

Queensland Building and Construction Commission Act 1991

Current as at 1 July 2014

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Queensland

Queensland Building and Construction Commission Act 1991

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Queensland Building and Construction Commission Act 1991

[as amended by all amendments that commenced on or before 1 July 2014]

An Act to regulate the building industry

Part 1 Preliminary

1 Short title

This Act may be cited as the *Queensland Building and* Construction Commission Act 1991.

3 Objects of Act

The objects of this Act are—

- (a) to regulate the building industry—
 - (i) to ensure the maintenance of proper standards in the industry; and
 - (ii) to achieve a reasonable balance between the interests of building contractors and consumers; and
- (b) to provide remedies for defective building work; and
- (c) to provide support, education and advice for those who undertake building work and consumers.

4 Definitions

The dictionary in schedule 2 defines particular words used in this Act.

Queensland Building and Construction Commission Act 1991 Part 2 Queensland Building and Construction Commission

[s 4A]

4A Value of building work carried out in stages

If building work is, or is to be, carried out in stages under a series of separate contracts, a reference in this Act to the value of the building work is a reference to the aggregate value of the building work carried out, or to be carried out, under those contracts.

4B Act binds all persons

This Act binds all persons, including the State and, so far as the legislative power of the Parliament permits, the Commonwealth and the other States.

4C Certain building contractors not bound

Parts 5 and 6 do not bind a building contractor to the extent that the business carried on by the building contractor consists of or includes—

- (a) carrying out completed building inspections; or
- (b) contract administration carried out in relation to building work designed by the building contractor.

Part 2 Queensland Building and Construction Commission

Division 1 Establishment, functions and powers

5 Establishment and status

- (1) The Queensland Building and Construction Commission is established.
- (2) The commission—

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- (a) is a body corporate; and
- (b) has a common seal; and
- (c) may sue and be sued in its corporate name.

6 Constitution

The commission consists of-

- (a) the Queensland Building and Construction Board; and
- (b) the commissioner and the organisational unit under the control of the commissioner.

7 Functions

The commission has the following functions—

- (a) to administer this Act and further its objects;
- (b) any function given to it under another Act.

8 Powers

- (1) The commission has all the powers of an individual and may, for example—
 - (a) enter into contracts or agreements; and
 - (b) acquire, hold, deal with and dispose of, property; and
 - (c) employ staff; and
 - (d) appoint agents and attorneys; and
 - (e) engage consultants; and
 - (f) charge a fee for services and other facilities it supplies; and
 - (g) do anything else necessary or convenient to be done for its functions.
- (2) Without limiting subsection (1), the commission has the powers given to it under an Act.

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9 Ministerial direction

- (1) The Minister may give the commission a written direction in relation to the commission and its functions.
- (2) The commission must comply with the direction.
- (3) The Minister must cause a copy of the direction to be tabled in the Legislative Assembly within 7 sitting days after it is given.
- (4) The commission's annual report under the *Financial Accountability Act 2009*, section 63 for the year in which the direction is given must include a copy of the direction.

Division 2 Queensland Building and Construction Board

Subdivision 1 Establishment and functions

10 Establishment

The Queensland Building and Construction Board is established as the commission's governing body.

11 Functions

The board has the following functions—

- (a) deciding the strategies and the operational, administrative and financial policies to be followed by the commission;
- (b) ensuring the commission performs its functions and exercises its powers in a proper, effective and efficient way;
- (c) making and reviewing policies governing the administration of this Act;
- (d) providing guidance and leadership to the commissioner;
- (e) advising the Minister on issues affecting—

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- (i) the building industry; and
- (ii) consumers; and
- (iii) the administration of this Act; and
- (iv) the administration of the commission;
- (f) advising the Minister about unfair or unconscionable trading practices affecting security of payments to contractors and subcontractors;
- (g) consulting the building industry and its consumers and advancing their interests, consistently with the objects of this Act.

Subdivision 2 Membership

12 Appointment

- (1) The board consists of 7 members appointed by the Governor in Council.
- (2) In appointing a person as a member, the Governor in Council must have regard to the person's ability to make a contribution to the effective and efficient performance of the commission's functions.
- (3) The members are appointed under this Act and not the *Public Service Act 2008*.

13 Disqualification as member

A person is disqualified from becoming, or continuing as, a member if the person—

- (a) has a recorded conviction, other than a spent conviction, for an indictable offence; or
- (b) is an insolvent under administration; or

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- (c) is not able to manage a corporation because of the Corporations Act, part 2D.6; or
- (d) is a relevant officer or contractor of the commission.

14 Conditions of appointment

- (1) A member is to be paid the remuneration and allowances decided by the Governor in Council.
- (2) For matters not provided for by this Act, a member holds office on the terms and conditions decided by the Governor in Council.

15 Term of appointment

- (1) A member is appointed for the term, of no more than 3 years, stated in the member's instrument of appointment.
- (2) However, a person's appointment as a member ends if, during the term of the appointment, the person becomes disqualified under section 13.

16 Chairperson

- (1) The Governor in Council must appoint a member as the chairperson of the board.
- (2) A person may be appointed as the chairperson when the person is appointed as a member.
- (3) The chairperson holds office for the term, ending no later than the person's term of appointment as a member, stated in the person's instrument of appointment as chairperson.
- (4) However, a person's appointment as chairperson ends if, during the term of the appointment, the person stops being a member.

17 Deputy chairperson

- (1) The Governor in Council must appoint a member, other than the chairperson, as deputy chairperson of the board.
- (2) The deputy chairperson holds office for the term, ending no later than the person's term of appointment as a member, stated in the person's instrument of appointment as chairperson.
- (3) However, a person's appointment as deputy chairperson ends if, during the term of the appointment, the person stops being a member.
- (4) The deputy chairperson must act as chairperson—
 - (a) during a vacancy in the office of chairperson; and
 - (b) during all periods when the chairperson is absent from duty or, for another reason, can not perform the functions of the office.

18 Resignation

- (1) A member may resign by signed notice given to the Minister.
- (2) Also, a member may resign the office of chairperson or deputy chairperson by signed notice given to the Minister.
- (3) The resignation takes effect—
 - (a) on the day the notice is given; or
 - (b) if a later day is stated in the notice—on the later day.
- (4) A person resigning from the office of chairperson or deputy chairperson may continue to be a member.

Subdivision 3 Board's policies

19 Board's policy

(1) The board may make a policy governing the administration of this Act.

(2)	However,	the	policies	can	not	prevent	the	commissioner
	acting inde	epen	dently wh	nen m	nakin	g decisio	ns—	-

- (a) about the action to be taken about a licensee's licence; or
- (b) about rectification or completion of building work; or
- (c) relating to the statutory insurance scheme.
- (3) Also, the policies do not take effect until they have been approved by regulation.
- (4) The commission must—
 - (a) publish the policies on its website; and
 - (b) keep copies of them available for inspection, without charge, at the commission's office at any time that office is open to the public; and
 - (c) if asked, advise where copies of them may be obtained.

Subdivision 4 Business

20 Conduct of business

Subject to this division and any requirement prescribed under a regulation, the board may conduct its business, including its meetings, in the way it considers appropriate.

20A Meetings

- (1) The chairperson must convene a meeting when requested by at least 3 members.
- (2) At a meeting of the board—
 - (a) 4 members constitute a quorum; and
 - (b) a question is to be decided by a majority of votes of the members present and voting; and

[s 20B]

- (c) each member present has 1 vote on any question arising for decision and, if the votes are equal, the member presiding at the meeting has a casting vote.
- (3) The board must keep minutes of its meetings.

20B Committees

The board, or the Minister, may appoint committees to advise the board on particular subjects.

20C Disclosure of interests

- (1) This section applies to a member if—
 - (a) the member has an interest in an issue being considered, or about to be considered, by the board; and
 - (b) the interest conflicts or may conflict with the proper performance of the member's duties about the consideration of the issue.
- (2) After the relevant facts come to the member's knowledge, the member must disclose the nature of the interest to a board meeting.
- (3) Unless the board otherwise directs, the member must not—
 - (a) be present when the board considers the issue; or
 - (b) take part in a decision of the board about the issue.
- (4) The member must not be present when the board is considering whether to give the direction.
- (5) If there is another person who must, under subsection (2), also disclose an interest in the issue, the other person must not—
 - (a) be present when the board is considering whether to give the direction; or
 - (b) take part in making the decision about giving the direction.
- (6) Subsections (7) and (8) apply if—

[s 20D]

- (a) because of this section, a member is not present at a board meeting for considering or deciding the issue, or for considering or deciding whether to give the direction; and
- (b) there would be a quorum if the member were present.
- (7) The remaining members present are a quorum of the board for considering or deciding the issue, or for considering or deciding whether to give the direction, at the meeting.
- (8) If there are no members who may remain present for considering or deciding the issue, the Minister may consider and decide the issue.
- (9) The disclosure must be recorded in the board's minutes.

Division 3 Commissioner

20D Appointment

- (1) The commission must have a commissioner.
- (2) The commissioner must be appointed by the board with the Minister's prior written approval.
- (3) The commissioner is employed under this Act and not under the *Public Service Act 2008*.

20E Disqualification as commissioner

A person is disqualified from becoming, or continuing as, the commissioner if the person—

- (a) has a recorded conviction, other than a spent conviction, for an indictable offence; or
- (b) is an insolvent under administration; or
- (c) is not able to manage a corporation because of the Corporations Act, part 2D.6; or
- (d) is a member or a contractor of the commission.

20F Conditions of appointment

For matters not provided for under this Act or stated in the contract of employment, the commissioner holds office on the terms of appointment decided by the board with the Minister's written approval.

20G Term of appointment

- (1) The commissioner holds office for the term, not more than 3 years, stated in his or her contract of employment.
- (2) However, a person's appointment as commissioner ends if, during the term of the appointment, the person becomes disqualified under section 20E.
- (3) Although the board may, at any time, remove a person appointed as commissioner, the removal does not affect any rights to compensation to which the person is entitled under his or her contract of employment.

20H Resignation

The commissioner may resign by signed notice given to the chairperson of the board.

20I Appointment of acting commissioner

The board may only act under the *Acts Interpretation Act* 1954, section 24B or 25 in appointing a person to act in the office of commissioner with the Minister's prior written approval.

20J Role of the commissioner

- (1) The commissioner is responsible for the following—
 - (a) the overall management of the organisational unit under the control of the commissioner;
 - (b) administration of the licensing system established by this Act;

(c)	administration of a system of inspection;					
(d)	issuing directions for rectification of building work under this Act;					
(e)	taking disciplinary and other proceedings under this Act;					
(f)	assessing and approving payment of insurance claims;					
(g)	undertaking strategic planning;					
(h)	issuing warnings to the public or any section of the public;					
(i)	providing and promoting consumer education;					
(j)	providing an advisory service to consumers about—					
	(i) their statutory rights and obligations; and					
	(ii) insurance claims that may arise about building work; and					
	(iii) the commission's role, functions and operating procedures; and					
	(iv) any incidental matters;					
(k)	providing courses of instruction for-					
	(i) persons seeking to obtain licences; and					
	(ii) licensees; and					
	(iii) persons proposing to carry out building work as owner-builders; and					
	 (iv) other persons seeking to acquire knowledge or expertise in subjects related to the building industry; 					
(1)	performing a function given to the commissioner under an Act.					
In undertaking the strategic planning, the commissioner must have regard to cyclical industry conditions to ensure the commission's available revenue base, and its assets and						

(2)

[s 20K]

reserves, are enough to allow it to maintain the services it is required to provide.

- (3) The commissioner has all the powers necessary for carrying out those responsibilities and any function (an *additional function*) the commissioner is authorised by another Act to carry out.
- (4) In carrying out an additional function, the commissioner may adopt the procedures of this Act unless the Act authorising the function prescribes another procedure.

20K Relationship between commissioner and board

- (1) In carrying out the commissioner's responsibilities, other than performing an additional function, the commissioner must give effect to any policy or direction of the board relevant to the responsibility.
- (2) However, the commissioner must act independently of the board when making any of the following types of decisions giving effect to the board's policies—
 - (a) a decision about the action to be taken about a licensee's licence;
 - (b) a decision about rectification or completion of building work;
 - (c) a decision relating to the statutory insurance scheme.
- (3) The commissioner must—
 - (a) report regularly to the board on the administration of this Act; and
 - (b) at the request of the board, provide the board with a special report on a particular subject.
- (4) In this section—

additional function see section 20J(3).

[s 20L]

20L Commissioner not to engage in other paid employment

The commissioner must not, without the board's prior written approval—

- (a) engage in paid employment outside the duties of the office of commissioner; or
- (b) actively take part in the activities of a business, or in the management of a corporation carrying on business.

