

Queensland

Energy Ombudsman Bill 2006



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Energy Ombudsman Bill 2006

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A Bill

for

An Act to provide for the investigation into and resolution of particular disputes involving energy entities, or particular former energy entities, and for other purposes

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The Pa	rliamen	t of Queensland enacts—	1
Part ⁻	1	Preliminary	2
Divisi	on 1	Introduction	3
1	Short tit This	tle s Act may be cited as the <i>Energy Ombudsman Act 2006</i> .	4 5
2	Comme	encement	6
		s Act, other than the following provisions, commences on by to be fixed by proclamation—	7 8
	•	part 1, heading	9
	•	section 3	10
	•	division 2	11
	•	part 9, heading and divisions 1 and 2	12
	•	the schedule.	13
3	Main pu	Irpose of Act	14
	relev	main purpose of this Act is to give small customers and vant occupiers of land a timely, effective, independent and way of—	15 16 17
	(a)	referring disputes about particular matters involving energy entities and particular former energy entities; and	18 19
	(b)	having the disputes investigated and resolved.	20

Div	ision	2	Interpretation	1
4	Dic	tiona	ary	2
			dictionary in the schedule defines particular words used nis Act.	3 4
5	Wh	at is	an <i>energy Act</i>	5
		An 6 200.	energy Act is the Electricity Act 1994 or Gas Supply Act 3.	6 7
6	Wh	o is a	a small customer	8
	(1)	-	erson is a <i>small customer</i> if, under an energy Act, the on is a small customer for premises.	9 10
	(2)	incl	remove any doubt, it is declared that the term does not ude a person who, under an energy Act, is a receiver of an supplier.	11 12 13
7	Wh	at is	an <i>energy entity</i>	14
		An	energy entity is—	15
		(a)	a distributor; or	16
		(b)	a retailer; or	17
		(c)	a special approval holder whose special approval authorises the holder to provide customer connection services or customer retail services under the <i>Electricity Act 1994</i> .	18 19 20 21
8	Wh	at is	an energy entity function	22
		An e	energy entity function is a function or obligation that—	23
		(a)	is performed or required or permitted to be performed by an energy entity under or in relation to—	24 25
			(i) an energy Act; or	26
			(ii) an industry code; or	27

		(iii) a connection contract or retail contract; and	1
	(b)	concerns customer connection services or customer retail services.	2 3
	Exam	ples—	4
	•	billing under a connection contract or retail contract	5
	•	connection or disconnection of the services	6
	•	security deposits for the services	7
	•	the reliability or quality of the services	8
	•	the carrying out of vegetation management, including for example, clearing, removing, lopping or pruning trees or other vegetation, authorised under an energy Act	9 10 11
	•	the connection, supply or sale, or the failure to connect supply or sell, electricity or processed natural gas, as required under an energy Act or a licence or agreement	12 13 14
	•	conduct relating to the formation of a negotiated contract	15
Ref	erenc	ces to functions	16
	In thi	is Act—	17
	(a)	a reference to a function includes a reference to a power; and	18 19

(b) a reference to performing a function includes a 20 reference to exercising a power. 21

Par	t 2 The energy ombudsman	22
10	Establishment	23
	There is to be an energy ombudsman.	24
	Note—	25
	For particular provisions about the energy ombudsman, see part 7.	26
11	Functions	27
	(1) The energy ombudsman's functions are—	28

	(a)	to receive and investigate, and facilitate the resolution of, disputes referred under this Act to the energy ombudsman; and	1 2 3
		Note—	4
		For what disputes may be referred and who may refer them, see sections 18, 19 and 20.	5 6
	(b)	to resolve the disputes if they can not be resolved by agreement, negotiation or mediation; and	7 8
	(d)	to promote the operation of this Act to small customers and relevant occupiers of land; and	9 10
	(e)	to identify systemic issues arising out of complaints anyone makes to the ombudsman; and	11 12
	(f)	the other functions conferred on the energy ombudsman under any Act.	13 14
(2)		vever, the performance of the energy ombudsman's etions is subject to sections 12 and 13.	15 16
Ge	neral	restrictions on functions	17
(1)		energy ombudsman can not accept a referral about or stigate any of the following—	18 19
	(a)	the fixing of—	20
		(i) tariffs for customer connection services or customer retail services; or	21 22
		(ii) charging categories for the tariffs; or	23
		(iii) methodologies for fixing the tariffs or categories;	24
	(b)	the fairness or reasonableness of the terms of a connection contract or retail contract;	25 26
	(c)	disputes between small customers and energy entities about eligibility for State government concessions or rebates;	27 28 29
	(d)	a commercial activity carried out by an energy entity if the activity is outside the scope of any energy Act authority held by the entity;	30 31 32

	(e)	the content of government policies or of legislation, an energy Act authority or an industry code;	1 2
	(f)	a thing required to be done under an energy Act or an order in a proceeding;	3 4
		Example—	5
		a restriction on the supply of electricity required under an emergency rationing order under the <i>Electricity Act 1994</i>	6 7
	(g)	customer contribution to the cost of capital works;	8
	(h)	a dispute between 2 or more entities of the following types of entity—	9 10
		(i) energy entities;	11
		(ii) electricity entities under the <i>Electricity Act 1994</i> ;	12
		(iii) special approval holders;	13
		(iv) industry participants under the Gas Supply Act 2003;	14 15
	(i)	a matter that has already been decided by a proceeding;	16
	(j)	a matter the subject of an unfinished proceeding started before the referral.	17 18
(2)	How	vever, subsection (1)(j) does not apply if—	19
	(a)	the energy ombudsman and the parties to the proceeding agree that the ombudsman may investigate the matter; or	20 21
	(b)	an order in the proceeding requires the energy ombudsman to investigate the matter.	22 23
(3)	In th	nis section—	24
	proc	ceeding includes arbitration.	25
	tarij	ffs includes charges and prices.	26
	clusi ver le	on of disputes relating to community ambulance evy	27 28
(1)		energy ombudsman's functions do not apply to a dispute veen an energy entity and a small customer about—	29 30
	(a)	the performance of a function under the Ambulance Cover Act; or	31 32

	(b)	the performance of a function under the <i>Electricity Act</i> 1994, to the extent that the performance of the function is required, permitted or otherwise provided for under the Ambulance Cover Act.	1 2 3 4
(2)	disp subs	erson can not make a dispute referral if the relevant ute is, or is in the nature of, a dispute that, because of section (1), is not included in the energy ombudsman's stions.	5 6 7 8
(3)		nout limiting subsection (2), a person can not make a ute referral about—	9 10
	(a)	the levy; or	11
	(b)	the levy amount paid or payable by a person; or	12
	(c)	the collection of a levy amount; or	13
	(d)	the collection of an amount for electricity, if the dispute arises in connection with the collection of a levy amount.	14 15 16
(4)	This	s section does not limit or otherwise affect section 12.	17
(5)	In th	nis section—	18
		bulance Cover Act means the Community Ambulance er Act 2003.	19 20
		means the community ambulance cover levy under the pulance Cover Act.	21 22
	-	<i>amount</i> means a levy amount under the Ambulance er Act.	23 24
Ob	ligati	ons in performing functions	25
		energy ombudsman must, in performing the oudsman's functions, act independently, impartially and in public interest.	26 27 28
Ge	neral	powers	29
		nout limiting the energy ombudsman's specific powers	30

under this Act, the ombudsman may do anything necessary or convenient to be done for, or in connection with, the ombudsman's functions.

