>division</b>	<b>R[X]</b>	= <b>Reprint No. [X]</b>
<b>exp</b>	= <b>expires/expired</b>	<b>RA</b>	= <b>Reprints Act 1992</b>
<b>gaz</b>	= <b>gazette</b>	<b>reloc</b>	= <b>relocated</b>
<b>hdg</b>	= <b>heading</b>	<b>renu</b>	= <b>renumbered</b>
		<b>m</b>	
<b>ins</b>	= <b>inserted</b>	<b>rep</b>	= <b>repealed</b>
<b>lap</b>	= <b>lapsed</b>	<b>(retro</b>	= <b>retrospectively</b>
		<b>)</b>	
<b>notf</b>	= <b>notified</b>	<b>rv</b>	= <b>revised version</b>
<b>d</b>			
<b>num</b>	= <b>numbered</b>	<b>s</b>	= <b>section</b>

<b>Key</b>	<b>Explanation</b>	<b>Key</b>	<b>Explanation</b>
<b>o in c</b>	= <b>order in council</b>	<b>sch</b>	= <b>schedule</b>
<b>om</b>	= <b>omitted</b>	<b>sdiv</b>	= <b>subdivision</b>
<b>orig</b>	= <b>original</b>	<b>SIA</b>	= <b>Statutory Instruments Act 1992</b>
<b>p</b>	= <b>page</b>	<b>SIR</b>	= <b>Statutory Instruments Regulation 2012</b>
<b>para</b>	= <b>paragraph</b>	<b>SL</b>	= <b>subordinate legislation</b>
<b>prec</b>	= <b>preceding</b>	<b>sub</b>	= <b>substituted</b>
<b>pres</b>	= <b>present</b>	<b>unnum</b>	= <b>unnumbered</b>
		<b>m</b>	
<b>prev</b>	= <b>previous</b>		

### 3 Table of reprints

A new reprint of the legislation is prepared by the Office of the Queensland Parliamentary Counsel each time a change to the legislation takes effect.

The notes column for this reprint gives details of any discretionary editorial powers under the **Reprints Act 1992** used by the Office of the Queensland Parliamentary Counsel in preparing it. Section 5(c) and (d) of the Act are not mentioned as they contain mandatory requirements that all amendments be included and all necessary consequential amendments be incorporated, whether of punctuation, numbering or another kind. Further details of the use of any discretionary editorial power noted in the table can be obtained by contacting the Office of the Queensland Parliamentary Counsel by telephone on 3003 9601 or email [legislation.queries@oqpc.qld.gov.au](mailto:legislation.queries@oqpc.qld.gov.au).

From 29 January 2013, all Queensland reprints are dated and authorised by the Parliamentary Counsel. The previous numbering system and distinctions between printed and electronic reprints is not continued with the relevant details for historical reprints included in this table.

<b>Reprint No.</b>	<b>Amendments included</b>	<b>Effective</b>	<b>Notes</b>
1	none	17 February 2003	
1A	2003 SL No. 143	1 July 2003	

<b>Reprint No.</b>	<b>Amendments included</b>	<b>Effective</b>	<b>Notes</b>
1B	2004 SL No. 13	12 March 2004	
1C	2004 SL No. 103	1 July 2004	
1D	2005 SL No. 135	1 July 2005	
1E	2006 SL No. 162	1 July 2006	
1F	2007 SL No. 149	1 July 2007	R1F withdrawn, see R2
2	—	1 July 2007	
2A	2008 SL No. 266	1 September 2008	
2B	2009 SL No. 120	1 July 2009	
2C	2010 SL No. 128	1 July 2010	
2D	2011 SL No. 115	1 July 2011	
2E	2012 SL No. 102	13 July 2012	

<b>Current as at</b>	<b>Amendments included</b>	<b>Notes</b>
1 July 2013	2013 SL No. 122	
1 July 2014	2014 SL No. 128	
1 July 2015	2015 SL No. 53	
1 July 2016	2016 SL No. 85	
1 July 2017	2017 SL No. 109	RA s 35

## **4 List of legislation**

### **Regulatory impact statements**

For subordinate legislation that has a regulatory impact statement, specific reference to the statement is included in this list.

### **Explanatory notes**

All subordinate legislation made on or after 1 January 2011 has an explanatory note. For subordinate legislation made before 1 January 2011 that has an explanatory note, specific reference to the note is included in this list.

**Introduction Agents Regulation 2002 SL No. 355**

made by the Governor in Council on 12 December 2002

notfd gaz 13 December 2002 pp 1266–9

ss 1–2 commenced on date of notification

remaining provisions commenced 17 February 2003 (see s 2)

exp 31 August 2018 (see SIA s 56A(2) and SIR s 3 sch 2 pt 2)

Notes—(1) The expiry date may have changed since this reprint was published. See the latest reprint of the SIR for any change.