20M Conflicts of interest

If the commissioner has an interest that conflicts or may conflict with the discharge of the commissioner's responsibilities, the commissioner—

- (a) must disclose the nature of the interest and conflict to the board as soon as practicable after the relevant facts come to the commissioner's knowledge; and
- (b) must not take action or further action concerning a matter that is, or may be, affected by the conflict unless authorised by the board.

Division 5 The insurance manager

21 Appointment of insurance manager

- (1) There is to be an insurance manager of the commission.
- (2) The commission must—
 - (a) appoint an individual to be the insurance manager; or
 - (b) enter into a work performance arrangement under which an employee of the employing office or of another government entity holds office as the insurance manager.
- (3) If the commission appoints a person to be the insurance manager under subsection (2)(a), the remuneration and

[s 22]

conditions of appointment of the insurance manager are to be decided by the commission.

- (4) The commission may appoint a person to act, or enter into a work performance arrangement under which an employee of the employing office or of another government entity acts, as insurance manager of the commission—
 - (a) when there is a vacancy in the position of insurance manager of the commission; or
 - (b) for any period, or all periods, when the insurance manager is absent from duty or, for any other reason, can not perform the functions of the position.

22 Role of insurance manager

- (1) The insurance manager must report regularly to the board on the administration of the statutory insurance scheme and, if asked by the board, must give the board a special report on a particular subject.
- (2) The insurance manager is independent of the general manager's direction in reporting under subsection (1), but is otherwise subject to the general manager's direction.

Division 6 Financial provisions

25 General Statutory Fund

- (1) A fund called the General Statutory Fund is established.
- (2) The fund consists of—
 - (a) the balance of the Builders' Registration Board General Fund as at the commencement of this section; and
 - (b) any money received or recovered by the commission except in connection with the insurance scheme; and
 - (c) all amounts transferred from the Insurance Fund under section 26.

- (3) The following amounts are to be paid from the fund—
 - (a) costs of administering this Act, apart from the costs of administering the statutory insurance scheme;
 - (b) amounts mentioned in subsection (4A).
- (4) A regulation may state a single amount that is to be transferred from the fund to the Insurance Fund.
- (4A) The commission must, at times decided by the commission, transfer amounts from the fund to the Insurance Fund in payment of the single amount stated in the regulation made under subsection (4).
 - (5) The commission may apply any amount surplus to the commission's budgetary requirements for a particular financial year to encourage or assist education or research related to the building industry.

26 Insurance Fund

- (1) A fund called the Insurance Fund is established.
- (2) The fund consists of—
 - (a) the balance of the Builders' Registration Board Insurance Fund as at the commencement of this section; and
 - (b) all money received or recovered by the commission in connection with the statutory insurance scheme; and
 - (c) all amounts transferred from the General Statutory Fund under section 25.
- (3) The following amounts are to be paid from the fund—
 - (a) the costs of administering the statutory insurance scheme;
 - (b) the costs of paying out claims under the statutory insurance scheme.
- (4) A regulation may require the fund to be managed—
 - (a) in the way prescribed under a regulation; or

[s 26A]

(b) in accordance with an external standard of fund administration prescribed under a regulation.

26A Management of statutory insurance scheme

The commission must ensure that the statutory insurance scheme is managed in accordance with actuarially sustainable principles so that the amounts paid into the Insurance Fund under section 26(2) will be sufficient to satisfy the amounts to be paid from the Insurance Fund under section 26(3).

27 Application of financial Acts

- (1) The commission is—
 - (a) a statutory body under the *Financial Accountability Act* 2009; and
 - (b) a statutory body under the *Statutory Bodies Financial* Arrangements Act 1982 (the **SBFA**).
- (2) The SBFA, part 2B, sets out the way in which the commission's powers under this Act are affected by the SBFA.

28 Commission's obligation to report suspected offence

If the commission has reason to suspect that an offence resulting in loss of money, or loss or damage to other property has been committed, the matter must be reported to the auditor-general and the police.

Division 7 Other provisions

29 Commission may enter into work performance arrangements

(1) The commission may enter into, and give effect to, a work performance arrangement with—

	(a)	the employing office; or			
	(b)	the appropriate authority of another government entity.			
(2)	A work performance arrangement may make provision for all matters necessary or convenient to be provided under the arrangement.				
(3)	For example, a work performance arrangement may provide for—				
	(a)	the appointment of a person to an office, and the holding of the office by the person, for the arrangement; and			
	(b)	the authorising of a person to exercise powers for the arrangement; and			
	(c)	whether payment is to be made for work done under the arrangement and, if so, what payment is to be made and who is to make the payment.			
(4)	A person performing work for the commission under a work performance arrangement entered into under subsection (1).				
	(a)	is not employed by the commission; and			
	(b)	remains an employee of the employing office, or an employee of the other government entity whose appropriate authority is a party to the arrangement.			
(5)	To remove any doubt, it is declared that the commission does not have power to employ a person performing work for the commission under a work performance arrangement entered into under subsection (1).				

[s 29A]

Part 2A Queensland Building and Construction Employing Office

Division 1 Establishment and functions of employing office

29A Establishment of employing office

- (1) The Queensland Building and Construction Employing Office is established.
- (2) The employing office consists of—
 - (a) the executive officer; and
 - (b) the employees of the employing office.
- (3) The employing office is a separate entity from the commission.

29B Employing office represents the State

- (1) The employing office represents the State.
- (2) Without limiting subsection (1), the employing office has the status, privileges and immunities of the State.

29C Functions of employing office

- (1) The main functions of the employing office are—
 - (a) entering into, for the State, a work performance arrangement with the commission under which employees of the employing office perform work for the commission; and
 - (b) employing, for the State, staff to perform work for the commission under the work performance arrangement; and
 - (c) doing anything incidental to the discharge of the functions mentioned in paragraphs (a) and (b).

[s 29D]

- (2) Also, the employing office has any other function conferred on the employing office under this or another Act.
- (3) This section does not limit the employing office's power to enter into and give effect to a work performance arrangement under section 29G with a government entity other than the commission.

Division 2 Executive officer

29D Appointment of executive officer

- (1) There is to be an executive officer of the employing office.
- (2) The executive officer is to be appointed by the Governor in Council.
- (3) The executive officer is appointed under this Act and not under the *Public Service Act 2008*.

29E Executive officer acting for employing office

- (1) The employing office acts through the executive officer.
- (2) Anything done by the executive officer in the name of, or for, the employing office is taken to have been done by the employing office.

Division 3 Staff of employing office

29F Employing office may employ staff

- (1) The employing office may, for the State, employ staff.
- (2) A person employed under subsection (1) is an *employee of the employing office*.
- (3) The employing office may decide the terms of employment of the employees of the employing office.
[s 29G]

- (4) Subsection (3) applies subject to any relevant industrial instrument.
- (5) Employees of the employing office are employed under this Act and not under the *Public Service Act 2008*.

29G Employing office may enter into work performance arrangements

- (1) The employing office may, for the State, enter into and give effect to a work performance arrangement with—
 - (a) the commission; or
 - (b) the appropriate authority of another government entity.
- (2) A work performance arrangement may make provision for all matters necessary or convenient to be provided under the arrangement.
- (3) For example, a work performance arrangement may provide for—
 - (a) the appointment of a person to an office, and the holding of the office by the person, for the arrangement; and
 - (b) the authorising of a person to exercise powers for the arrangement; and
 - (c) whether payment is to be made for work done under the arrangement and, if so, what payment is to be made and who is to make the payment.
- (4) A person performing work for the commission or other government entity under a work performance arrangement entered into under subsection (1)—
 - (a) is not employed by the commission or other government entity; and
 - (b) remains an employee of the employing office.
- (5) To remove any doubt, it is declared that the commission or another government entity does not have power to employ a person performing work for the commission or other

[s 29H]

government entity under a work performance arrangement entered into under subsection (1).

Division 4 Other provisions

29H Employing office is statutory body

- (1) The employing office is a statutory body under—
 - (a) the *Financial Accountability Act 2009*; and
 - (b) the Statutory Bodies Financial Arrangements Act 1982.
- (2) For applying the *Financial Accountability Act 2009* to the employing office as a statutory body—
 - (a) the executive officer is taken to be the chairperson of the employing office; and
 - (b) the *Financial Accountability Act 2009* is taken to require the executive officer to consider the annual financial statements and the auditor-general's report as soon as practicable after they are received by the employing office; and
 - (c) the *Financial Accountability Act 2009* is taken to require the executive officer to consider any observations, suggestions or comments given to the executive officer under the *Auditor-General Act 2009* as soon as practicable after the executive officer receives them.

Part 3 Licensing

Division 1 Classes of licences

30 Classes of contractors' licences

- (1) A licence (a *contractor's licence*) may be issued authorising the licensee—
 - (a) to carry out all classes of building work; or
 - (b) to carry out building work of 1 or more classes specified in the licence.
- (2) Contractors' licences are to be divided into classes by regulation—
 - (a) according to whether the licence relates to all classes of building work or is limited to a specified class or specified classes of building work; and
 - (b) if the licence is limited to a specified class, or specified classes, of building work—according to the class or classes of building work to which it relates.
- (3) A contractor's licence may be issued for any class of licence.
- (4) However, a regulation may specify a class of licence to be a class that may be held and renewed by a person who held that class immediately before the commencement of the regulation specifying the class but may not, after the commencement of that regulation, be applied for by, or issued to, another person.

30A Nominee supervisor's licence

- (1) A licence (a *nominee supervisor's licence*) may be issued authorising an individual—
 - (a) if the individual is the nominee for a licensed contractor that is a company, to—
 - (i) provide supervisory services for building work carried out under the company's licence; and

- (ii) perform the functions required of a nominee under this Act; or
- (b) if the individual is an officer or employee of a company, other than the company's nominee—to personally supervise building work carried out under the company's licence.
- (2) An individual who holds a nominee supervisor's licence and who is the employee of a licensed contractor that is an individual may personally supervise building work carried out under the contractor's licence.
- (3) Nominee supervisors' licences are to be divided into classes by regulation—
 - (a) according to whether the licence relates to all classes of building work or is limited to a specified class or specified classes of building work; and
 - (b) if the licence is limited to a specified class, or specified classes, of building work—according to the class or classes of building work to which it relates.
- (4) A nominee supervisor's licence may be issued for any class of licence.

30B Site supervisor's licence

- (1) A licence (a *site supervisor's licence*) may be issued authorising an individual, while the individual is an officer or employee of a licensed contractor that is a company, to personally supervise building work carried out under the company's licence.
- (2) A licence (also a *site supervisor's licence*) may be issued authorising an individual, while the individual is an employee of a licensed contractor that is an individual, to personally supervise building work carried out under the contractor's licence.
- (3) Site supervisors' licences are to be divided into classes by regulation—

[s 30C]

- (a) according to whether the licence relates to all classes of building work or is limited to a specified class or specified classes of building work; and
- (b) if the licence is limited to a specified class, or specified classes, of building work—according to the class or classes of building work to which it relates.
- (4) A site supervisor's licence may be issued for any class of licence.

30C Fire protection occupational licence

- (1) A licence (a *fire protection occupational licence*) may be issued authorising an individual, while the individual is an officer or employee of a licensed contractor that is a company, to personally carry out and personally supervise fire protection work carried out under the company's licence.
- (2) A licence (also a *fire protection occupational licence*) may be issued authorising an individual, while the individual is an employee of a licensed contractor that is an individual, to personally carry out and personally supervise fire protection work carried out under the contractor's licence.
- (3) Fire protection occupational licences are to be divided into classes by regulation—
 - (a) according to whether the licence relates to all classes of fire protection work or is limited to a specified class or specified classes of fire protection work; and
 - (b) if the licence is limited to a specified class, or specified classes, of fire protection work—according to the class or classes of fire protection work to which it relates.
- (4) A fire protection occupational licence may be issued for any class of licence.