6	En	ergy ombudsman not subject to direction	1
		Subject to section 74, the energy ombudsman is not subject to direction by anyone about—	2 3
		(a) the way the energy ombudsman performs the energy ombudsman's functions; or	4 5
		(b) decisions on dispute referrals; or	6
		(c) the priority given to investigations or the resolution of dispute referrals.	7 8
17	Co	nsultation with advisory council	9
	(1)	The energy ombudsman must consult with the advisory council, as required under section 28(6), 74 or 75.	10 11
	(2)	If—	12
		(a) the advisory council gives the energy ombudsman advice; and	13 14
		(b) the advice is given because of consultation required under subsection (1) or is within the council's functions;	15 16
		the energy ombudsman must consider the advice in performing functions under this Act.	17 18

Part 3 Dispute referrals

18	Disputes that may be referred to energy ombudsman					
	(1)	This section applies if a dispute as follows exists—	21			
		 (a) a dispute between a small customer and an energy entity about its performance of an energy entity function (the <i>relevant function</i>) if the dispute relates to premises for which the customer is a small customer; 	22 23 24 25			
		Example—	26			
		a dispute about whether, under an energy Act, the entity must provide customer connection services or customer retail services	27 28			

	(b)	land by 1	spute between an energy entity and an occupier of l onto which the entity, or an individual authorised the entity, enters, or proposes to enter, under an rgy Act (also the <i>relevant function</i>).	1 2 3 4
(2)	stops	s bei	ion continues to apply even if the relevant entity ng an energy entity after the performance of the unction.	5 6 7
(3)			section 19, either party to the dispute may refer it to ombudsman.	8 9
(4)	an e	energy	n (5) applies if the dispute is about whether, under Act, the relevant entity must provide customer n services or customer retail services.	10 11 12
(5)	the s	servic	ction $(1)(a)$, the customer is a small customer if, had es been provided, the customer would have been a comer for the premises.	13 14 15
Re	strict	ions	on disputes that can be referred	16
(1)	a re	eferra	a dispute mentioned in section 18(1) can not make l under that section if any of the following nces apply—	17 18 19
	(a)		relevant dispute relates to the <i>Electricity Act 1994</i> , pter 5A;	20 21
	(b)		ion 12 or 13 prevents the proposed referral from 12 made;	22 23
	(c)		nonths have passed since the later of the following to pen—	24 25
		(i)	the performance of the relevant function;	26
		(ii)	the party becoming aware of the performance of the relevant function;	27 28
	(d)	the	relevant dispute may be dealt with under—	29
		(i)	the Queensland Competition Authority Act 1997; or	30 31
		(ii)	the <i>Electricity—National Scheme (Queensland)</i> <i>Act 1997</i> ; or	32 33
		(iii)	the Gas Pipelines Access (Queensland) Law;	34

s 19

	(e)		energy ombudsman has already made a decision on arlier dispute referral and—	1 2
		(i)	the parties to the earlier dispute referral are the same as the parties to the relevant dispute; and	3 4
		(ii)	the proposed dispute referral is the same, or substantially the same, as the earlier dispute referral;	5 6 7
	(f)	mad	party is a non-entity party and the energy oudsman is reasonably satisfied the party has not le a genuine attempt to resolve the matter with the vant entity;	8 9 10 11
	(g)		relevant entity has stopped being an energy entity for e than 12 months.	12 13
(2)	beco	ome a n the j	ection $(1)(c)(ii)$, a non-entity party is taken to have ware of the performance of the relevant function party might reasonably be expected to have known it	14 15 16
	was	being	g performed.	17
Dis		C	accept particular referrals made out-of-time	17
Dis (1)	screti	on to	-	
	screti	on to s section beca	accept particular referrals made out-of-time	18
	screti This	on to s section beca be n the n long	o accept particular referrals made out-of-time on applies only if— ause of section 19(1)(c), a referral can not otherwise	18 19 20
	screti This (a) (b) The refer cons	on to s section be a be a the a long sinc energ rral p siders	accept particular referrals made out-of-time on applies only if— ause of section 19(1)(c), a referral can not otherwise nade under section 18; and relevant entity is still an energy entity or, if it is no ger an energy entity, less than 12 months have passed	18 19 20 21 22 23
(1)	screti This (a) (b) The refer cons disp On	on to s section beca be n the n long since energy rral pr siders ute wit	accept particular referrals made out-of-time on applies only if— ause of section 19(1)(c), a referral can not otherwise nade under section 18; and relevant entity is still an energy entity or, if it is no ger an energy entity, less than 12 months have passed e it stopped being an energy entity. gy ombudsman may decide the referral is a dispute roperly made under section 18 if the ombudsman the referrer had good reason for not referring the	18 19 20 21 22 23 24 25 26 27
(1)	screti This (a) (b) The refe cons disp On disp 18.	on to s section beca be n the n long since energy rral pr siders ute with the m ute re	accept particular referrals made out-of-time on applies only if— ause of section 19(1)(c), a referral can not otherwise nade under section 18; and relevant entity is still an energy entity or, if it is no ger an energy entity, less than 12 months have passed e it stopped being an energy entity. gy ombudsman may decide the referral is a dispute roperly made under section 18 if the ombudsman the referrer had good reason for not referring the ithin the 12 months mentioned in section 19(1)(c).	18 19 20 21 22 23 24 25 26 27 28 29 30

21	Wa	ys of	mak	ing dispute referral	1
	(1)	Subj writ		b section 22(2), a dispute referral may be oral or	2 3
	(2)		-	referral may be made for the referrer by someone orised by the referrer.	4 5
	(3)	The	autho	prisation may be oral or written.	6
22	Re	fusal	to in	vestigate dispute referral	7
	(1)	refer refu	rral or se to	gy ombudsman may refuse to investigate a dispute c, having started to investigate a dispute referral, may continue the investigation, if the ombudsman is y satisfied that—	8 9 10 11
		(a)	the	subject of the relevant dispute is trivial; or	12
		(b)		dispute referral is frivolous or vexatious or has not n made in good faith; or	13 14
		(c)		referrer does not have a sufficient direct interest in subject of the relevant dispute; or	15 16
		(d)		relevant entity has not been given a reasonable ortunity to resolve the relevant dispute; or	17 18
		(e)	both	n of the following apply—	19
			(i)	the referrer has a right of appeal, reference or review, or another remedy, that the referrer has not exhausted;	20 21 22
				Example of a right of reference—	23
				a right under a relevant contract or law of internal review by the energy entity for it to make an insurance claim for the relevant dispute	24 25 26
			(ii)	it would be reasonable in the circumstances to require the referrer to exhaust the right or remedy before the energy ombudsman investigates, or continues to investigate, the dispute referral; or	27 28 29 30
		(f)		er an energy Act, the regulator or QCA has the yer to give appropriate relief to the non-entity party;	31 32 33

	(g)	because of a preliminary inquiry, the relevant dispute should not be investigated; or	1 2
	(h)	in the circumstances, the investigation, or the continuance of the investigation, of the matter the subject of the relevant dispute is unnecessary or unjustifiable.	3 4 5 6
(2)	disp refe	b, the energy ombudsman may refuse to investigate an oral ute referral or, having started to investigate an oral dispute rral, may refuse to continue the investigation, until the ute referral is remade in the approved form under section	7 8 9 10 11
(3)	inclu	ight or remedy mentioned in subsection (1) does not ude a right under the <i>Judicial Review Act 1991</i> to make an ication to the Supreme Court.	12 13 14
(4)	limi	emove any doubt, it is declared that this section does not t or otherwise affect the energy ombudsman's power not ccept or investigate a matter because of section 12, 13, 18 9.	15 16 17 18
	tice c estig	of referral not properly made or of refusal to ate	19 20
(1)	This	s section applies if—	21
	(a)	because of section 12, 13, 18 or 19, a purported dispute referral can not be made to or be investigated by the energy ombudsman; or	22 23 24
	(b)	under section 22, the energy ombudsman refuses to carry out or to continue an investigation.	25 26
(2)	refer inve	energy ombudsman must, as soon as reasonably ticable, notify the referrer that the purported dispute rral, or the dispute referral the subject of the former stigation, will not be investigated, or continue to be stigated, and why.	27 28 29 30 31
(3)	The	notice may be oral or written.	32

24	Pre	eliminary inquiry	1
	(1)	For this part, the energy ombudsman may make reasonably necessary inquiries to decide whether a dispute referral or purported dispute referral can or should be investigated.	2 3 4
	(2)	The parties must, if the energy ombudsman asks, give the ombudsman reasonable help in the carrying out of a preliminary inquiry.	5 6 7
25	Wit	thdrawal of dispute referral	8
	(1)	A dispute referral may be withdrawn at any time by the referrer.	9 10
	(2)	The withdrawal may be by oral or written notice to the energy ombudsman.	11 12
	(3)	On the withdrawal, any investigation relating to the dispute referral ends.	13 14
	(4)	The energy ombudsman must, as soon as practicable after receiving the notice under subsection (2), give the other party written notice of the withdrawal.	15 16 17
Part	4	Investigations	18
26	No	tice of investigation	19