(2) A regulatory impact statement and explanatory note were prepared. amending legislation—

**Tourism, Racing and Fair Trading (Fees) Amendment Regulation (No. 1) 2003 SL No. 143 ss 1–3 sch**

notfd gaz 27 June 2003 pp 749–56

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2003 (see s 2)

**Introduction Agents Amendment Regulation (No. 1) 2004 SL No. 13**

notfd gaz 12 March 2004 pp 966–7

commenced on date of notification

**Tourism, Fair Trading and Wine Industry Development (Fees) Amendment Regulation (No. 1) 2004 SL No. 103**

notfd gaz 25 June 2004 pp 573–81

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2004 (see s 2)

**Tourism, Fair Trading and Wine Industry Development (Fees) Amendment Regulation (No. 1) 2005 SL No. 135**

notfd gaz 24 June 2005 pp 639–45

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2005 (see s 2)

**Tourism, Fair Trading and Wine Industry Development (Fees) Amendment Regulation (No. 1) 2006 SL No. 162**

notfd gaz 30 June 2006 pp 1060–7

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2006 (see s 2)

**Tourism, Fair Trading and Wine Industry Development (Fees) Amendment Regulation (No. 1) 2007 SL No. 149 ss 1, 2(2), 3 sch**

notfd gaz 29 June 2007 pp 1157–65

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2007 (see s 2(2))

**Justice and Other Legislation (Fees) Amendment Regulation (No. 1) 2008 SL No. 266**

notfd gaz 22 August 2008 pp 2651–6

ss 1–2 commenced on date of notification

remaining provisions commenced 1 September 2008 (see s 2)

**Fair Trading (Fees) Amendment Regulation (No. 1) 2009 SL No. 120**

notfd gaz 26 June 2009 pp 831–7

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2009 (see s 2)

**Fair Trading and Other Legislation Amendment Regulation (No. 1) 2010 SL No. 128 pts 1, 13**

notfd gaz 18 June 2010 pp 529–35

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2010 (see s 2)

**Justice (Fees) Amendment Regulation (No. 1) 2011 SL No. 115**

notfd gaz 1 July 2011 pp 589–96

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2011 (see s 2)

**Justice Legislation (Fees) Amendment Regulation (No. 1) 2012 SL No. 102**

notfd gaz 13 July 2012 pp 820–5

ss 1–2 commenced on date of notification

remaining provisions commenced 13 July 2012 (see s 2)

**Justice Legislation (Fees) Amendment Regulation (No. 1) 2013 SL No. 122**

notfd gaz 28 June 2013 pp 739–47

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2013 immediately after the Uniform Civil Procedure and Another Rule Amendment Rule (No. 1) 2013 (see s 2)

**Justice Legislation (Fees) Amendment and Repeal Regulation (No. 1) 2014 SL No. 128 ss 1–2(1), 3 sch**

notfd <[www.legislation.qld.gov.au](http://www.legislation.qld.gov.au)> 27 June 2014

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2014 (see s 2(1))

**Justice Legislation (Fees) Amendment Regulation (No. 1) 2015 SL No. 53 pts 1, 26**

notfd <[www.legislation.qld.gov.au](http://www.legislation.qld.gov.au)> 26 June 2015

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2015 (see s 2)

**Justice Legislation (Fees) Amendment Regulation (No. 1) 2016 SL No. 85 pts 1, 27**

notfd <[www.legislation.qld.gov.au](http://www.legislation.qld.gov.au)> 17 June 2016

ss 1–2 commenced on date of notification

pt 27 commenced 1 July 2016 (see s 2)

**Justice Legislation (Fees) Amendment Regulation (No. 1) 2017 SL No. 109**

notfd <[www.legislation.qld.gov.au](http://www.legislation.qld.gov.au)> 30 June 2017

ss 1–2 commenced on date of notification

pt 28 commenced 1 July 2017 (see s 2)

## **5 List of annotations**

### **Refund of fees**

s 3 amd 2004 No. 13 s 3

### **Statement to be included in an introduction agreement—Act, s 44(1)(b)(iii)**

s 5 amd 2004 No. 13 s 4

### **Other requirements prescribed for an introduction agreement—Act, s 44(1)(c)**

s 6 amd 2004 No. 13 s 5

### **Licence to be conspicuously displayed**

s 7 om 2004 No. 13 s 6

### **Fees**

s 8 amd 2017 SL No. 109 s 60

### **SCHEDULE 1—FEES**

**sch 1** (prev sch) amd 2003 SL No. 143 s 3 sch

sub 2004 SL No. 103 s 3 sch; 2005 SL No. 135 s 3 sch; 2006 SL No. 162 s 3 sch;  
2007 SL No. 149 s 3 sch; 2008 SL No. 266 s 3 sch; 2009 SL No. 120 s 3 sch;  
2010 SL No. 128 s 28; 2011 SL No. 115 s 3 sch; 2012 SL No. 102 s 3 sch; 2013  
SL No. 122 s 3 sch; 2014 SL No. 128 s 3 sch; 2015 SL No. 53 s 52; 2016 SL No.  
85 s 54; 2017 SL No. 109 s 61