Division 2 Entitlement to licence

31 Entitlement to contractor's licence

- (1) A person (not being a company) is entitled to a contractor's licence if the commission is, on application by that person, satisfied that—
 - (a) the applicant is a fit and proper person to hold the licence; and
 - (b) the applicant has the qualifications and experience required by regulation in relation to a licence of the relevant class; and
 - (c) the applicant satisfies the relevant financial requirements stated in the board's policies; and
 - (d) the applicant can lawfully work in Queensland; and
 - (e) the applicant is not an excluded individual for a relevant event or a permanently excluded individual; and
 - (f) the applicant is not a disqualified individual; and
 - (g) the applicant is not a banned individual; and
 - (h) the applicant does not have an unpaid judgment debt for an amount the commission may recover under section 71.
- (2) A company is entitled to a contractor's licence if the commission is satisfied, on application by that company for a licence, that—
 - (a) the directors, secretary and influential persons for the company are fit and proper persons to exercise control or influence over a company that holds a contractor's licence; and
 - (b) the company's nominee holds a licence specifically identifying, as a class of building work that the nominee may supervise, the same class of building work for which the licence is sought by the company; and

- (c) the applicant satisfies the relevant financial requirements stated in the board's policies; and
- (d) the company is not an excluded company; and
- (e) the company is not a company for which a banned or disqualified individual is a director, secretary, influential person or nominee; and
- (f) neither the company, nor a director, secretary, influential person or nominee of the company has an unpaid judgment debt for an amount the commission may recover under section 71.
- (3) In deciding whether a particular person is a fit and proper person to hold a contractor's licence or to exercise control or influence over a company that holds a contractor's licence, the commission may have regard to—
 - (a) commercial and other dealings in which that person has been involved and the standard of honesty and integrity demonstrated in those dealings; and
 - (b) any failure by that person to carry out commercial or statutory obligations and the reasons for the failure; and
 - (c) tier 1 defective work carried out by the person, whether or not the person received a notice under section 67AH, 67AI, 67AL or 67AM stating a term of ban for the work; and
 - (ca) if the person is an enforcement debtor under an enforcement order for an infringement notice offence for this Act or the *Domestic Building Contracts Act* 2000—whether the person has taken steps under the *State Penalties Enforcement Act 1999* to discharge the amount stated in the enforcement order; and
 - (d) any other relevant factor.
- (4) However, the commission may not have regard to the matter mentioned in subsection (3)(ca) if—
 - (a) the person has applied for cancellation of the relevant enforcement order and the application for cancellation has not been finally decided; or

(b) 28 days have not elapsed since the date of the enforcement order.

31A No entitlement to contractor's licence if particular partners

A person (whether an individual or a company) is not entitled to a contractor's licence if the person carries on, or intends to carry on, business under the licence in partnership with another person who is—

- (a) an excluded individual; or
- (b) a permanently excluded individual; or
- (c) a convicted company officer; or
- (d) a banned individual; or
- (e) a disqualified individual; or
- (f) an excluded company; or
- (g) a company for which a permanently excluded individual is a director, secretary, influential person or nominee; or
- (h) a company for which a convicted company officer is a director, secretary, influential person or nominee; or
- (i) a company for which a banned individual is a director, secretary, influential person or nominee; or
- (j) a company for which a disqualified individual is a director, secretary, influential person or nominee.

32 Entitlement to a nominee supervisor's licence

- (1) An individual is entitled to a nominee supervisor's licence if the commission is, on application by the individual, satisfied that—
 - (a) the applicant has the qualifications and experience required by regulation for a licence of the relevant class; and
 - (b) the applicant can lawfully work in Queensland; and

- (c) the applicant is not an excluded individual for a relevant event or a permanently excluded individual; and
- (d) the applicant is not a banned individual; and
- (e) the applicant is not a disqualified individual; and
- (f) the applicant does not have an unpaid judgment debt for an amount the commission may recover under section 71; and
- (g) the applicant is a fit and proper person to hold the licence.
- (2) In deciding whether an applicant is a fit and proper person to hold the licence, the commission may have regard to—
 - (a) commercial and other dealings in which the applicant has been involved and the standard of honesty and integrity demonstrated in those dealings; and
 - (b) any failure by the applicant to carry out commercial or statutory obligations and the reasons for the failure; and
 - (c) tier 1 defective work carried out by the applicant, whether or not the applicant received a notice under section 67AH, 67AI, 67AL or 67AM stating a term of ban for the work; and
 - (d) if the person is an enforcement debtor under an enforcement order for an infringement notice offence for this Act or the *Domestic Building Contracts Act 2000*—whether the person has taken steps under the *State Penalties Enforcement Act 1999* to discharge the amount stated in the enforcement order; and
 - (e) any other relevant factor.
- (3) However, the commission may not have regard to the matter mentioned in subsection (2)(d) if—
 - (a) the person has applied for cancellation of the relevant enforcement order and the application for cancellation has not been finally decided; or
 - (b) 28 days have not elapsed since the date of the enforcement order.

32AA Entitlement to a site supervisor's licence

- (1) An individual is entitled to a site supervisor's licence if the commission is, on application by the individual, satisfied that—
 - (a) the applicant has the qualifications required by regulation for a licence of the relevant class; and
 - (b) the applicant can lawfully work in Queensland; and
 - (c) the applicant is not a banned individual; and
 - (d) the applicant is a fit and proper person to hold the licence.
- (2) In deciding whether an applicant is a fit and proper person to hold the licence, the commission may have regard only to—
 - (a) tier 1 defective work carried out by the applicant, whether or not the applicant received a notice under section 67AH, 67AI, 67AL or 67AM stating a term of ban for the work; and
 - (b) if the person is an enforcement debtor under an enforcement order for an infringement notice offence for this Act or the *Domestic Building Contracts Act 2000*—whether the person has taken steps under the *State Penalties Enforcement Act 1999* to discharge the amount stated in the enforcement order.
- (3) However, the commission may not have regard to the matter mentioned in subsection (2)(b) if—
 - (a) the person has applied for cancellation of the relevant enforcement order and the application for cancellation has not been finally decided; or
 - (b) 28 days have not elapsed since the date of the enforcement order.

32AB Entitlement to a fire protection occupational licence

(1) An individual is entitled to a fire protection occupational licence if the commission is, on application by the individual, satisfied that—

- (a) the applicant has the qualifications required by regulation for a licence of the relevant class; and
- (b) the applicant can lawfully work in Queensland; and
- (c) the applicant is not a banned individual; and
- (d) the applicant is a fit and proper person to hold a licence.
- (2) In deciding whether an applicant is a fit and proper person, the commission may have regard only to—
 - (a) tier 1 defective work carried out by the applicant, whether or not the applicant received a notice under section 67AH, 67AI, 67AL or 67AM stating a term of ban for the work; and
 - (b) if the person is an enforcement debtor under an enforcement order for an infringement notice offence for this Act or the *Domestic Building Contracts Act 2000*—whether the person has taken steps under the *State Penalties Enforcement Act 1999* to discharge the amount stated in the enforcement order.
- (3) However, the commission may not have regard to the matter mentioned in subsection (2)(b) if—
 - (a) the person has applied for cancellation of the relevant enforcement order and the application for cancellation has not been finally decided; or
 - (b) 28 days have not elapsed since the date of the enforcement order.

32A Exception for s 30(4) licences

This division is subject to section 30(4).

Division 3 Grant of licence

33 Application for licence

(1) An application for a licence—

- (a) must be made in accordance with the regulations; and
- (b) must be accompanied by—
 - (i) if the applicant is an individual, 2 recent passport-size photographs of the applicant suitable for inclusion in a licence and certified in the way prescribed by regulation; and
 - (ii) the appropriate application fee fixed by regulation.
- (2) An applicant for a licence must at the request of the commission provide any further information or evidence that the commission may require to decide the application.
- (3) In deciding whether to give a licence, the commission may make inquiries and investigations that are reasonable and appropriate in the circumstances, including for example by—
 - (a) seeking confirmation about the experience of applicants through site inspections and referee checks; and
 - (b) carrying out checks with the Australian Securities and Investment Commission, bankruptcy registers and credit bureaus.

34 Grant of licence

- (1) If the commission is satisfied, on an application under this division, that the applicant is entitled to a licence, the commission must issue a licence of the appropriate class.
- (2) A licence is to be in the form of a card and must—
 - (a) state the licensee's name and licence number; and
 - (b) state the type of licence; and
 - (c) state the class of building work the licensee is licensed to carry out; and
 - (d) if the licensee is an individual, contain a recent photograph of the licensee; and
 - (e) state when the licence is due for renewal.

[s 34A]

- (3) The commission may also issue a licence certificate in a form determined by the commission.
- (4) If, on application by a licensee and payment of the fee required by regulation, the commission is satisfied that a licence card or a licence certificate has been lost, damaged or destroyed, the commission may issue a new licence card or licence certificate.

Division 3A Issue of PINs to licensees

34A PINs

- (1) The commission may give a licensee a confidential personal identification number (a *PIN*) for use by the licensee in the licensee's dealings with the commission.
- (2) When the commission gives a PIN to a licensee, the commission must also advise the licensee of the commission's requirements for the licensee's keeping and use of the PIN.

Division 4 Conditions of licence

35 Imposition of conditions etc. on grant of licence

- (1) A licence may be granted subject to such conditions as the commission considers appropriate.
- (2) Without limiting subsection (1), a licence for which an occupational licence is required is taken to be subject to the condition that the licensee hold, and continue to hold, for the term of the licence, the occupational licence.
- (3) Without limiting subsection (1), a contractor's licence is subject to the condition that—
 - (a) the licensee's financial circumstances must at all times satisfy the relevant financial requirements stated in the board's policies; and

(b) variations of the contractor's turnover and assets must be notified, or notified and approved, in accordance with the relevant financial requirements stated in the board's policies.

36 Subsequent imposition of conditions etc.

- (1) If the commission has reason to believe—
 - (a) that a licensee may have insufficient financial resources to meet possible liabilities in relation to building work; or
 - (b) that there is some other proper ground for imposing a condition on the licence;

the commission may notify the licensee of the proposed condition and invite the licensee, within a period specified in the notice, to make written representations on the proposal.

- (2) After considering the written representations (if any) made by the licensee, the commission, if satisfied that the condition is appropriate, may, by notice to the licensee, impose the condition.
- (3) A condition may be imposed preventing the licensee from continuing to carry on business until the licensee has lodged with the commission appropriate security against possible liabilities in relation to building work.
- (3A) A condition may be imposed requiring the licensee to complete a course module included in technical or managerial national competency standards relevant to the building industry.
- (3B) A condition may be imposed requiring the licensee to give to the commission specified documents that relate to the licensee's obligations under part 4A or the *Domestic Building Contracts Act 2000*.
- (3C) Subsections (3), (3A) and (3B) do not limit the power to impose conditions under subsection (2).

[s 37]

- (4) The commission may, by subsequent notice to the licensee, vary or revoke a condition imposed under this section.
- (5) A notice imposing or varying a condition must inform the licensee of the licensee's right to apply for a review of the commission's decision to impose or vary the condition.

Division 5 Renewal of licences

37 When licences to be renewed

- (1) A contractor's licence and a nominee supervisor's licence must be renewed 1 year after their issue or their previous renewal day.
- (2) A site supervisor's licence and a fire protection occupational licence must be renewed for either of the following periods after their issue or their previous renewal day—
 - (a) 1 year;
 - (b) a longer period prescribed under a regulation.
- (3) For subsection (2), a licensee may choose whether to renew the licensee's licence for 1 year or the longer period prescribed under a regulation.

37A Commission to advise licensee before licence due for renewal

- (1) The commission must give notice in writing to a licensee at least 28 days before the renewal day for the licensee's licence.
- (2) The notice must state the following—
 - (a) the renewal day for the licensee's licence;
 - (b) if the licensee is an individual, whether or not the commission requires 2 recent passport-size photographs of the licensee suitable for inclusion in a licence and certified in the way prescribed by regulation;

- (c) the renewal fee for the licence and that it must be paid on or before the renewal day;
- (d) the amount, if any, to be added to the renewal fee under section 37C;
- (e) for a contractor's licence, when the documentary evidence required by the financial requirements stated in the board's policies must be given to the commission.

37B Applications for renewal of licence

- (1) A licensee may apply to the commission for the renewal of the licensee's licence before the renewal day for the licence.
- (2) The application must—
 - (a) be made in the way prescribed under a regulation; and
 - (b) be accompanied by the appropriate fee prescribed by regulation for the renewal plus any amount by which the renewal fee is increased under section 37C; and
 - (c) if the commission has advised that it requires 2 recent passport-size photographs of the licensee suitable for inclusion in a licence and certified in the way prescribed by regulation—be accompanied by the photographs.
- (3) If requested by the commission by written notice, the licensee must, within the reasonable time stated in the notice, give the commission the further information or evidence the commission requires to decide whether the applicant continues to be entitled to hold a licence.

37C Renewal fee increased if directions given

- (1) This section applies if a licensee—
 - (a) applies for renewal of the licensee's licence; and
 - (b) has been given a direction or directions under section 72—
 - (i) for a licence that has been previously renewed, since the previous renewal day; or

- (ii) for a licence that has not previously been renewed, since the issue of the licence.
- (2) The amount of the renewal fee payable by the licensee is increased by an amount equivalent to the value of a penalty unit for each direction given to the licensee.
- (3) However, the maximum amount by which the renewal fee may be increased at a renewal day is an amount equivalent to the value of 10 penalty units.
- (4) Subsection (2) does not apply if the licensee has started a proceeding in the tribunal for review of the commission's direction under section 72(15) and either—
 - (a) the licensee has not withdrawn the proceeding and the proceeding or any appeal from the proceeding has not been decided; or
 - (b) the tribunal has decided that none of the items listed in the direction needed rectification.
- (5) Subsection (6) applies if—
 - (a) a licensee is given a direction or directions before the renewal day for the licensee's licence; and
 - (b) the licensee starts a proceeding for review of the commission's direction under section 72(15); and
 - (c) either—
 - (i) the licensee withdraws the proceeding after the renewal day for the licensee's licence; or
 - (ii) the proceeding is decided after the renewal day for the licensee's licence and the decision is that 1 or more of the items listed on the direction needed rectification.
- (6) The amount of the next renewal fee payable by the licensee is increased by an amount equivalent to the value of a penalty unit for each direction given to the licensee.
- (7) Subsection (8) applies if—

- (a) a direction to rectify building work is given to a licensee before the renewal day for the licensee's licence; and
- (b) the period for rectification stated in the direction expires after the renewal day for the licensee's licence; and
- (c) the licensee has not rectified the work before the renewal day for the licensee's licence.
- (8) For the purposes of this section, the direction is taken to have been given after the renewal day for the licensee's licence.