 		/
(1)	must, as soon as reasonably practicable, give a notice (an 2	0 1 2
	(a) the subject of the relevant dispute; and 2	3
		4 5
	investigate the dispute unless the dispute referral has 2	6 7 8
(2)		9 0

(3)	An i	nvestigation notice may be oral or written.	1
(4)	conf	vever if oral notice is given, the energy ombudsman must firm it by written notice given within a reasonable period the giving of the oral notice.	2 3 4
(5)	This	s section is subject to section 22.	5
Wh	nen in	vestigation starts	6
	An i	nvestigation into a dispute referral starts if—	7
	(a)	the energy ombudsman has given an investigation notice for the dispute referral; and	8 9
	(b)	the dispute referral has not been withdrawn by the time stated in the investigation notice as the time when the energy ombudsman proposes to start the investigation.	10 11 12
Inv	estig	ation procedure	13
(1)	may	ess this Act otherwise provides, the energy ombudsman regulate the procedure for an investigation in the way the pudsman considers appropriate.	14 15 16
(2)	med	vever, if practicable, the procedure must be one that uses iation or negotiation to attempt to resolve the dispute ore the investigation is finished.	17 18 19
(3)	The	energy ombudsman, when carrying out an investigation—	20
	(a)	is not bound by the rules of evidence, but must comply with natural justice; and	21 22
	(b)	may, but is not required to, hold a hearing for the investigation; and	23 24
	(c)	may obtain documents or information that is, are or may be relevant to the investigation, from the persons, and in the way, the energy ombudsman considers appropriate; and	25 26 27 28
	(d)	may make the inquiries the energy ombudsman considers appropriate; and	29 30
	(e)	must act in a way that is fair, reasonable, just, informal and timely, and maintains confidentiality; and	31 32

	(f)	pers func acce	t act in accordance with practice that, among ons who under laws of other States perform ctions similar to those of the energy ombudsman, is epted as being good practice for performance of the ctions.	1 2 3 4 5
(4)	requ	ire th	ubsection (3)(b), the energy ombudsman can only e relevant entity to give documents or information ying with section 29.	6 7 8
(5)	proc	-	y ombudsman must develop and make guidelines on s to be followed for dispute referrals and ions.	9 10 11
(6)			before making or amending the guidelines, the abudsman must consult with the advisory council.	12 13
			uire particular documents or information t entity	14 15
(1)	energ	gy o	estigation into a dispute referral has started, the mbudsman may, by written notice, require the ntity to give the ombudsman—	16 17 18
	(a)	or s rele	ed documents or information (the <i>relevant material</i>), tated types of documents or information (also the <i>vant material</i>), in its custody, possession or power is, are, or may be relevant to the investigation; or	19 20 21 22
	(b)	acce	ess to the relevant material.	23
(2)		notic ireme	e must state a reasonable period to comply with the ent.	24 25
(3)	The	releva	ant entity must comply with the notice, unless-	26
	(a)		relevant material is not in its custody, its possession its power; or	27 28
	(b)	the	relevant material is in someone else's custody and—	29
		(i)	it has made reasonable endeavours to obtain the relevant material from the other person; and	30 31
		(ii)	the other person has not given it to the entity; or	32
	(c)		plying with the notice would place the energy entity ontravention of a law; or	33 34

	(d)	the requirement relates to someone else's confidential information and the other person has refused to consent to it being disclosed to the energy ombudsman despite the energy entity's reasonable efforts to obtain the consent.	1 2 3 4 5
	Max	timum penalty—100 penalty units.	6
(4)		emove any doubt, it is declared that it is not a defence to a seeding under subsection (3) if—	7 8
	(a)	the giving of the relevant material might tend to incriminate the relevant entity; or	9 10
	(b)	the relevant material is confidential to the entity or that the giving of the relevant material might be to the detriment of the entity's commercial or other interests.	11 12 13
(5)		vever, subsection (4) does not affect or otherwise limit ions 31 and 79.	14 15
Cu	stody	y of documents given to energy ombudsman	16
(1)		document or other thing is produced to the energy oudsman for an investigation the energy ombudsman	17 18 19
	(a)	keep it for a reasonable period to carry out the investigation; and	20 21
	(b)	for a document-take extracts from or make copies of it.	22
(2)	othe any	le the energy ombudsman has custody of the document or r thing, the ombudsman must allow it to be inspected at reasonable time by a person who would have the right to ect it if it were not in the ombudsman's possession.	23 24 25 26
	Note	—	27
	Se	e also section 79 (Privacy).	28
	strict orma	ion on disclosing energy entity's confidential tion	29 30
(1)	This	section applies if the relevant entity has given the energy	31

ombudsman information for a preliminary inquiry about, or an investigation into, a dispute referral.

(2)	The entity may tell the energy ombudsman that it believes the information is confidential or that disclosing the information might be to the detriment of its commercial interests.	1 2 3
(3)	The energy ombudsman must, acting reasonably, decide whether the ombudsman considers the belief is justified.	4 5
(4)	If the decision is that the belief is justified, the energy ombudsman must not use or disclose the information other than by divulging or communicating it as mentioned in section $79(3)$.	6 7 8 9
(5)	If the decision is that the belief is not justified, the ombudsman may use or disclose the information only—	10 11
	(a) as mentioned in section 79(3); or	12
	(b) for disclosure—if the disclosure is to the other party.	13
(6)	However, before disclosing the information to anyone other than an energy ombudsman officer, the energy ombudsman must give the entity reasonable written notice of the proposed disclosure.	14 15 16 17
(7)	The energy ombudsman can not use or disclose the information other than as permitted under this section.	18 19
(8)	This section does not limit or otherwise affect section 79 or 80.	20 21
(9)	In this section—	22
	<i>information</i> includes a document.	23
Inte	erim orders	24
(1)	The energy ombudsman may give the relevant entity an order that, until an investigation ends, it must do, not do or stop doing, a stated act concerning the relevant dispute.	25 26 27
	Example of an order not to do a stated act—	28
	If the dispute involves disconnection of customer retail services to a small customer, the order could be that, until the investigation ends, the relevant entity must not disconnect the provision of the services to the customer's premises.	29 30 31 32
(2)	However, the energy ombudsman may make the order only if the ombudsman considers it is fair and reasonable in the circumstances.	33 34 35

Part 5	Action following investigation	15
	(b) any issue that emerges in the course of the investigation.	14
	(a) the subject of the relevant dispute;	13
(4)	The following are not justiciable in a proceeding, other than under the <i>Judicial Review Act 1991</i> , at the instigation of the relevant entity—	10 11 12
(3)	However, if the non-entity party starts a proceeding about the relevant dispute, the investigation ends.	8 9
(2)	The non-entity party is not prevented from starting a proceeding about the relevant dispute.	6 7
(1)	This section applies if the energy ombudsman has started an investigation into a dispute referral.	4 5
33 Pr	oceedings after investigation starts	3
	Maximum penalty for subsection (3)—100 penalty units.	2
(3)	The relevant entity must comply with the order.	1

Division 1	Resolving dispute	16
Division 1	Resolving dispute	16

34	Decision			17
	(1)	This section applies for an investigation into a dispute referral unless—		18 19
		(a)	the investigation has ended under section 22(1) or 33(3); or	20 21
		(b)	the dispute referral has been withdrawn; or	22
		(c)	agreement has been reached between the parties under which the relevant dispute has been resolved.	23 24
	(2)	deci	r finishing the investigation, the energy ombudsman may de to make, or refuse to make, an order (a <i>final order</i>) in ur of the non-entity party.	25 26 27