38 Suspension for non-payment of fee

- (1) If a licensee fails to pay the appropriate licence fee within the time allowed under the regulations, the commission may, by notice to the licensee, suspend the licence.
- (2) A suspension imposed under this section terminates on payment of the appropriate fee.
- (3) If a licence has remained in suspension under this section for more than 3 months, the commission may, by notice to the licensee, cancel the licence.
- (4) In this section—

licence fee includes any amount by which the renewal fee is increased under section 37C.

38A Receipt of fee does not revive licence

- (1) This section applies if, despite the cancellation or suspension of a licence under this Act, other than a suspension under section 38(1), the commission accepts the payment of an amount purporting to be the licence fee for the licence.
- (2) The licence does not stop being cancelled or suspended merely because of the commission's acceptance of the payment.
- (3) Subsection (2) applies whether or not the commission gives a receipt for the payment.

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Division 7 Requirement to be licensed

42 Unlawful carrying out of building work

(1) A person must not carry out, or undertake to carry out, building work unless the person holds a contractor's licence of the appropriate class under this Act.

Maximum penalty-250 penalty units.

- (2) However, subsection (1) does not apply to a person to the extent that the person is exempt under schedule 1A.
- (3) Subject to subsection (4), a person who carries out building work in contravention of this section is not entitled to any monetary or other consideration for doing so.
- (4) A person is not stopped under subsection (3) from claiming reasonable remuneration for carrying out building work, but only if the amount claimed—
 - (a) is not more than the amount paid by the person in supplying materials and labour for carrying out the building work; and
 - (b) does not include allowance for any of the following—
 - (i) the supply of the person's own labour;
 - (ii) the making of a profit by the person for carrying out the building work;
 - (iii) costs incurred by the person in supplying materials and labour if, in the circumstances, the costs were not reasonably incurred; and
 - (c) is not more than any amount agreed to, or purportedly agreed to, as the price for carrying out the building work; and
 - (d) does not include any amount paid by the person that may fairly be characterised as being, in substance, an amount paid for the person's own direct or indirect benefit.

42A Exemption from s 42 for up to 6 months

- (1) This section applies if—
 - (a) on or after 1 July 2000, a class of licence (the *new class of licence*) is established under this Act; and
 - (b) immediately before the establishment of the new class of licence a person (the *relevant person*) was carrying on a business that included carrying out work (*relevant work*) within the scope of work for the new class of licence; and
 - (c) either of the following applied immediately before the establishment of the new class of licence—
 - (i) no relevant work was building work;
 - (ii) some relevant work was building work, but its carrying out was incidental to the carrying out of all other relevant work.
- (2) The relevant person does not contravene section 42(1) in carrying out, or undertaking to carry out, relevant work unless—
 - (a) an application by the relevant person for the new class of licence is granted or refused; or
 - (b) 6 months have elapsed since the new class of licence was established.

42B Carrying out building work without a nominee

(1) A licensee that is a company must not carry out, or undertake to carry out, building work unless the licensee has a nominee who holds a contractor's licence or a nominee supervisor's licence for the building work carried out, or undertaken to be carried out, under the company's class of licence.

Maximum penalty-250 penalty units.

(2) However, a licensee does not commit an offence against subsection (1) if the period the licensee has not had a nominee is less than 28 days.

[s 42C]

42C Unlawful carrying out of fire protection work

- (1) An individual must not personally carry out, or personally supervise, fire protection work unless the individual—
 - (a) holds a fire protection occupational licence; or
 - (b) holds a licence, registration or authorisation under this or another Act that allows the person to personally carry out or personally supervise the work.

Maximum penalty—250 penalty units.

- (2) Subsection (1) does not apply to an individual who personally carries out fire protection work if—
 - (a) the fire protection work is a type prescribed under a regulation; and
 - (b) the individual has the technical qualifications prescribed under a regulation for the type of fire protection work; and
 - (c) the individual carries out the fire protection work for a licensed contractor who holds a licence of the relevant class for the work.
- (3) Also, subsection (1) does not apply to—
 - (a) an apprentice who personally carries out fire protection work in a calling that requires the apprentice to carry out the work; or
 - (b) a trainee who personally carries out fire protection work in a calling that requires the trainee to carry out the work; or
 - (c) a student who personally carries out fire protection work as part of training under the supervision of teaching staff at—
 - (i) a university; or
 - (ii) a college, school or similar institution conducted, approved or accredited by the State or the Commonwealth.

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[s 42D]

42D Licensed contractor must not engage or direct unauthorised person for fire protection work

A licensed contractor must not engage or direct an employee to carry out fire protection work unless the employee is authorised to carry out the work under this or another Act.

Maximum penalty—250 penalty units.

Note—

This provision is an executive liability provision—see section 111B.

43 Licensed contractor must ensure building work is personally supervised

- (1) For a licensed contractor that is a company, the company and the company's nominee must each ensure that building work carried out by the contractor is personally supervised by—
 - (a) the company's nominee; or
 - (b) an officer or employee of the contractor who holds one of the following licences of the relevant class authorising supervision of the building work—
 - (i) a nominee supervisor's licence;
 - (ii) a site supervisor's licence;
 - (iii) a fire protection occupational licence;
 - (iv) an occupational licence; or
 - (c) an individual who holds a contractor's licence of the relevant class.

Maximum penalty-

- (a) for an individual—200 penalty units; or
- (b) for a company—1000 penalty units.
- (2) For a licensed contractor that is an individual, the contractor must ensure that building work carried out by the contractor is personally supervised by—
 - (a) the contractor; or

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- (b) an employee of the contractor who holds one of the following licences of the relevant class authorising supervision of the building work—
 - (i) a nominee supervisor's licence;
 - (ii) a site supervisor's licence;
 - (iii) a fire protection occupational licence;
 - (iv) an occupational licence; or
- (c) an individual who holds a contractor's licence of the relevant class.

Maximum penalty—200 penalty units.

(3) In this section—

licensed contractor does not include a licensed contractor that is a construction manager.

43A Licensed contractor must ensure building work is adequately supervised

(1) For a licensed contractor that is a company, the company and the company's nominee must each ensure that building work carried out by the contractor is adequately supervised.

Maximum penalty-

- (a) for an individual—200 penalty units; or
- (b) for a company—1000 penalty units.
- (2) For a licensed contractor that is an individual, the contractor must ensure that building work carried out by the contractor is adequately supervised.

Maximum penalty—200 penalty units.

- (3) In deciding whether building work is adequately supervised, regard must be had to the following—
 - (a) whether the licensed contractor has a system for the supervision of the work and, if so, how the system has been implemented;

- (b) whether the building work is in accordance with the plans and specifications set out in the contract for the work;
- (c) whether the work is of a standard expected of a competent holder of a contractor's licence of the relevant class;
- (d) whether, having regard to the size and complexity of the building work, the following are sufficient—
 - the level of control, oversight and direction exercised by a person authorised to supervise the work;
 - (ii) the number, timing and quality of inspections carried out by a person authorised to supervise the work;
- (e) whether the building work is checked on its completion and before final payment by a person authorised to supervise the work.
- (4) In this section—

licensed contractor does not include a licensed contractor that is a construction manager.

43B Construction manager must ensure building work is personally supervised

- (1) This section applies if a construction manager provides building work services for a principal under a construction management contract for the carrying out of building work.
- (2) For a construction manager that is a company, the company and the company's nominee must each ensure that building work carried out by licensed contractors under construction management trade contracts for the principal is personally supervised by—
 - (a) the company's nominee; or

- (b) an officer or employee of the company who holds one of the following licences of the relevant class authorising supervision of the building work—
 - (i) a nominee supervisor's licence;
 - (ii) a site supervisor's licence;
 - (iii) a fire protection occupational licence;
 - (iv) an occupational licence; or
- (c) an individual who holds a contractor's licence of the relevant class.

Maximum penalty-

- (a) for an individual—200 penalty units; or
- (b) for a company—1000 penalty units.
- (3) For a construction manager that is an individual, the construction manager must ensure that building work carried out by licensed contractors under construction management trade contracts for the principal is personally supervised by—
 - (a) the construction manager; or
 - (b) an employee of the construction manager who holds one of the following licences of the relevant class authorising supervision of the building work—
 - (i) a nominee supervisor's licence;
 - (ii) a site supervisor's licence;
 - (iii) a fire protection occupational licence;
 - (iv) an occupational licence; or
 - (c) an individual who holds a contractor's licence of the relevant class.

Maximum penalty—200 penalty units.

[s 43C]

43C Construction manager must ensure building work is adequately supervised

- (1) This section applies if a construction manager provides building work services for a principal under a construction management contract for the carrying out of building work.
- (2) For a construction manager that is a company, the company and the company's nominee must each ensure that the building work carried out by licensed contractors under construction management trade contracts for the principal is adequately supervised.

Maximum penalty—

- (a) for an individual—200 penalty units; or
- (b) for a company—1000 penalty units.
- (3) For a construction manager that is an individual, the construction manager must ensure that the building work carried out by licensed contractors under construction management trade contracts for the principal is adequately supervised.

Maximum penalty—200 penalty units.

- (4) In deciding whether building work is adequately supervised, regard must be had to the following—
 - (a) whether the construction manager has a system for the supervision of the work and, if so, how the system has been implemented;
 - (b) whether the building work is in accordance with the plans and specifications set out in the contract for the work;
 - (c) whether the work is of a standard expected of a competent holder of a contractor's licence of the relevant class;
 - (d) whether, having regard to the size and complexity of the building work, the following are sufficient—

[s 43D]

- the level of control, oversight and direction exercised by a person authorised to supervise the work;
- (ii) the number, timing and quality of inspections carried out by a person authorised to supervise the work;
- (e) whether the building work is checked on its completion and before final payment by a person authorised to supervise the work.

Division 8 Owner-builders

43D Definitions for div 8

In this division—

carry out, in relation to owner-builder work, means-

- (a) carry out the work personally; or
- (b) do both of the following—
 - (i) engage 1 or more licensed contractors to carry out building work;
 - (ii) provide building work services that would usually be carried out by a licensed contractor in the course of the contractor's business; or
- (c) do a combination of (a) and (b).

Examples of an owner providing building work services—

- directing licensed contractors how to perform building work
- coordinating the scheduling of building work by licensed contractors
- arranging for payment of subcontractors

multiple dwelling means a building comprising 2 or more residential units.

[s 43E]

owner includes a person-

- (a) who—
 - (i) holds an estate or interest in land that entitles the person to become an owner (as defined in schedule 2) of the land; or
 - (ii) is the occupier of land under a lease, licence or other authority from the owner (as defined in schedule 2) of the land; and
- (b) who produces, with an application for an owner-builder permit, the written agreement of the owner (as defined in schedule 2) of the land for an owner-builder permit to be issued to the person.

owner-builder course means a course prescribed by regulation.

owner-builder work means building work for a building that is to be used for residential purposes carried out on the owner's land other than the following—

- (a) building work for a multiple dwelling;
- (b) fire protection work, unless the work is carried out by a person who holds a licence to carry out the work;
- (c) building work for which an occupational licence is required (the *occupational work*), unless the work is carried out by a person who holds a licence to carry out the work.

43E When owner-builder permit is required

An owner requires a permit (an *owner-builder permit*) to carry out owner-builder work on the owner's land.

44 Application for owner-builder permit

- (1) The owner of land may apply to the commission for an owner-builder permit.
- (2) The application must be—

- (a) in writing; and
- (b) in the form decided by the commission; and
- (c) signed by—
 - (i) for an owner that is not a company, the owner, or if there is more than 1 owner, by all the owners; or
 - (ii) for a company, by all the directors of the company; and
- (d) be accompanied by the fee prescribed by regulation.
- (3) The application must—
 - (a) state the name of the owner; and
 - (b) if the owner is a company, state the names of the directors and the company's ACN number; and
 - (c) state the location of the land; and
 - (d) include a description of the work to be carried out; and
 - (e) include other information the commission reasonably requires to decide an application.
- (4) The application must be accompanied by—
 - (a) proof of the applicant's identity; and
 - (b) if the applicant is a company, a copy of the company's current or historical extract; and
 - (c) proof that the applicant is the owner of the land; and
 - (d) proof that an owner-builder course has been successfully completed by an individual who is—
 - (i) an applicant; or
 - (ii) a director of a company that is an applicant.

44A Consideration of application for permit

(1) The commission must consider the application and either grant, or refuse to grant, the application.

- (2) However, before deciding the application, the commission may, by written notice given to the applicant, require the applicant to give the commission, within a reasonable period of at least 14 days stated in the notice, further information or a document the commission reasonably considers is needed to decide the application.
- (3) The applicant is taken to have withdrawn the application if, within the stated period, the applicant does not comply with the requirement.
- (4) The commission may extend the stated period at the request of the applicant.

44B Criteria for granting application for permit

- (1) The commission may grant the application only if the commission is satisfied—
 - (a) that the applicant, or each applicant, is the owner of the land; and
 - (b) that an applicant, or a director of a company that is an applicant, has successfully completed an owner-builder course; and
 - (c) if the applicant, or any of the applicants, is an individual, that none of the following has been issued with a permit in the 6 years preceding the application—
 - (i) the applicant, or any of the applicants;
 - (ii) a company for which an applicant who is an individual is or was a director; and
 - (d) if the applicant, or any of the applicants, is a company, that none of the following has been issued with a permit in the 6 years preceding the application—
 - (i) the applicant, or any of the applicants;
 - (ii) an individual who is or was a director of the company; and

- (e) if the applicant, or any of the applicants, is an individual, that none of the following has had an owner-builder permit cancelled in the 3 years preceding the application—
 - (i) the applicant, or any of the applicants;
 - (ii) a company for which an applicant who is an individual is or was a director; and
- (f) if the applicant, or any of the applicants, is a company, that none of the following has had an owner-builder permit cancelled in the 3 years preceding the application—
 - (i) the applicant, or any of the applicants;
 - (ii) an individual who is or was a director of the company; and
- (g) none of the applicants is a banned individual; and
- (h) if an applicant is a company, that none of the directors of the company is a banned individual; and
- (i) each applicant is a fit and proper person to hold a permit.
- (2) In deciding whether an applicant is a fit and proper person, the commission may have regard only to—
 - (a) tier 1 defective work carried out by the following persons, whether or not the person received a notice under section 67AH, 67AI, 67AL or 67AM stating a term of ban for the work—
 - (i) the applicant;
 - (ii) if the applicant is a company, a director of the applicant; and
 - (b) if the applicant, or a director of the applicant, is an enforcement debtor under an enforcement order for an infringement notice offence for this Act or the *Domestic Building Contracts Act 2000*—whether the applicant or director has taken steps under the *State Penalties*

Enforcement Act 1999 to discharge the amount stated in the enforcement order.

- (3) However, the commission may not have regard to the matter mentioned in subsection (2)(b) if—
 - (a) the applicant or director has applied for cancellation of the relevant enforcement order and the application for cancellation has not been finally decided; or
 - (b) 28 days have not elapsed since the date of the enforcement order.
- (4) Despite subsection (1)(c) and (d), the commission may grant an application if—
 - (a) a regulation prescribes exceptional circumstances in which the commission may grant an application even though a previous permit has been issued in the 6 years preceding the application; and
 - (b) the commission considers the applicant is able to show the exceptional circumstances.

44C When permit becomes effective

A permit has effect on the day stated in the permit.

44D Term of permit

A permit ends 6 years after its issue or on the earlier date stated in the permit.

44E Conditions of permit

A permit is subject to the following conditions—

- (a) the permittee must comply with this Act;
- (b) the permittee may only carry out the building work as stated in the permit;

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- (c) if the permittee engages persons to carry out building work, the persons must be licensed contractors for the work;
- (d) the permittee must not personally carry out building work for which an occupational licence is required;
- (e) the permittee must not personally carry out fire protection work if the value of the work is more than the amount prescribed by regulation, or if no amount is prescribed, more than \$1100;
- (f) other reasonable conditions—
 - (i) the commission considers appropriate to give effect to this Act; and
 - (ii) stated in the permit.

44F Form of permit

A permit is to be in the form decided by the commission and must state the following particulars—

- (a) the permittee's name;
- (b) the location of the land on which the owner-builder work is to be carried out;
- (c) the real property description of the land;
- (d) a description of the owner-builder work to be carried out on the land;
- (e) the date of issue of the permit;
- (f) the term of the permit;
- (g) the permit number;
- (h) the conditions of the permit.

44G Cancellation or suspension of permit

The commission may suspend or cancel a permit if-

- (a) the permit was obtained on the basis of incorrect information supplied to the commission, whether or not fraud was intended; or
- (b) the permit was obtained by fraud or other improper means; or
- (c) the permittee carries out tier 1 defective work on the land the subject of the permit; or
- (d) the permittee contravenes a condition to which the permit is subject; or
- (e) the commission becomes aware of the existence of facts that would have allowed the commission to refuse to issue the permit originally.

44H Procedure for cancellation or suspension

- (1) The commission must, before cancelling or suspending a permit, give the permittee notice of its reasons for the proposed cancellation or suspension and allow the permittee 21 days from service of the notice to make written representations on the matter.
- (2) The commission must consider any written representations made within the time allowed under subsection (1) before imposing the cancellation or suspension.
- (3) A cancellation or suspension is imposed by written notice to the permittee.
- (4) The notice of cancellation or suspension must comply with the QCAT Act, section 157(2).

44I Permit issued to more than 1 person

If a permit is issued jointly to more than 1 person, a reference in this part to the permittee is a reference to each of the persons.

44J Surrender of permit

- (1) A permittee may surrender the permit by written notice given to the commission.
- (2) The permit must accompany the notice.
- (3) The surrender takes effect on the later of the following—
 - (a) the day the notice is given;
 - (b) the day specified in the notice.

45 Replacement of permits

- (1) A permittee may apply to the commission for the replacement of a permit if it has been lost, stolen, destroyed or damaged.
- (2) The commission must consider the application and either grant, or refuse to grant, the application.
- (3) The commission must grant the application if the commission is satisfied the permit has been lost, stolen or destroyed, or damaged in a way to require its replacement.
- (4) If the commission grants the application, the commission must, on payment of the reasonable cost of replacing the permit, issue another permit to the applicant to replace the lost, stolen, destroyed or damaged permit.

46 Notification on certificate of title

- (1) If a permit is issued under this division, the commission must notify the registrar of titles of the granting of the permit.
- (2) If the commission becomes aware that building work has been carried out, and that a permit should have been, but was not, obtained under this division for carrying out the building work, the commission must notify the registrar of titles of the carrying out of the building work without a permit.
- (3) On receiving a notification under this section, the registrar of titles must enter the notification in the file attached to the register and it may not be removed except under this section.

- (4) At the end of 7 years from entry of a notification, the registrar of titles may remove it from the file.
- (5) In this section—

register means—

- (a) for freehold land—the freehold land register; or
- (b) for other land—the relevant register for the land.

47 Warnings

- (1) If—
 - (a) building work is carried out on land by a person who is not licensed to carry out that building work; and
 - (b) the land is offered for sale within 6 years after completion of the building work;

the vendor must, before the contract of sale is signed by the purchaser, give the prospective purchaser a notice containing details of the building work and a warning in the form required by regulation.

(2) If a notice is not given as required by this section, the vendor will be taken to have given the purchaser a contractual warranty (which operates to the exclusion of any inconsistent provision of the contract of sale) that the building work was properly carried out.

Division 9 Cancellation, suspension or surrender of licence

48 Cancellation or suspension of licence

The commission may suspend or cancel a licence if—

(a) the licence was obtained on the basis of incorrect information supplied to the commission, whether or not fraud was intended; or
- (b) the licence was obtained by fraud or other improper means; or
- (c) the licensee is convicted of an indictable offence or an offence that, if committed in Queensland, would be an indictable offence; or
- (e) the licensee is a company and it ceases, for a period exceeding 28 days, to have a nominee holding a licence authorising supervision of building work of the appropriate class or classes; or
- (f) the licensee is convicted of an offence against this Act; or
- (h) the licensee contravened a condition to which the licence is subject under section 35 or that is imposed under section 36 on the licensee's licence; or
- the licensee owes an amount to the commission and fails to comply with a demand by the commission to discharge the debt; or
- (ia) the licensee fails to comply with a written request by the commission under this Act; or
- (j) the commission becomes aware of the existence of facts that, having regard to section 31(1)(a) or (2)(a), or 32(1)(g), or 32AA(1)(d), or 32AB(1)(d)—
 - (i) would allow the commission to refuse to issue the licence if it were now being applied for by the licensee; or
 - (ii) would have allowed the commission to refuse to issue the licence originally.

49 **Procedure for cancellation or suspension**

(1) The commission must, before cancelling or suspending a licence, give the licensee notice of its reasons for the proposed cancellation or suspension and allow the licensee 21 days from service of the notice to make written representations on the matter.

- (2) The commission must consider any written representations made within the time allowed under subsection (1) before imposing the cancellation or suspension.
- (3) A cancellation or suspension is imposed by written notice to the licensee.
- (4) The notice of cancellation or suspension must comply with the QCAT Act, section 157(2).

49A Immediate suspension of licence

- (1) The commission may suspend a licensee's licence without allowing the licensee time to make written representations before the suspension takes effect if the commission believes, on reasonable grounds, there is a real likelihood that serious financial loss or other serious harm will happen to any of the following if the licence is not immediately suspended—
 - (a) other licensees;
 - (b) the employees of other licensees;
 - (c) consumers;
 - (d) suppliers of building materials or services.
- (2) The suspension under subsection (1) is imposed by written notice given to the licensee that complies with the QCAT Act, section 157(2) and—
 - (a) states that licensee may make written representations for a lifting of the suspension; and
 - (b) briefly explains how the suspension could lapse under subsection (3).
- (3) The suspension under subsection (1) lapses if—
 - (a) the commission does not, within 10 days after the licensee is given notice of the suspension, give the licensee notice under section 49(1) of the commission's reasons for a proposed cancellation or suspension of the licence under section 48 (a *section 49 notice*); or

[s 49B]

- (b) the commission, within 10 days after the licensee is given notice of the suspension, gives the licensee a section 49 notice, but the licence is not suspended or cancelled under section 48 within 3 months, or a longer period decided under subsections (4) and (5), after the section 49 notice is given; or
- (c) the commission suspends or cancels the licence under section 48.
- (4) The commission may extend the period of 3 months mentioned in subsection (3)(b), but only if it appears to the commission that, in the circumstances, it is in the interests of the licensee to do so.
- (5) The period may be extended more than once, but whenever it is extended, it must not be extended for more than 1 month.

49B Suspension or cancellation for failure to comply with tribunal's orders and directions

- (1) The tribunal may, in a proceeding to which a licensee is a party, order that the licensee's licence be suspended or cancelled if the licensee fails to comply with an order or direction of the tribunal within the time allowed by the tribunal.
- (2) Before ordering that a licence be suspended or cancelled, the tribunal must give the licensee a reasonable opportunity to show cause why it should not be cancelled or suspended.
- (3) Subsection (2) does not apply to an order or direction made in a disciplinary proceeding under part 7, division 4.
- (4) An order under this section operates, of its own force, to suspend or cancel the licence if the licensee fails to comply with the tribunal's order or direction within the time allowed by the tribunal.

50 Surrender of licence

A licensee may, with the consent of the commission, surrender the licence.

[s 50A]

Division 9A Monitoring continued satisfaction of financial requirements and compliance with part 4A and the Domestic Building Contracts Act 2000

50A Approved audit program

- (1) The Minister may approve a program (an *approved audit program*) under which the commission may audit licensees for one of the following purposes—
 - (a) to find out if they continue to satisfy the relevant financial requirements stated in the board's policies;
 - (b) to find out if they have been complying with part 4A or part 5;
 - (c) to find out if they have been complying with the *Domestic Building Contracts Act 2000.*
- (2) An approved audit program must state the following—
 - (a) the purpose of the program;
 - (b) when the program starts;
 - (c) the period over which the program is to be carried out;
 - (d) objective criteria for selecting licensees who are to be the subject of audit;
 - (e) if the licensees to be audited are to be selected from licensees holding licences of a particular class, a description of the class.
- (3) Despite anything in an approved audit program, a licensee may be the subject of an audit under an approved audit program for a purpose only if there has not been an audit of the licensee under an approved audit program for that purpose within the preceding 2 years.

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[s 50B]

50B Notice of proposed audit program

- (1) At least 14 days, but not more than 28 days, before an approved audit program starts, the commission must give notice of the program.
- (2) The notice must be published in the gazette, and may be published in any other publication the commission considers appropriate.
- (3) The notice must state the following—
 - (a) the purpose of the approved audit program;
 - (b) when the program starts;
 - (c) the period over which the program is to be carried out;
 - (d) the objective criteria for selecting licensees who are to be the subject of audit;
 - (e) if the licensees to be audited are to be selected from licensees holding licences of a particular class, a description of the class;
 - (f) how licensees selected for audit under the program will be advised they have been selected;
 - (g) the obligations to be complied with by licensees selected for audit under the program.