35	Fin	al orders that may be made	1
	(1)	A final order may order the relevant entity to do all or any of the following as it relates to the subject of the relevant dispute—	2 3 4
		(a) pay compensation to the non-entity party;	5
		(b) provide the non-entity party with stated goods or services under the relevant energy Act;	6 7
		(c) amend, or not impose, a stated charge for stated services under the relevant energy Act;	8 9
		(d) perform corrective action or work;	10
		(e) correct, delete from or add to a stated record;	11
		(f) add to a stated record a statement provided by the non-entity party of a correction, deletion or addition sought by the non-entity party;	12 13 14
		(g) to do, or not to do or stop doing, a stated act.	15
	(2)	Also, without limiting subsection (1), the energy ombudsman may make an order ending a negotiated contract between the parties.	16 17 18
	(3)	However, subsection (2) applies only if the energy ombudsman considers the relevant entity's conduct in the formation of the contract was unconscionable, unfair, misleading or deceptive.	19 20 21 22
		Example—	23
		coercion by or for the relevant entity in the formation of the contract	24
	(4)	This section is subject to sections 36 and 37.	25
36	Cri	teria for making final order	26
		In making a final order, the energy ombudsman must consider—	27 28
		(a) the purposes or objects of the relevant energy Act; and	29
		(b) the rights and obligations of the parties under any of the following—	30 31
		(i) the relevant energy Act;	32

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		(ii) a relevant industry code;	1
		(iii) a relevant energy Act authority;	2
		(iv) any contract between the parties.	3
37	Re	strictions on final orders	4
	(1)	A final order can not require the relevant entity to contravene an energy Act, an industry code, a relevant energy Act authority or a law of the Commonwealth or a State.	5 6 7
	(2)	If an issue arises about whether a proposed final order complies with subsection (1), the energy ombudsman may obtain legal advice on the issue and act on that advice.	8 9 10
	(3)	A final order can not require the relevant entity to incur costs or pay compensation of a total of more than—	11 12
		(a) the amount prescribed under a regulation; or	13
		(b) if no amount is prescribed—	14
		(i) if all of the parties have agreed to an amount of no more than \$50000—the agreed amount; or	15 16
		(ii) otherwise—\$20000.	17
	(4)	A final order can not require any of the parties to pay costs of a dispute referral, preliminary inquiry or investigation.	18 19
38	No	tice of decision	20
	(1)	The energy ombudsman must give the parties written notice (a <i>decision notice</i>) of—	21 22
		(a) the ombudsman's decision under section 34; and	23
		(b) the reasons for the decision.	24
	(2)	If the decision is to make a final order, the decision notice must state that—	25 26
		(a) the non-entity party may, by written notice to the energy ombudsman, elect to accept or not to accept the order; and	27 28 29

		Energy Ombuasman Bill 2006	
	(b)	a notice electing not to accept may be given only within 21 days after the non-entity party receives the decision notice; and	1 2 3
	(c)	if a notice electing not to accept is not given within the 21 days, the non-entity party is taken to have elected to accept the order and to be bound by it.	4 5 6
		ion on including confidential information in າ notice	7 8
(1)	This if—	section applies for a decision notice for a dispute referral	9 10
	(a)	the relevant entity has given the energy ombudsman information for a preliminary inquiry about, or an investigation into, the relevant dispute; and	11 12 13
	(b)	the energy ombudsman relied on the information to decide the dispute referral; and	14 15

- the energy ombudsman considers the information is (c) 16 confidential and that disclosing the information might 17 be to the detriment of its commercial interests. 18
- (2)The energy ombudsman must, in giving reasons for the 19 decision, state that the ombudsman has relied on confidential 20 information given by the relevant entity, without disclosing 21 what the information is. 22
- (3) In this section *information* includes a document.

Division 2 Acceptance of final order by non-entity party

40	Election to accept or not to accept		
	(1)	The non-entity party may, by written notice (<i>election notice</i>) to the energy ombudsman, elect to accept or not to accept a final order.	28 29 30
	(2)	An election notice may be given only within 21 days after the non-entity party receives a decision notice about the order.	31 32

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(1)

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(3)	If, under an election notice, the election is not to accept the order, the order stops having effect.	1 2
(4)	If an election notice is not given within the 21 days, the non-entity party is taken to have elected to accept the order and to be bound by it.	3 4 5
(5)	The energy ombudsman must, as soon as practicable, give the relevant entity a written notice about whether or not the order has been accepted.	6 7 8
Eff	ect of accepted order	9
(1)	This section applies only for an accepted order.	10
(2)	The order—	11
	(a) is final and conclusive; and	12
	(b) binds the parties for all matters that were the subject of the relevant dispute.	13 14
(3)	Subject to the Judicial Review Act 1991, the order—	15
	 (a) can not be challenged, appealed against, reviewed, quashed, set aside or called into question (whether by the Supreme Court, another court, a tribunal, an authority or a person) in any way; and 	16 17 18 19
	(b) is not subject to any declaratory, injunctive or other order of the Supreme Court, another court, a tribunal, an authority or a person on any ground.	20 21 22
(4)	The parties can not start a proceeding about any of the matters.	23 24
(5)	A court or tribunal must dismiss a proceeding started in contravention of subsection (3) or (4).	25 26
(6)	In this section—	27
	order includes an order affected by jurisdictional error.	28
	<i>proceeding</i> does not include a proceeding under the <i>Judicial Review Act 1991</i> .	29 30

Divisi	on	3 Enforcement of final orders	1
42	Hov	v final order for an amount may be enforced	2
	(1)	This section applies to an accepted order that provides that the relevant entity must pay a stated amount to the non-entity party.	3 4 5
	(2)	The non-entity party may file the order in a Magistrates Court.	6
	(3)	The energy ombudsman may do the filing for the non-entity party.	7 8
	(4)	Once filed, the order is taken to be a judgment of that court for the stated amount in favour of the non-entity party, against the relevant entity.	9 10 11
43		n-entity party's duty to allow relevant entity comply with particular accepted orders	12 13
		The non-entity party must do anything that is both necessary and reasonable to allow the relevant entity to comply with an accepted order.	14 15 16
44	Inqu	uiry and directions about failure to comply with duty	17
	(1)	This section applies if the non-entity party does not comply with the duty under section 43 for an accepted order.	18 19
	(2)	The relevant entity may give the energy ombudsman a written report about the contravention.	20 21
	(3)	The entity must give the non-entity party a copy of the report as soon as practicable after it is given to the energy ombudsman.	22 23 24
	(4)	On receiving the report, the energy ombudsman may investigate the matter and give the non-entity party and the relevant entity written directions (<i>compliance directions</i>) that the ombudsman considers are appropriate to allow the entity to comply with the order.	25 26 27 28 29
	(5)	However, the energy ombudsman must not give compliance	30

(5) However, the energy ombudsman must not give compliance 30 directions unless the non-entity party and the relevant entity 31 have been given a reasonable opportunity to give the 32

	ombudsman oral or written submissions about the matter and the proposed directions.	1 2
(6)	For subsections (4) and (5), part 4 applies, with necessary changes, to the investigation as if it were an investigation that had started.	3 4 5
	lure of non-entity party to comply with compliance ections	6 7
(1)	This section applies if the non-entity party does not comply with compliance directions for an accepted order within a reasonable period after they are given.	8 9 10
(2)	The energy ombudsman may, by written notice to the non-entity party and the relevant entity, declare that the entity has complied with the accepted order.	11 12 13
(3)	The declaration binds the non-entity party.	14
	lure by relevant entity to comply with accepted order compliance directions	15 16
(1)	The relevant entity must comply with compliance directions given for an accepted order.	17 18
	Maximum penalty—100 penalty units.	19
(2)	Without limiting subsection (1), subsections (3) to (5) apply if the relevant entity does not comply with an accepted order or compliance directions of which it has had notice.	
(3)	The energy ombudsman or the non-entity party may, by written notice, refer the noncompliance to the regulator under the energy Act under which the relevant entity is performing energy entity functions.	23 24 25 26
(4)	The energy Act regulator may take action against the relevant entity, under the following section of the energy Act—	27 28
	(a) for the <i>Electricity Act 1994</i> —section 133;	29
	(b) for the Gas Supply Act 2003—	30