50C Supply of financial records and other documents under approved audit program or for other reason

- (1) This section applies to a licensee if—
 - (a) the licensee is selected to be audited under an approved audit program; or
 - (b) the commission is satisfied, because of information received by the commission, there are reasonable grounds for concern that—
 - (i) the licensee does not satisfy the relevant financial requirements stated in the board's policies; or
 - (ii) is not, or has not been, complying with—

- (A) part 4A or part 5; or
- (B) the Domestic Building Contracts Act 2000.
- (2) The commission may give a written notice to the licensee requiring the licensee to give the commission copies of, or access to—
 - (a) the financial records described in the notice; or
 - (b) the documents described in the notice that relate to the licensee's obligations—
 - (i) under part 4A or part 5; or
 - (ii) the Domestic Building Contracts Act 2000.
- (3) The written notice may describe only—
 - (a) the financial records of the licensee the commission reasonably requires for deciding whether the licensee satisfies the relevant financial requirements stated in the board's policies; or
 - (b) the documents the commission reasonably requires for deciding whether the licensee is, or has been, complying with—
 - (i) part 4A or part 5; or
 - (ii) the Domestic Building Contracts Act 2000.
- (4) The licensee must comply with the written notice within 21 days after the licensee receives the written notice, unless the licensee has a reasonable excuse.

Maximum penalty—100 penalty units.

- (4A) It is not a reasonable excuse to fail to comply with the written notice that complying with the notice might tend to incriminate the person.
 - (5) Also, if the licensee does not comply with the written notice within 21 days after the licensee receives it, the licensee is taken, for section 48(h), to have contravened a condition imposed under section 36 on the licensee's licence.

[s 50D]

Division 10 Miscellaneous

50D Person must not pretend to be a licensee

(1) A person must not pretend to be a licensee.

Maximum penalty—250 penalty units.

(2) Subsection (1) does not apply if the person makes use of another licensee's licence to pretend to be a licensee.

51 Improper use of licence card, certificate, number or PIN

- (1) A licensee must not allow another person to make use of the licensee's licence if the licensee knows, or ought reasonably to know, that the other person intends to make use of the licence—
 - (a) if the other person is not also a licensee—to pretend to be a licensee; or
 - (b) if the other person is also a licensee—to pretend to be the holder of a licence authorising the doing of something the other person is not authorised to do.

Maximum penalty—250 penalty units.

(2) A person who is not a licensee must not make use of a licensee's licence to pretend to be a licensee.

Maximum penalty-250 penalty units.

(3) A licensee (the *first licensee*) must not make use of another licensee's licence to pretend to be the holder of a licence authorising the doing of something the first licensee is not authorised to do.

Maximum penalty—250 penalty units.

(4) In this section—

make use of, a licensee's licence, means make use of the number of the licensee's licence or the licensee's licence card, licence certificate or PIN.

51A Other offences relating to unlawful carrying out of building work

(1) A licensed contractor must not help another person to carry out building work if the licensed contractor knows, or ought reasonably to know, that in carrying out the building work the other person is committing an offence against section 42.

Maximum penalty-250 penalty units.

(2) A licensed contractor must not carry out, or undertake to carry out, building work using a name, number or PIN other than the licensed contractor's name, number or PIN unless the contractor has a reasonable excuse.

Maximum penalty—250 penalty units.

51B Licensed contractor must not contract with unlicensed person

(1) This section applies to building work for which a person must hold a contractor's licence under this Act.

Note—

A person mentioned in section 42(5) to (8) is not required to hold a contractor's licence in the circumstances stated in the subsections.

(2) A licensed contractor must not contract with a person for the person to carry out the building work unless the person holds a contractor's licence of the appropriate class under this Act.

Maximum penalty—

- (a) for a first offence—80 penalty units; and
- (b) for a second offence—120 penalty units; and
- (c) for a third or subsequent offence—160 penalty units.
- (3) In a proceeding taken against a licensed contractor for an offence against this section it is a defence for the contractor to prove the contractor took all reasonable action to ensure compliance with this section.
- (4) Subsection (3) does not limit the application of the Criminal Code, section 23 or 24.

52 Signs to be exhibited

(1) A licensed contractor (not being a subcontractor) must exhibit at a prominent position on a building site under the contractor's control a sign conforming with the regulations showing the name under which the contractor is licensed under this Act and the number of the contractor's licence.

Maximum penalty—20 penalty units.

(2) A person who carries out building work under an owner-builder permit must exhibit at a prominent position on a building site under that person's control a sign conforming with the regulations showing the number of the permit.

Maximum penalty—20 penalty units.

53 Return of licence

- (1) A licensee (or former licensee) whose licence is suspended or cancelled must, within 14 days of receiving notice of the suspension or cancellation, return the licence and, if the commission has issued a licence certificate, the licence certificate, to the commission.
- (2) A licensee must, within 14 days of receiving notice of the imposition, variation or revocation of a condition of the licence return the licence and, if the commission has issued a licence certificate, the licence certificate, to the commission for endorsement.
- (3) A person who fails, without reasonable excuse, to comply with a requirement of this section commits an offence.

Maximum penalty—80 penalty units.

53A Satisfying financial requirements at renewal

(1) The commission must not renew a contractor's licence if the commission is not given information, in a form approved by the board, and within the time allowed under a regulation, about the contractor's continued satisfaction of the relevant financial requirements stated in the board's policies.

- (2) The form approved by the board may require some or all of the information to be given by a person suitably qualified and experienced in accountancy.
- (3) If the contractor does not give the commission the information mentioned in subsection (1), the commission may, by written notice given to the licensee, suspend the licence.
- (4) A suspension imposed under this section ends when the commission is given the information.
- (5) If a licence has remained in suspension under this section for more than 3 months, the commission may, by notice to the licensee, cancel the licence.

53B False or misleading documents about financial requirements

- (1) A person must not give a document or information to the commission about a contractor's satisfaction of financial requirements stated in the board's policies if—
 - (a) the person knows—
 - (i) the document contains information that is false or misleading; or
 - (ii) the information is false or misleading; or
 - (b) the document contains information that is false or misleading or the information is false or misleading and the person did not take reasonable steps to make sure that the information was not false or misleading.

Maximum penalty—100 penalty units or 2 years imprisonment.

- (2) Subsection (1)(a) does not apply to a person who, when giving the document—
 - (a) informs the commission, to the best of the person's ability, how it is false or misleading; and
 - (b) gives the correct information to the commission if the person has, or can reasonably obtain, the correct information.

[s 53C]

(3) A complaint against a person for an offence against subsection (1)(a) is sufficient if it states the document or information was false or misleading to the person's knowledge.

53C Advertising by unlicensed person

- (1) A person who does not hold a contractor's licence must not publish an advertisement that the person is available to carry out building work unless the advertisement complies with this section.
- (2) The advertisement must state the value of the work the person is entitled to carry out without a licence.

Maximum penalty—100 penalty units.

- (3) A regulation may prescribe the following for an advertisement under this section—
 - (a) the way the advertisement is to be presented;
 - (b) the words to be included in the advertisement.
- (4) In this section—

building work includes building work of any value, despite any regulation excluding building work of a stated value from the definition *building work* for this Act.

54 Advertisements

A licensee who publishes or causes to be published any advertisement in connection with the licensee's business must ensure that the advertisement—

- (a) contains the name under which the licensee is licensed; and
- (b) states that the licensee is licensed under this Act and the number of the licensee's licence; and

(c) conforms with any other requirements imposed by regulation.

Maximum penalty—35 penalty units.

55 Notification of company's nominee

If a company that holds a licence—

- (a) ceases to have a nominee; or
- (b) changes its nominee;

the company must, within 14 days, give notice containing the information required by regulation to the commission.

Maximum penalty—20 penalty units.

56 Partnerships

- (1) A licensed contractor may carry on business under the licence in partnership with an unlicensed person subject to the following conditions—
 - (a) no contract to carry out building work may be entered into, and no offer or tender to carry out building work may be made, on behalf of the partnership except by the licensed contractor;
 - (b) any such contract offer or tender must be signed by the licensed contractor and have endorsed on it the names of the other members of the partnership;
 - (c) any advertisement published in relation to the business carried on, or to be carried on, under the licence must state the name under which the licensed contractor is licensed and conform with any requirements imposed by regulation;
 - (d) the unlicensed person must not be—
 - (i) an excluded individual; or
 - (ii) a permanently excluded individual; or
 - (iii) a convicted company officer; or

[s 56A]

- (iv) a banned individual; or
- (v) a disqualified individual; or
- (vi) an excluded company; or
- (vii) a company for which a permanently excluded individual is a director, secretary, influential person or nominee; or
- (viii) a company for which a convicted company officer is a director, secretary, influential person or nominee; or
- (ix) a company for which a banned individual is a director, secretary, influential person or nominee; or
- (x) a company for which a disqualified individual is a director, secretary, influential person or nominee.
- (2) If a condition imposed by subsection (1) is contravened, each member of the partnership commits an offence.

Maximum penalty-

- (a) for subsection (1)(a) to (c)—80 penalty units; or
- (b) for subsection (1)(d)—200 penalty units.

56A Commission may request photographs for licence

- (1) Subsection (2) applies to a licensee who is an individual.
- (2) The commission may, at any time by written notice, request the licensee to give the commission 2 passport-size photographs of the applicant that are—
 - (a) recent photographs of the licensee; and
 - (b) certified in the way prescribed by regulation; and
 - (c) suitable for inclusion in the licensee's licence.
- (3) The licensee must provide the photographs within 28 days of receiving the request.

[s 56AB]

Part 3A Excluded and permitted individuals and excluded companies

Division 1 Preliminary

56AB Operation of pt 3A

This part has effect despite anything in part 3, but does not apply to—

- (a) a site supervisor's licence; or
- (b) a fire protection occupational licence.

56AC Excluded individuals and excluded companies

- (1) This section applies to an individual if—
 - (a) after the commencement of this section, the individual takes advantage of the laws of bankruptcy or becomes bankrupt (*relevant bankruptcy event*); and
 - (b) 5 years have not elapsed since the relevant bankruptcy event happened.
- (2) This section also applies to an individual if—
 - (a) after the commencement of this section, a company, for the benefit of a creditor—
 - (i) has a provisional liquidator, liquidator, administrator or controller appointed; or
 - (ii) is wound up, or is ordered to be wound up; and
 - (b) 5 years have not elapsed since the event mentioned in paragraph (a)(i) or (ii) (*relevant company event*) happened; and

- (c) the individual—
 - (i) was, when the relevant company event happened, a director or secretary of, or an influential person for, the company; or
 - (ii) was, at any time after the commencement of this section and within the period of 1 year immediately before the relevant company event happened, a director or secretary of, or an influential person for, the company.
- (3) If this section applies to an individual because of subsection (1), the individual is an *excluded individual* for the relevant bankruptcy event.
- (4) If this section applies to an individual because of subsection (2), the individual is an *excluded individual* for the relevant company event.
- (5) An excluded individual for a relevant bankruptcy event (the *first event*) does not also become an excluded individual for another relevant bankruptcy event (the *other event*) if the first event and the other event are both consequences flowing from what is, in substance, the one set of circumstances applying to the individual.
- (6) An excluded individual for a relevant company event (the *first event*) does not also become an excluded individual for another relevant company event (the *other event*) if the first event and the other event are both consequences flowing from what is, in substance, the one set of circumstances applying to the company.
- (7) A company is an *excluded company* if an individual who is a director or secretary of, or an influential person for, the company is an excluded individual for a relevant event.

[s 56AD]

Division 2 Categorisation as permitted individual

56AD Becoming a permitted individual

- (1) An individual may apply to the commission, in the form approved by the Board, to be categorised as a permitted individual for a relevant event if the individual has been advised by the commission, or has otherwise been made aware, that the commission considers the individual to be an excluded individual for the relevant event.
- (2) However, if as a result of the application the individual is not categorised as a permitted individual for the relevant event, the individual may not, while the individual is an excluded individual for the relevant event, again apply to be categorised as a permitted individual for the relevant event.
- (3) If the individual applies, the application must include the reasons why the commission should categorise the individual as a permitted individual for the relevant event.
- (4) If the individual is a director or secretary of, or influential person for, a company that is a licensee, the company is taken to be a party to the application, and may make submissions to the commission about the application.
- (5) The commission must give its decision on the categorisation within 28 days, or a longer period agreed between the individual and the commission.
- (6) If the commission does not give its decision within the time required under subsection (5), the commission is taken, for section 86(1)(j) to have decided not to categorise the individual as a permitted individual for the relevant event.
- (7) Nothing in subsection (6) stops the commission, after the time required under subsection (5) has elapsed, from confirming the commission's refusal to categorise the individual as a permitted individual for the relevant event.
- (8) The commission may categorise the individual as a permitted individual for the relevant event only if the commission is

[s 56AD]

satisfied, on the basis of the application, that the individual took all reasonable steps to avoid the coming into existence of the circumstances that resulted in the happening of the relevant event.