(i) if the entity is a distributor—section 57 or chapter 31 6, part 1A; or 32

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		(ii)	if the entity is a retailer—section 181 or chapter 6, part 1A.	$\frac{1}{2}$
	(5)		ompliance is taken to be a ground for taking action section or part.	3 4
Divi	ision	4	Miscellaneous provision	5
47		missal or ceedings	non-acceptance does not affect other	6 7
	(1)	This section	on applies if—	8
			er section 34, the energy ombudsman decides to se to make a final order; or	9 10
			on-entity party elects, under section 40, not to accept nal order.	11 12
	(2)		sion or election does not stop a proceeding from ted that relates to the subject of the relevant dispute.	13 14
Par	t 6		Advisory council	15
48	Est	ablishme	nt	16
		An adviso	bry council is established.	17
49	Fu	nctions		18
		The advis	ory council's functions are to-	19
		(a) mor	itor the energy ombudsman's independence; and	20
		(b) advi	ise the energy ombudsman on the following—	21
		(i)	policy and procedural issues relating to this Act;	22
		(ii)	the operation of this Act for small customers and relevant occupiers of land;	23 24
		(iii)	the development of guidelines under section 28(5);	25

	(iv) the preparation of budgets under section 74, guidelines under section 75 and annual reports under section 77; and	1 2 3
	(c) advise the Minister on the funding of the energy ombudsman's functions.	4 5
Ар	pointment	6
(1)	The advisory council consists of a chairperson and at least 6 other members appointed by the Minister.	7 8
(2)	The chairperson must be independent of the interests of scheme members or non-entity parties.	9 10
(3)	The other members must consist of—	11
	(a) members drawn from scheme members who represent the interests of scheme members (<i>industry members</i>); and	12 13 14
	(b) an equal number of members drawn from groups who represent the interests of non-entity parties (<i>consumer members</i>).	15 16 17
(4)	The industry members must be appointed on the chairperson's recommendation, after consultation with scheme members.	18 19
(5)	The consumer members must be appointed on the chairperson's recommendation, after consultation with consumer groups and community welfare organisations.	20 21 22
(6)	At least 2 of the industry members must represent the interests of retailers and at least 1 of the industry members must represent the interests of distributors.	23 24 25

Part 7Particular provisions about the
energy ombudsman26
27

51Appointment28

(1) The energy ombudsman is appointed by the Governor in 29 Council. 30

	(2)	The energy ombudsman is appointed under this Act and not the <i>Public Service Act 1996</i> .	1 2
52	Ter	m of appointment	3
	(1)	The energy ombudsman holds office for the term stated in the ombudsman's instrument of appointment.	4 5
	(2)	The stated term must not be more than 5 years.	6
	(3)	The energy ombudsman may be reappointed.	7
	(4)	However, a person must not be reappointed if the total of the person's terms of appointment would be more than 10 years.	8 9
53	Re	muneration and conditions	10
	(1)	The energy ombudsman is to be paid the remuneration and travelling and other allowances decided by the Governor in Council.	11 12 13
	(2)	The remuneration must not be reduced during the energy ombudsman's term of office without the ombudsman's written consent.	14 15 16
	(3)	The energy ombudsman is entitled to the leave of absence decided by the Governor in Council.	17 18
54	Re	striction on outside employment	19
		The energy ombudsman must not, without the Minister's prior approval in each particular case—	20 21
		(a) hold any office of profit other than that of energy ombudsman; or	22 23
		(b) engage in any remunerative employment or undertaking outside the duties of that office.	24 25
55	Re	signation	26
		The energy ombudsman may, at any time, resign office as	27

energy ombudsman by signed written notice, addressed to the Governor in Council.

56	Ac	ting energy ombudsman	1
	(1)	The Governor in Council may appoint a person to act as energy ombudsman—	2 3
		(a) during a vacancy in the office; or	4
		(b) during any period, or during all periods, when the energy ombudsman is absent from duty or from the State or is, for another reason, unable to perform the duties of the office.	5 6 7 8
	(2)	However, the person can not be appointed for more than 6 months in any 12 month period.	9 10
	(3)	The acting energy ombudsman is appointed under this Act and not the <i>Public Service Act 1996</i> .	11 12
	(4)	The energy ombudsman is to be paid the remuneration and travelling and other allowances decided by the Governor in Council.	13 14 15
57	Те	rmination of appointment	16
	(1)	The Governor in Council may, on an address or resolution from the Legislative Assembly, terminate the appointment of the energy ombudsman if the ombudsman—	17 18 19
		(a) is found guilty of an indictable offence; or	20
		(b) becomes incapable of performing the functions of the energy ombudsman because of physical or mental incapacity; or	21 22 23
		(c) becomes an insolvent under administration; or	24
		(d) is guilty of misconduct of a type that could warrant dismissal from the public service if the energy ombudsman were an officer of the public service; or	25 26 27
		(e) contravenes section 54.	28
	(2)	The Acts Interpretation Act 1954, section 25(1)(b)(i) to (iii) does not apply for the energy ombudsman.	29 30
	(3)	In this section—	31
		insolvent under administration means—	32

- a person who has executed a deed of arrangement under 4 (b) the Bankruptcy Act 1966 (Cwlth), part X or the provisions of a foreign law that correspond to that Act, if the terms of the deed have not been fully complied with: or
- (c) a person whose creditors have accepted a composition 9 under the Bankruptcy Act 1966 (Cwlth), part X or the 10 provisions of a foreign law that correspond to that Act, 11 if a final payment has not been made under that 12 composition; or 13
- (d) a person for whom a debt agreement has been made 14 under the *Bankruptcy Act 1966* (Cwlth), part IX or the 15 provisions of a foreign law that correspond to that Act, 16 if the debt agreement has not ended or has not been 17 terminated. 18

58 Preservation of rights

- This section applies if an officer of the public service is (1)20 appointed as the energy ombudsman. 21
- (2)The person keeps all rights accrued or accruing to the person 22 as an officer of the public service as if service as the energy 23 ombudsman were a continuation of service as a public service 24 officer. 25
- (3) At the end of the person's term of office or resignation as the 26 energy ombudsman-27
 - the person has the right to be appointed to an office in 28 (a) the public service at a salary level no less than the 29 current salary level of an office equivalent to the office 30 the person held before being appointed as the energy 31 ombudsman; and 32
 - the person's service as the energy ombudsman is taken (b) 33 to be service of a like nature in the public service for 34 deciding the person's rights as an officer of the public 35 service. 36

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Part	8	Administration	1	
Divis	sion	1 Energy ombudsman office	2	
59	Office of the Energy Ombudsman			
	(1)	An office called the Office of the Energy Ombudsman is established.	4 5	
	(2)	The office's function is to help the energy ombudsman perform the ombudsman's functions.	6 7	
	(3)	The office consists of the energy ombudsman and the officers of the energy ombudsman.	8 9	
60	Со	ntrol of office	10	
	(1)	The energy ombudsman controls the energy ombudsman office.	11 12	
	(2)	Subsection (1) does not prevent the attachment of the energy ombudsman office to a department to ensure the energy ombudsman office is supplied with the administrative support services it requires to perform its functions effectively and efficiently.	13 14 15 16 17	
61	Officers			
		Energy ombudsman officers are appointed under the <i>Public Service Act 1996</i> and are officers of the public service.	19 20	
62	Officers not subject to outside direction			
		An energy ombudsman officer is not subject to direction by any person, other than from within the energy ombudsman office, about—	22 23 24	
		(a) the way the energy ombudsman's powers for investigations are to be exercised; or	25 26	
		(b) the priority given to investigations.	27	

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63	Alt	ernative staffing arrangements	1
		The energy ombudsman may arrange with the chief executive of a government agency for the services of staff, or for facilities, of the agency to be made available to the ombudsman.	2 3 4 5
Divis	sion	2 Funding of energy ombudsman office	6 7
Subo	divis	sion 1 Membership fees	8
64	Scł	neme membership	9
	(1)	A retailer becomes a scheme member only when it enters into a contract for the provision of, or starts to provide, customer retail services to a small customer.	10 11 12
	(2)	Any other type of energy entity becomes a scheme member when it becomes an energy entity.	13 14
	(3)	If an entity stops being an energy entity it continues to be a scheme member for 12 months from when it stopped being an energy entity	15 16 17
	(4)	To remove any doubt, it is declared that cessation of scheme membership by an entity does not affect an obligation to pay a fee under this Act or any other obligation under this Act that accrued before the cessation.	18 19 20 21
65	An	nual fees	22
	(1)	Generally, performance of the energy ombudsman's functions is funded by fees imposed on each scheme member.	23 24
		Note—	25
		Under section 70, the functions may, in particular circumstances, also be funded by supplementary fees.	26 27
	(2)	The fees are—	28
		(a) a fee (the <i>membership fee</i>) for being a scheme member during all or part of a financial year; and	29 30