- (8A) In deciding whether an individual took all reasonable steps to avoid the coming into existence of the circumstances that resulted in the happening of a relevant event, the commission must have regard to action taken by the individual in relation to the following—
 - (a) keeping proper books of account and financial records;
 - (b) seeking appropriate financial or legal advice before entering into financial or business arrangements or conducting business;
 - (c) reporting fraud or theft to the police;
 - (d) ensuring guarantees provided were covered by sufficient assets to cover the liability under the guarantees;
 - (e) putting in place appropriate credit management for amounts owing and taking reasonable steps for recovery of the amounts;
 - (f) making appropriate provision for Commonwealth and State taxation debts.
- (8B) Nothing in subsection (8A) prevents the commission from having regard to other matters for deciding whether an individual took all reasonable steps to avoid the coming into existence of the circumstances that resulted in the happening of a relevant event.
 - (9) If an individual is categorised as a permitted individual for a relevant event, the individual is taken not to be an excluded individual for the relevant event.

[s 56AE]

Division 3 Licence exclusion and cancellation

56AE Exclusion from licence

The commission must not grant a person a licence if the person is—

- (a) an excluded individual for a relevant event; or
- (b) an excluded company.

56AF Procedure if licensee is excluded individual

- (1) This section applies if the commission considers that an individual who is a licensee is an excluded individual for a relevant event.
- (2) The commission must give the individual a written notice identifying the relevant event and stating the following—
 - (a) why the commission considers the individual is an excluded individual for the relevant event;
 - (b) the individual may apply to the commission to be categorised as a permitted individual for the relevant event if the individual has not already done so;
 - (c) the circumstances, stated in subsection (3), in which the commission must cancel the individual's licence.
- (3) The commission must cancel the individual's licence by written notice given to the individual if—
 - (a) the individual has not already applied to be categorised as a permitted individual for the relevant event, and the individual does not apply for the categorisation within 28 days after the commission gives the individual the written notice under subsection (2); or
 - (b) the individual has already applied to be categorised as a permitted individual for the relevant event, or the individual applies for the categorisation within the 28 days mentioned in paragraph (a), but—
 - (i) the commission refuses the application; and

[s 56AG]

- (ii) either of the following applies—
 - (A) the period for applying for a review of the decision to refuse has ended and no application for review has been made;
 - (B) an application for review has been made and the commission's decision is confirmed, or the application is not proceeded with.
- (4) Section 49 does not apply to a cancellation under subsection (3).

56AG Procedure if licensee is excluded company

- (1) This section applies if the commission considers that a company that is a licensee is an excluded company.
- (2) The commission must give the company a written notice stating the following—
 - (a) particulars identifying the individual (the *relevant individual*) who is a director or secretary of, or an influential person for, the company and who is an excluded individual for a relevant event;
 - (b) particulars identifying the relevant event;
 - (c) within 28 days after the commission gives the company the written notice, the relevant individual must—
 - (i) stop being a director, secretary or influential person; or
 - (ii) if the individual is eligible to do so but has not already done so, apply to the commission to be categorised as a permitted individual for the relevant event;
 - (d) the circumstances, stated in subsections (3), (4) and (5), in which the commission must cancel the company's licence.
- (3) The commission must cancel the company's licence by written notice given to the company if, within the 28 days mentioned in subsection (2)(c), the relevant individual—

- (a) does not stop being a director or secretary of, or an influential person for, the company; and
- (b) if the relevant individual is eligible to do so but has not already done so, does not apply to be categorised as a permitted individual for the relevant event.
- (4) The commission must also cancel the company's licence by written notice given to the company if all of the following apply—
 - (a) the relevant individual has already applied to be categorised as a permitted individual for the relevant event, or the relevant individual applies for the categorisation within the 28 days mentioned in subsection (2)(c);
 - (b) the commission refuses the application and the relevant individual does not stop being a director, secretary or influential person;
 - (c) either—
 - (i) the period for applying for a review of the decision to refuse has ended and no application for review has been made; or
 - (ii) an application for review has been made and the commission's decision is confirmed, or the application is not proceeded with.
- (5) The commission must also cancel the company's licence by written notice given to the company if the relevant individual is not eligible to apply to the commission to be categorised as a permitted individual for the relevant event and the relevant individual does not, within the 28 days mentioned in subsection (2)(c), stop being a director, secretary or influential person.
- (6) Section 49 does not apply to a cancellation under subsection (3).

[s 56AH]

56AH Review by tribunal of commission's opinion

- (1) This section applies if the commission considers under section 56AF or 56AG (the *relevant section*) that a person is an excluded individual or excluded company, or that an individual is still a director or secretary of, or an influential person for, a company.
- (2) If a person applies for a review of the commission's decision, the application for review does not affect anything already done or in force under the relevant section, but periods of time mentioned in the relevant section are taken to stop running until the review is finished.

Part 3B Permanently excluded individuals

Division 1 Preliminary

57 Operation of pt 3B

This part has effect despite anything in part 3, but does not apply to—

- (a) a site supervisor's licence; or
- (b) a fire protection occupational licence.

58 Meaning of *permanently excluded individual*

- (1) A *permanently excluded individual* is an individual—
 - (a) who has twice been an excluded individual for a relevant event; and
 - (b) who for each relevant event has been given written notice by the commission stating—
 - (i) particulars identifying the relevant event; and

[s 58]

(ii)	why the commission considers the individual is an
	excluded individual for the relevant event; and

- (iii) that the individual has the right to apply to be categorised as a permitted individual for the relevant event within 28 days of being given notice; and
- (c) who for each occasion the individual has been given notice, either—
 - (i) did not apply to be categorised as a permitted individual within the time stated in the notice; or
 - (ii) applied to be categorised as a permitted individual within the time stated but had the application refused.
- (2) Notice under subsection (1)(b)—
 - (a) must be given while the individual is an excluded individual for the relevant event to which the notice relates; and
 - (b) if the notice is the second or a subsequent notice the individual has been given about being an excluded individual for a relevant event—
 - (i) must state the effect of the individual becoming a permanently excluded individual; and
 - (ii) may be given at any time after an earlier notice was given.
- (3) An excluded individual who is a licensee is taken to have been given notice under subsection (1)(b) if—
 - (a) the individual has been given notice under section 56AF(2); and
 - (b) for a second or subsequent notice, the notice also includes the information required under subsection (2)(b)(i).
- (4) A second or subsequent notice may be given for a relevant event whether the event happened before or after another

event for which the commission has already given notice under subsection (1)(b).

- (5) However, subsection (1) applies only if an individual became an excluded individual for at least one of the relevant events after the commencement of this section, irrespective of when the circumstances resulting in the relevant event arose.
- (6) If a second or subsequent notice does not include the information required under subsection (2)(b)(i) another notice containing the information may be given.
- (7) It is declared that in deciding whether 2 relevant events as mentioned in subsection (1) have happened, a relevant event must be counted—
 - (a) whether the relevant event happened before or after the other relevant event; and
 - (b) whether or not the notices under subsection (1)(b) for the relevant events were given in the order the relevant events happened; and
 - (c) regardless of the length of time between the giving of the notices under subsection (1)(b) for the relevant events; and
 - (d) whether the relevant event happened before or after the commencement of this section, subject to subsection (5).

Example for subsection (2)(a)—

The commission gives a licensee a notice under this section for a relevant event for which the licensee is currently an excluded individual. The commission later discovers that the licensee was, before the grant of the licensee's licence, an excluded individual for a previous relevant event. However, the licensee is not currently an excluded individual for this relevant event because 5 years have elapsed since the event happened. It may not give the licensee a notice for this event.

Example for subsection (7)(a), (b) and (d)—

The commission gives a licensee a notice under this section for a relevant event that happened after the commencement of this section. It later discovers that the licensee is an excluded individual for another relevant event that happened before the grant of the licensee's licence and before the commencement of this section. It may give the licensee a

[s 59]

notice for this relevant event. Also, it is the later notice, about the earlier relevant event, that must state the effect of the individual becoming a permanently excluded individual.

Examples for subsection (7)(c)—

- 1 The commission becomes aware that a person who is an applicant for a contractor's licence is currently an excluded individual for 2 relevant events one of which happened after the commencement of this section. The commission may give the person a notice for one of the relevant events and immediately give a notice for the other relevant event. Also, it is the later notice that must state the effect of the individual becoming a permanently excluded individual.
- 2 A licensee becomes an excluded individual for a relevant event. The individual's licence is cancelled under section 56AF and the individual is given notice complying with this section for the relevant event. More than 5 years later the licensee applies for and is granted a contractor's licence. Ten years after this, the licensee becomes an excluded individual for another relevant event. The commission gives a notice complying with this section for the latest relevant event. This notice includes the information required for a second or subsequent notice under subsection (2)(b)(i) and the individual becomes a permanently excluded individual.

Division 2 Licence exclusion

59 Exclusion from licence

The commission must not grant a person a licence if the person is—

- (a) a permanently excluded individual; or
- (b) a company for which a permanently excluded individual is a director, secretary, influential person or nominee.

60 Permanently excluded individual not fit and proper

A permanently excluded individual is taken not to be a fit and proper person for part 3, division 2.

[s 61]

61 When individual no longer permanently excluded individual

In deciding whether an individual is, or continues to be, a permanently excluded individual, a relevant event for which the individual has been given notice under section 58(1)(b) must not be counted if the individual—

- (a) applies to the tribunal under section 86(1)(j) for a review of the commission's decision not to categorise the individual as a permitted individual for the relevant event, and the tribunal reverses or annuls the commission's decision; or
- (b) applies to the tribunal under section 86(1)(k) for a review of the commission's decision under section 56AF that a person is an excluded individual, and the tribunal reverses or annuls the commission's decision.

Part 3C Convicted company officers

Division 1 Preliminary

62 Operation of pt 3C

This part has effect despite anything in part 3, but does not apply to—

- (a) a site supervisor's licence; or
- (b) a fire protection occupational licence.

[s 63]

Division 2 Licence exclusion and cancellation

63 Exclusion from licence

The commission must not grant a person a licence if the person is—

- (a) a convicted company officer; or
- (b) a company for which a convicted company officer is a director, secretary, influential person or nominee.

64 Procedure if commission considers individual a convicted company officer

- (1) This section applies if the commission considers that an individual is a convicted company officer.
- (2) The commission must give the individual a written notice stating—
 - (a) details of the conviction the commission considers makes the individual a convicted company officer; and
 - (b) the effect of the individual being a convicted company officer; and
 - (c) an invitation to the individual to make written submissions, within a stated period, to satisfy the commission that the individual is not a convicted company officer.
- (3) The stated period must be at least 28 days after the written notice is given to the individual.
- (4) The commission must consider any submissions made by the individual.

65 Ending procedure without further action

(1) This section applies if, after considering submissions made by the individual, the commission is satisfied the individual is not a convicted company officer.

[s 66]

(2) The commission must, as soon as practicable, advise the individual in writing that no further action under this part will be taken in relation to the notice given under section 64(2).

66 Notice of cancellation and that not a fit and proper person to individual who is a licensee

- (1) For an individual who is a licensee, subsection (3) applies if, after considering submissions made by the individual for the notice under section 64(2), the commission still considers that the individual is a convicted company officer.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 64(2).
- (3) The commission must, by written notice given to the individual—
 - (a) inform the individual that the commission still considers the individual is a convicted company officer; and
 - (b) cancel the individual's licence; and
 - (c) inform the individual—
 - (i) that the individual is taken not to be a fit and proper person for part 3, division 2; and
 - (ii) of the individual's right to apply to the tribunal for a review of the commission's decision.

Note—

An individual may have the commission's decision reviewed by the tribunal under section 86(1)(1).

- (4) An individual given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.
- (5) Section 49 does not apply to a cancellation under subsection (3).

[s 67]

67 Notice that not a fit and proper person to individual who is not a licensee

- (1) For an individual who is not a licensee, subsection (3) applies if, after considering submissions made by the individual for the notice under section 64(2), the commission still considers that the individual is a convicted company officer.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 64(2).
- (3) The commission must, by written notice given to the individual, inform the individual—
 - (a) that the commission still considers the individual is a convicted company officer; and
 - (b) that the individual is taken not to be a fit and proper person for part 3, division 2; and
 - (c) of the individual's right to apply to the tribunal for a review of the commission's decision; and
 - (d) how, and the period within which, the individual may apply to the tribunal for the review; and
 - (e) any right the individual has to have the operation of the commission's decision stayed by the tribunal.
- (4) An individual given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.