	(b)	a fee (the <i>user-pays fee</i>) for the member's costs for the performance of the energy ombudsman's functions.	1 2
(3)		membership fee and the user-pays fee must be paid to the gy ombudsman office.	3 4
Wh	en m	embership fee is payable	5
(1)	the s	energy ombudsman must give each scheme member at start of a financial year an invoice for the membership fee hat year.	6 7 8
(2)	finar	n energy entity becomes a scheme member during a ncial year, the energy ombudsman must give the member avoice for the membership fee for the year.	9 10 11
(3)	The 67.	amount of an invoice must be worked out under section	12 13
(4)		membership fee is payable 14 days after the scheme aber receives the invoice.	14 15
Am	ount	of membership fee	16
(1)	of a	energy entity is or becomes a scheme member at the start a financial year (the <i>relevant financial year</i>), its abership fee for that year is—	17 18 19
	(a)	if it provides customer connection services under only 1 energy Act—	20 21
		(i) the amount prescribed under a regulation; or	22
		(ii) if no amount is prescribed—\$5000; or	23
	(b)	if it provides customer connection services under 2 energy Acts—	24 25
		(i) the amount prescribed under a regulation; or	26
		(ii) if no amount is prescribed—\$10000; or	27
	(c)	if it provides customer retail services under 1 energy Act—	28 29
		(i) the amount prescribed under a regulation; or	30
		(ii) if no amount is prescribed—\$5000; or	31

if it provides customer retail services under 2 energy

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	Acts—	2
	(i) the amount prescribed under a regulation; or	3
	(ii) if no amount is prescribed—\$10000.	4
(2)	Subsection (1) continues to apply to the entity even if it stops being an energy entity during the relevant financial year.	5 6
(3)	However, if the entity stops being an energy entity during the relevant financial year, subsection (1) does not apply to it for any subsequent financial year, even though, under section 64, it continues to be a scheme member.	7 8 9 10
(4)	If an energy entity becomes a scheme member during a financial year, its membership fee for that year is the amount worked out by applying the following formula—	11 12 13
	$\frac{\mathbf{FF} \times \mathbf{ND}}{365}$	
	where—	14
	FF means the full membership fee that would have been payable under subsection (1) had the energy entity been—	15 16
	(a) a scheme member at the start of the financial year; and	17
	(b) providing the same customer connection services or customer retail services that it provided when it became a scheme member.	18 19 20
	<i>ND</i> means the number of days during which the entity is a scheme member during the financial year.	21 22
Wh	en user-pays fee is payable	23
(1)	The energy ombudsman must at least 14 days, but no more than 1 month, before the end of each quarter—	24 25
	 (a) work out, under section 69, the user-pays fee for the next quarter payable by each energy entity who is then a scheme member; and 	26 27 28
	(b) give the scheme member an invoice for the fee.	29
(2)	If an energy entity becomes a scheme member during a quarter, the energy ombudsman must—	30 31

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(d)

	(a)	work out, under section 69, the user-pays fee payable by the scheme member for the period (the <i>part quarter</i>) from when the entity became a scheme member to the end of the quarter; and	1 2 3 4
	(b)	give the scheme member an invoice for the amount of the fee for the part quarter.	5 6
(3)	secti	applying section 69 for the part quarter, a reference in on 69 to the assessed quarter is taken to include a ence to the part quarter.	7 8 9
(4)		user-pays fee for the next quarter or part quarter is ble 14 days after the scheme member receives the ice.	10 11 12
Wo	rking	out user-pays fee	13
(1)		section provides for the working out of a scheme aber's user-pays fee for a quarter (the <i>assessed quarter</i>).	14 15
(2)	(fore will	energy ombudsman must prepare a forecast of the costs <i>casted costs</i>) that the ombudsman reasonably considers be the scheme member's likely relevant performance is for the assessed quarter.	16 17 18 19
(3)	omb sche	haking the forecast for the assessed quarter, the energy udsman may, but is not required to, have regard to the me member's relevant performance costs for the previous ter or likely relevant performance costs for the current ter.	20 21 22 23 24
(4)	asses quar	amount of the scheme member's user-pays fee for the seed quarter is the amount of the forecasted costs for the ter, subject to any adjustment required under subsection or (7).	25 26 27 28
(5)	sche	ections (6) to (8) apply if the actual amount of the me member's relevant performance costs for the previous ter are different to what were the forecasted costs for that ter.	29 30 31 32
(6)	forec	e forecasted costs were greater than the actual costs, the casted costs for the assessed quarter must be reduced by lifference (the <i>positive figure</i>).	33 34 35

(7)	If the forecasted costs were less than the actual costs, the forecasted costs for the assessed quarter must be increased by the difference.	1 2 3
(8)	The scheme member is not entitled to, or to be credited for, interest on the positive figure.	4 5
(9)	In this section—	6
	<i>current quarter</i> means the quarter in which the forecast for the assessed quarter is made.	7 8
	<i>previous quarter</i> means the quarter ending immediately before the current quarter, whether or not the scheme member was a scheme member for all of that quarter.	9 10 11
	<i>relevant performance costs</i> , for a scheme member for a quarter, are the costs incurred by the energy ombudsman during the quarter to perform the ombudsman's functions relating to the member, as worked out under the budget guidelines prepared under section 75, in relation to dispute referrals made to the ombudsman for the member.	12 13 14 15 16 17
Su	pplementary fees	18
Su (1)	pplementary fees Subsection (2) applies if, at any time, the energy ombudsman considers that, because of any of the following, receipts from ordinary fees are not, or may not be, enough to fund all of the ombudsman's functions—	18 19 20 21 22
	Subsection (2) applies if, at any time, the energy ombudsman considers that, because of any of the following, receipts from ordinary fees are not, or may not be, enough to fund all of the	19 20 21
	Subsection (2) applies if, at any time, the energy ombudsman considers that, because of any of the following, receipts from ordinary fees are not, or may not be, enough to fund all of the ombudsman's functions—	19 20 21 22
	Subsection (2) applies if, at any time, the energy ombudsman considers that, because of any of the following, receipts from ordinary fees are not, or may not be, enough to fund all of the ombudsman's functions— (a) unforeseen expenditure;	19 20 21 22 23
(1)	 Subsection (2) applies if, at any time, the energy ombudsman considers that, because of any of the following, receipts from ordinary fees are not, or may not be, enough to fund all of the ombudsman's functions— (a) unforeseen expenditure; (b) a revised budget. A regulation may impose a supplementary fee on all scheme members, or a stated class of scheme members, of an amount 	19 20 21 22 23 24 25 26

s 70

		ombudsman considers will allow all of the functions to be funded.	1 2
	(5)	A supplementary fee must be paid at the time and in the way provided for under a regulation.	3 4
	(6)	In this section—	5
		ordinary fees means membership fees and user-pays fees.	6
Sub	divis	sion 2 Late payments	7
71	Un	paid fee interest	8
	(1)	A scheme member must pay interest (<i>unpaid fee interest</i>) on any fee payable under this division and not paid from time to time (an <i>unpaid fee amount</i>).	9 10 11
	(2)	Unpaid fee interest accrues daily at the rate provided for under subsection (3) on an unpaid fee amount for the period starting on the day immediately after the day the unpaid fee amount became payable and ending on the day the unpaid fee amount is paid in full, both days inclusive.	12 13 14 15 16
	(3)	For subsection (2), the rate for each of the days is the rate that is the sum of the following—	17 18
		(a) 5%;	19
		(b) the bank bill yield rate for the day, rounded to the nearest second decimal point.	20 21
	(4)	In this section—	22
		<i>bank bill yield rate</i> , for a day, means the monthly average yield of 90-day bank accepted bills published by the Reserve Bank of Australia for the month of May in the financial year immediately preceding the financial year that includes the day.	23 24 25 26 27
		Note—	28
		The monthly average yield for 90-day bank accepted bills is published in the Reserve Bank of Australia Bulletin and could, at the commencement of this section, be accessed on the internet at <www.rba.gov.au>.</www.rba.gov.au>	29 30 31 32

72	En	ergy ombudsman may remit unpaid fee interest	1
	(1)	The energy ombudsman may remit all or part of any unpaid fee interest a scheme member owes.	2 3
	(2)	The remission must be made by written notice given to the scheme member.	4 5
73	Re	covery of unpaid amounts	6
		If a scheme member does not pay a fee or unpaid fee interest payable under this division, the State may recover the amount of the fee or interest from the member as a debt.	7 8 9
Divi	sion	3 Other matters	10
74	An	nual budgets	11
	(1)	The energy ombudsman must, before each 31 March, prepare, in consultation with the advisory council, a budget of estimated costs of the energy ombudsman office for the next financial year, having regard to expected membership fees and user-pays fees for the year.	12 13 14 15 16
	(2)	A budget has no effect until it has been approved by the Minister on the recommendation of the advisory council and the energy ombudsman.	17 18 19
	(3)	During a financial year the energy ombudsman may prepare amendments to the office's budget for that year.	20 21
	(4)	An amendment of a budget has no effect until it has been approved by the Minister on the recommendation of the advisory council and the energy ombudsman.	22 23 24
	(5)	If the advisory council and the energy ombudsman differ about what should be recommended to the Minister for an approval under this section, the Minister may still give the approval.	25 26 27 28
	(6)	The energy ombudsman may authorise spending by the office during a financial year only under the budget for that year, unless the Minister otherwise approves.	29 30 31

	(7)	This section does not require the energy ombudsman to give the Minister any details that would, if given, prejudice a current investigation.	1 2 3
75	Bu	dget guidelines	4
		The energy ombudsman must, in consultation with the advisory council, prepare budget guidelines, including guidelines for the working out and structure of user-pays fees.	5 6 7
76	De	legation	8
	(1)	The energy ombudsman may delegate the ombudsman's functions to an appropriately qualified energy ombudsman officer.	9 10 11
	(2)	However, the following functions can not be delegated—	12
		(a) making a decision under section 34 about a dispute referral, other than with the agreement of the parties;	13 14
		(b) giving an annual report under section 77.	15
	(3)	In this section—	16
		<i>appropriately qualified</i> includes having the qualifications, experience or standing to exercise the power.	17 18
		Examples of standing—	19
		a person's classification level in the public service	20
77	An	nual report	21
	(1)		22
		 (a) prepare and give to the Minister a written report about the operations of the energy ombudsman office during each financial year; and 	23 24 25
		(b) as soon as practicable after it is given to the Minister, publish it on the energy ombudsman's website.	26 27
	(2)	The report must be given as soon as practicable after the end of the financial year, but within 4 months after the year ends.	28 29
	(3)	Without limiting subsection (1), the energy ombudsman must include in the report—	30 31

	(a)	a description of the following matters for the year-	1
		(i) dispute referrals made;	2
		 (ii) dispute referrals that the energy ombudsman decided, under section 22, not to investigate or continue to investigate; 	3 4 5
		(iii) dispute referrals investigated;	6
		(iv) decisions under section 34, including final orders made;	7 8
		(v) matters referred to an energy Act regulator or QCA; and	9 10
	(b)	details of other functions performed by the energy ombudsman or energy ombudsman officers during the year; and	11 12 13
	(c)	financial statements for the energy ombudsman office for the year.	14 15
(4)	A de	scription may include statistics.	16
(5)		report must not be prepared in a way that discloses idential information.	17 18
	ports iativ	and observations on energy ombudsman's	19 20
(1)	omb mak	ne energy ombudsman considers it appropriate, the udsman may give any of the following a report on, or e observations about, a matter arising from the prmance of the energy ombudsman's functions—	21 22 23 24
	(a)	a scheme member;	25
	(b)	an energy Act regulator;	26
	(c)	the Minister;	27
	(d)	the advisory council;	28
	(e)	any public forum.	29
(2)		ever, a report or observation can not be made under ection (1) if—	30 31

	(a)	it is derived from information that is confidential or the disclosure of which might detriment commercial interests; or	1 2 3
	(b)	the making of the report or observation will result in confidential information being disclosed.	4 5
(3)	unde	section (2) applies even if the energy ombudsman has, er section 31, decided that a belief that the information is fidential is not justified.	6 7 8
Pri	vacy		9
(1)	This	section applies to a person who—	10
	(a)	is, or has been, the energy ombudsman or an energy ombudsman officer; and	11 12
	(b)	obtains in the course of, or because of, any of the following, personal or confidential information that is not publicly available—	13 14 15
		(i) a preliminary inquiry;	16
		(ii) an investigation;	17
		(iii) the performance of another function of the energy ombudsman.	18 19
(2)	The	person must not—	20
	(a)	make a record of the information; or	21
	(b)	divulge or communicate the information to anyone else, whether directly or indirectly; or	22 23
	(c)	use the information to benefit any person.	24
	Max	imum penalty—100 penalty units.	25
(3)		vever, subsection (2) does not apply if the record is made, ne information is divulged, communicated or used—	26 27
	(a)	for, or as a part of—	28
		(i) the performance of the preliminary inquiry, investigation or other function of the energy ombudsman; or	29 30 31

		 (ii) formulating a report about or arising from the preliminary inquiry, investigation or other function; or 	1 2 3
	(b)	with the consent of the person to whom the information relates; or	4 5
	(c)	as required by law; or	6
	(d)	under section 80(1).	7
	Note-	_	8
	inf	ctions 31 and 39 also limit the disclosure of particular confidential formation obtained during the performance of the energy nbudsman's functions.	9 10 11
(4)	Subs	section (3)(a) does not limit section 78(2) and (3).	12
(5)		ess it is necessary to do so for carrying this Act into effect, person is not required—	13 14
	(a)	to divulge or communicate the information to a court, tribunal, authority or person having power to require the production of documents or the answering of questions; or	15 16 17 18
	(b)	to produce in court a document that contains the information.	19 20
Dis	clos	ure of particular information	21
(1)	omb omb	under this Act, an energy entity gives the energy oudsman written information about the entity, the oudsman must disclose the information to a relevant ilatory body if—	22 23 24 25
	(a)	the body asks the energy ombudsman for the disclosure, to perform its functions under an Act; and	26 27
	(b)	the entity consents to the disclosure.	28
(2)	regu	under another Act, an energy entity gives a relevant latory body written information about the entity, the body t disclose the information to the energy ombudsman if—	29 30 31
	(a)	the energy ombudsman asks the body for the disclosure, to perform the ombudsman's functions under this Act; and	32 33 34

		(b) the entity consents to the disclosure.	1
	(3)	For subsections (1) and (2), consent is taken to have been given if, under an energy Act authority held by the entity, the consent is required to be given.	2 3 4
	(4)	In this section—	5
		relevant regulatory body means—	6
		(a) QCA; or	7
		(b) the regulator under an energy Act.	8
81	Pro	otection from liability	9
	(1)	The energy ombudsman or an energy ombudsman officer does not incur civil liability for an act done, or omission made, honestly and without negligence under this Act.	10 11 12
	(2)	If subsection (1) prevents a civil liability attaching to the energy ombudsman or an energy ombudsman officer, the liability attaches instead to the State.	13 14 15
82	Ар	proved forms	16
		The energy ombudsman may approve forms for use under this Act.	17 18
83	Re	gulation-making power	19
	(1)	The Governor in Council may make regulations under this Act.	20 21
	(2)	A regulation may provide for a maximum penalty of no more than 20 penalty units for a contravention of a regulation.	22 23

Part 9		Transitional provisions	
Divisio	on '	1 Preliminary	2
84 I		nitions for pt 9 In this part— <i>changeover day</i> means the day section 18 commences. <i>former user-pays provisions</i> means <i>Electricity Act 1994</i> ,	3 4 5 6
		former sections 64A, 64D and 64E, to the extent they relate to the user-pays fee under that Act.	7 8
Divisio	on 2	2 Transitional provisions for funding and first budget	9 10
85 I	Use	r-pays fees for changeover quarters	11
(1)	User-pays fees under this Act are payable by scheme members for the changeover quarters as if sections 64, 65, 68 and 69 had commenced one month before the first changeover quarter started.	12 13 14 15
(2	2)	For subsection (1), if the energy ombudsman has not yet been appointed, the fees must be paid to the regulator, for the ombudsman.	16 17 18
(.	3)	The former user-pays provisions do not apply for the changeover quarters, but continue to apply for any quarter that ended on or before the first changeover quarter.	19 20 21
(4	4)	In this section—	22
		<i>changeover quarters</i> means the first changeover quarter and second changeover quarter.	23 24
		<i>first changeover quarter</i> means the last quarter to end on or before the changeover day.	25 26
		<i>regulator</i> means the regulator under the <i>Electricity Act 1994</i> .	27
		<i>second changeover quarter</i> means the first quarter to start on or after the first changeover quarter.	28 29

86 Reference to previous fees for working out user-pays fee under this Act

Budget for first financial year

- (1) This section applies if, under the former user-pays provisions, a user-pays fee (the *previous fee*) was payable by a member entity under that Act for a quarter (the *earlier quarter*) ending on or before the first changeover quarter under section 85.
- (2) If the member entity is a scheme member under this Act, the 7 previous fee is, for working out the scheme member's 8 user-pays fee under section 69, taken to be its user-pays fee 9 for the earlier quarter.
- (3) If, under the *Energy Assets (Restructuring and Disposal) Act*(3) If, under the *Energy Assets (Restructuring and Disposal) Act*(11) 2006, a scheme member acquired all or part of the business of
 (12) the member entity, the energy ombudsman may have regard to
 (13) the previous fee in preparing a forecast under section 69 for
 (14) the member.

		age: .	in the manolar your	10
		Secti	on 74 does not apply for the following period—	17
		(a)	if the changeover day is a day on which a financial year starts—that financial year;	18 19
		(b)	otherwise—the period from the changeover day to the start of the next financial year.	20 21
Divis	sion	3	Existing disputes under energy Act	22
88	Ap	plicat	ion of div 3	23
	(1)	This day–	division applies if, immediately before the changeover	24 25
		(a)	under an energy Act, as in force immediately before the changeover day, a dispute between a customer and an energy entity had been referred to an energy Act regulator; and	26 27 28 29
		(b)	a mediated agreement or order under the energy Act, that has taken effect and binds the parties to the dispute, has not resolved the dispute.	30 31 32

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	(2)	This division applies even if the customer is not a small customer as defined under this Act.	1 2
89	Re	ferral becomes a dispute referral	3
	(1)	The referral is taken to be a dispute referral under this Act, made to the energy ombudsman on the changeover day.	4 5
	(2)	The energy ombudsman may start an investigation into the dispute referral without giving an investigation notice.	6 7
90	Do	cuments and information given for energy Act referral	8
	(1)	If, under the energy Act, a party to the dispute had given the regulator, an energy mediator or energy arbitrator (the <i>official</i>) documents or information to perform functions under that Act for the dispute, the official must give the energy ombudsman the documents or information.	9 10 11 12 13
	(2)	The documents or information are taken to have been given to the energy ombudsman for the investigation.	14 15
	(3)	This section does not affect any privilege or protection that applied for the giving of the documents or information to the official.	16 17 18

Part	Act 1996	19 20
91	Act amended in pt 10	21
	This part amends the Public Service Act 1996.	22
92	Amendment of sch 1 (Public service offices and their heads)	23 24
	Schedule 1—	25
	insert—	26

'12B Office of the Energy Ombudsman under the Energy Ombudsman Act 2006 Energy Ombudsman under the *Energy Ombudsman Act 2006*'.

Part 11Amendment of Queensland2Competition Authority Act 19973

93	Ac	t amended in pt 11	4
		This part amends the <i>Queensland Competition Authority Act</i> 1997.	5 6
94	Am	nendment of s 187 (Confidential information)	7
		Section 187(3)—	8
		insert—	9
	'(j)	the energy ombudsman, to facilitate the performance of the the ombudsman's functions under the <i>Energy Ombudsman Act 2006</i> .'.	10 11 12
95	Am	nendment of s 239 (Confidential information)	13
	(1)	Section 239(2)—	14
		insert—	15
	'(h)	the energy ombudsman, to facilitate the performance of the the ombudsman's functions under the <i>Energy Ombudsman Act 2006</i> .'.	16 17 18
	(2)	Section 239(3)—	19
		renumber as section 239(4).	20

insert— 1 (3) If, under subsection (2)(h), the authority discloses information 2 to the energy ombudsman, it must tell the energy ombudsman 3 that the information— 4 is confidential information to which this section applies; (a) 5 and 6 has been disclosed under subsection (2)(h).'. 7 (b)

Schedul	e Dictionary	1
	section 4	2
	<i>accepted order</i> means a final order that has been accepted under section 40.	3 4
	<i>advisory council</i> means the advisory council established under section 48.	5 6
	<i>compliance directions</i> see section 44(4).	7
	<i>connection contract</i> means a connection contract under an energy Act.	8 9
	<i>customer connection services</i> means customer connection services under an energy Act.	10 11
	<i>customer retail services</i> means customer retail services under an energy Act.	12 13
	<i>decision notice</i> see section 38(1).	14
	dispute referral means a referral made under section 18.	15
	<i>distributor</i> means a distribution entity under the <i>Electricity Act 1994</i> or a distributor under the <i>Gas Supply Act 2003</i> .	16 17
	energy Act see section 5.	18
	<i>energy Act authority</i> means an approval, authority or licence under an energy Act for customer connection services or customer retail services.	19 20 21
	<i>energy Act regulator</i> means the regulator under an energy Act.	22 23
	energy entity see section 7.	24
	energy entity function see section 8.	25
	<i>energy ombudsman</i> means the person who, under part 7, holds appointment as energy ombudsman.	26 27
	<i>energy ombudsman office</i> means the Office of the Energy Ombudsman established under section 59.	28 29
	<i>energy ombudsman officer</i> means an officer mentioned in section 59(3).	30 31

Schedule 1 (continued)

<i>party</i> , for a provision about a dispute referral, preliminary inquiry, investigation, final order or accepted order, means the small customer, the occupier of land or the energy entity who is a party to the relevant dispute for the dispute referral, inquiry, investigation or order.		
QCA means the Queensland Competition Authority under the <i>Queensland Competition Authority Act 1997</i> .		
<i>quarter</i> means a 3 month period ending at the end of 31 March, 30 June, 30 September or 31 December.		

Schedule 1 (continued)

(b)	a preliminary inquiry or an investigation—means the dispute being inquired or investigated; or	1 2
(c)	a provision about a final order or proposed final order—means the dispute referral for which the order was made or the proposed order is to be made.	3 4 5
releve	ant entity for—	6
(a)	a provision about a dispute referral, proposed dispute referral or final order—means the energy entity or entity mentioned in section 18(2) who performed the energy entity function the subject of the relevant dispute for the dispute referral, proposed dispute referral or order; or	7 8 9 10 11
(b)	an investigation—means the energy entity or entity mentioned in section 18(2) who performed the energy entity function the subject of the relevant dispute for the investigation.	12 13 14 15
	<i>ant occupier</i> , of land, means a person who is, or may be, cupier of land, as mentioned in section 18(1)(b).	16 17
retail	contract means a retail contract under an energy Act.	18
	<i>er</i> means a retail entity under the <i>Electricity Act 1994</i> or iller under the <i>Gas Supply Act 2003</i> .	19 20
	<i>ne member</i> means an entity that, under section 64, is a ne member.	21 22
small	customer see section 6.	23
-	al approval means a special approval under the ricity Act 1994.	24 25
unpa	<i>id fee interest</i> see section 71(1).	26
user-j	pays fee see section 65(2)(b).	27

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