67AA Notice by commission to company for which a convicted company officer is a director, secretary, influential person or nominee

- (1) This section applies if the commission considers that a company that is a licensee has an individual who is a convicted company officer as a director or secretary of, or an influential person or nominee for, the company.
- (2) The commission must give the company a written notice stating—

[s 67AB]

(a) particulars identifying the individual (the *relevant individual*) the commission considers is a director or secretary of, or an influential person or nominee for, the company; and

Note—

A company may have the commission's decision reviewed by the tribunal under section 86(1)(m).

- (b) that the relevant individual must stop being a director, secretary, influential person or nominee within 28 days after the commission gives the individual the written notice; and
- (c) the commission must cancel the licensee's licence if the relevant individual does not stop being a director, secretary, influential person or nominee within the 28 days mentioned in paragraph (b).
- (3) The commission must cancel the company's licence by written notice given to the company if the relevant individual does not stop being a director, secretary, influential person or nominee within the 28 days mentioned in subsection (2)(b).
- (4) Section 49 does not apply to a cancellation under subsection (3).

Part 3D Banned individuals

Division 1 Preliminary

67AB Meaning of *tier 1 defective work* and *carry out tier 1 defective work*

- (1) *Tier 1 defective work* means grossly defective building work that—
 - (a) falls below the standard reasonably expected of a licensed contractor for the type of building work; and

[s 67AC]

- (b) either—
 - adversely affects the structural performance of a building to the extent that a person could not reasonably be expected to use the building for the purpose for which it was, or is being, erected or constructed; or
 - (ii) is likely to cause the death of, or grievous bodily harm to, a person.

Example for paragraph (b)(i)—

A building is constructed and due to substandard building work all or a significant part of the building requires demolition or substantial reconstruction.

Examples for paragraph (b)(ii)—

A fire protection contractor installs a fire protection system that does not meet the requirements of the Building Code of Australia for the system. In the event of fire, the noncompliance is likely to result in the death of, or grievous bodily harm to, a person.

- (2) Carry out tier 1 defective work means—
 - (a) carry out tier 1 defective work personally; or
 - (b) directly or indirectly, cause tier 1 defective work to be carried out; or
 - (c) provide advisory, administrative, management or supervisory services for carrying out tier 1 defective work.

67AC Banned individual

- (1) An individual is a *banned individual* if the individual is given notice under this part that the individual is taken not to be a fit and proper person for part 3, division 2.
- (2) The individual continues to be a banned individual for the term calculated under this part and stated in the notice.

67AD Operation of pt 3D

This part has effect despite anything in part 3.

Division 2 Licence exclusion and cancellation

67AE Exclusion from licence

The commission must not grant a person a licence if the person is—

- (a) a banned individual; or
- (b) a company for which a banned individual is a director, secretary, influential person or nominee.

67AF Procedure if commission considers individual has carried out tier 1 defective work

- (1) This section applies if the commission considers that an individual has carried out tier 1 defective work after the commencement of this section.
- (2) The commission may give the individual a written notice stating—
 - (a) details of the tier 1 defective work; and
 - (b) the effect of the individual becoming a banned individual for the tier 1 defective work; and
 - (c) an invitation to the individual to make written submissions, within a stated period, to satisfy the commission that—
 - (i) the individual did not carry out the work stated in the notice; or
 - (ii) the work carried out by the individual was not tier 1 defective work; or
 - (iii) the individual exercised reasonable diligence to ensure that the work carried out was not defective.

[s 67AG]

Example for subparagraph (iii)—

An individual carried out tier 1 defective work in relation to the footings of a house. However, the individual had reasonably relied on plans for the footings drawn by an engineer and carried out the work in accordance with the plans. It was the reliance on the plans that caused the tier 1 defective work.

- (3) The stated period must be at least 28 days after the written notice is given to the individual.
- (4) The commission must consider any submissions made by the individual.

67AG Ending procedure without further action

- (1) This section applies if, after considering submissions made by the individual, the commission is satisfied that—
 - (a) the individual did not carry out the work stated in the notice; or
 - (b) the work carried out by the individual was not tier 1 defective work; or
 - (c) the individual exercised reasonable diligence to ensure that the work carried out was not defective.
- (2) The commission must, as soon as practicable, advise the individual in writing that no further action under this part will be taken in relation to the written notice given under section 67AF(2).

67AH Notice of cancellation and that not a fit and proper person to individual who is a licensee

- (1) For an individual who is a licensee, subsection (3) applies if, after considering the submissions made by the individual for the notice under section 67AF(2), the commission—
 - (a) still considers that—
 - (i) the individual carried out the work stated in the notice; and

- (ii) the work carried out was tier 1 defective work; and
- (b) is not satisfied that the individual exercised reasonable diligence to ensure that the work carried out was not defective.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AF(2).
- (3) The commission must, by written notice given to the individual—
 - (a) inform the individual that the commission—
 - (i) still considers—
 - (A) that the individual carried out the work stated in the notice; and
 - (B) the work carried out was tier 1 defective work; and
 - (ii) is not satisfied that the individual exercised reasonable diligence to ensure that the work carried out was not defective; and
 - (b) cancel the individual's licence; and
 - (c) inform the individual—
 - (i) that the individual is taken not to be a fit and proper person for part 3, division 2, for the term calculated under section 67AO and stated in the notice; and
 - (ii) of the individual's right to apply to the tribunal for a review of the commission's decision; and
 - (iii) how, and the period within which, the individual may apply to the tribunal for the review; and
 - (iv) any right the individual has to have the operation of the commission's decision stayed by the tribunal.

Note—

An individual who is given notice that they are taken not to be a fit and proper person (a *banned individual*) for a

stated term may have the commission's decision reviewed under section 86(1)(n).

- (4) An individual given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.
- (5) Section 49 does not apply to a cancellation under subsection (3).

67AI Notice that not a fit and proper person to individual who is not a licensee

- (1) For an individual who is not a licensee, subsection (3) applies if, after considering the submissions made by the individual for the notice under section 67AF(2), the commission—
 - (a) still considers that—
 - (i) the individual carried out the work stated in the notice; and
 - (ii) the work carried out was tier 1 defective work; and
 - (b) is not satisfied that the individual exercised reasonable diligence to ensure that the work carried out was not defective.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AF(2).
- (3) The commission must, by written notice given to the individual, inform the individual—
 - (a) that the commission—
 - (i) still considers that—
 - (A) the individual carried out the work stated in the notice; and
 - (B) the work carried out was tier 1 defective work; and
 - (ii) is not satisfied that the individual exercised reasonable diligence to ensure that the work carried out was not defective; and

- (b) that the individual is taken not to be a fit and proper person for part 3, division 2, for the term calculated under section 67AO and stated in the notice; and
- (c) of the individual's right to apply to the tribunal for a review of the commission's decision; and
- (d) how, and the period within which, the individual may apply to the tribunal for the review; and
- (e) any right the individual has to have the operation of the commission's decision stayed by the tribunal.

Note—

An individual who is given notice that they are taken not to be a fit and proper person (a *banned individual*) for a stated term may have the commission's decision reviewed under section 86(1)(n).

(4) An individual given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.

67AJ Notice by commission to director, secretary, influential person or nominee about tier 1 defective work

- (1) This section applies if the commission considers that a company has carried out tier 1 defective work after the commencement of this section.
- (2) The commission may give an individual who was a director or secretary of, or an influential person or nominee for, the company at the time the work was carried out, a written notice stating—
 - (a) details of the tier 1 defective work; and
 - (b) the effect of the director, secretary, influential person or nominee becoming a banned individual for the tier 1 defective work; and
 - (c) an invitation to the director, secretary, influential person or nominee to make written submissions, within a stated period, to satisfy the commission that—

- (i) the company did not carry out the work stated in the notice; or
- (ii) the work carried out by the company was not tier 1 defective work; or
- (iii) the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the work carried out was not defective; or
- (iv) the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to the defective work.
- (3) The stated period must be at least 28 days after the written notice is given to the director, secretary, influential person or nominee.
- (4) The commission must consider any submissions made by the director, secretary, influential person or nominee.

67AK Ending procedure without further action

- (1) This section applies if, after considering submissions made by the director, secretary, influential person or nominee, the commission is satisfied that—
 - (a) the company did not carry out the work stated in the notice; or
 - (b) the work carried out by the company was not tier 1 defective work; or
 - (c) the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the work carried out was not defective; or
 - (d) the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to the defective work.
- (2) The commission must, as soon as practicable, advise the director, secretary, influential person or nominee in writing
[s 67AL]

that no further action under this part will be taken in relation to the notice given under section 67AJ(2).

67AL Notice of cancellation and that not a fit and proper person to director, secretary, influential person or nominee who is a licensee

- (1) For a director, secretary, influential person or nominee who is a licensee, subsection (3) applies if, after considering the submissions made by the director, secretary, influential person or nominee for the notice under section 67AJ(2), the commission—
 - (a) still considers—
 - (i) that the company carried out the work stated in the notice; and
 - (ii) that the work carried out was tier 1 defective work; and
 - (b) is not satisfied—
 - (i) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to the defective work; or
 - (ii) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the work carried out was not defective.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AJ(2).
- (3) The commission must, by written notice given to the director, secretary, influential person or nominee—
 - (a) inform the director, secretary, influential person or nominee that the commission—
 - (i) still considers—
 - (A) that the company carried out the work stated in the notice; and

- (B) that the work carried out was tier 1 defective work; and
- (ii) is not satisfied—
 - (A) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to the defective work; or
 - (B) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the work carried out was not defective; and
- (b) cancel the director's, secretary's, influential person's or nominee's licence; and
- (c) inform the director, secretary, influential person or nominee—
 - (i) that the director, secretary, influential person or nominee is taken not to be a fit and proper person for part 3, division 2, for the term calculated under section 67AO and stated in the notice; and
 - (ii) of the director's, secretary's, influential person's or nominee's right to apply to the tribunal for a review of the commission's decision; and
 - (iii) how, and the period within which, the director, secretary, influential person or nominee may apply to the tribunal for the review; and
 - (iv) any right the director, secretary, influential person or nominee has to have the operation of the commission's decision stayed by the tribunal.

Note—

A director, secretary, influential person or nominee who is given notice that they are taken not to be a fit and proper person (a *banned individual*) for a stated term may have the commission's decision reviewed under section 86(1)(n).

[s 67AM]

- (4) A director, secretary, influential person or nominee given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.
- (5) Section 49 does not apply to a cancellation under subsection (3).

67AM Notice that not a fit and proper person to director, secretary, influential person or nominee who is not a licensee

- (1) For a director, secretary, influential person or nominee who is not a licensee, subsection (3) applies if, after considering the submissions made by the director, secretary, influential person or nominee for the notice under section 67AJ(2), the commission—
 - (a) still considers—
 - (i) that the company carried out the work stated in the notice; and
 - (ii) that the work carried out was tier 1 defective work; and
 - (b) is not satisfied—
 - (i) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to the defective work; or
 - (ii) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the work carried out was not defective.

Note—

A nominee who is included in this provision as a person who was a licensed nominee when the company carried out the defective work may not be licensed when the notice is given.

(2) Subsection (3) also applies if there are no submissions for the notice under section 67AJ(2).

[s 67AM]

- (3) The commission must, by written notice given to the director, secretary, influential person or nominee inform the director, secretary, influential person or nominee—
 - (a) that the commission—
 - (i) still considers—
 - (A) that the company carried out the work stated in the notice; and
 - (B) that the work carried out was tier 1 defective work; and
 - (ii) is not satisfied—
 - (A) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to the defective work; or
 - (B) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the work carried out was not defective; and
 - (b) that the director, secretary, influential person or nominee is taken not to be a fit and proper person for part 3, division 2, for the term calculated under section 67AO and stated in the notice; and
 - (c) of the director's, secretary's, influential person's or nominee's right to apply to the tribunal for a review of the commission's decision; and
 - (d) how, and the period within which, the director, secretary, influential person or nominee may apply to the tribunal for the review; and
 - (e) any right the director, secretary, influential person or nominee has to have the operation of the commission's decision stayed by the tribunal.

Note—

A director, secretary, influential person or nominee who is given notice that they are taken not to be a fit and proper person (a

[s 67AN]

banned individual) for a stated term may have the commission's decision reviewed under section 86(1)(n).

(4) A director, secretary, influential person or nominee given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.

67AN Notice by commission to company for which a banned individual is a director, secretary, influential person or nominee

- (1) This section applies if the commission considers that a company that is a licensee has an individual who is a banned individual as a director or secretary of, or an influential person or nominee for, the company.
- (2) The commission must give the company a written notice stating—
 - (a) particulars identifying the individual (the *relevant individual*) the commission considers is a director or secretary of, or an influential person or nominee for, the company; and

Note—

A company may have the commission's decision reviewed under section 86(1)(0).

- (b) that the relevant individual must stop being a director, secretary, influential person or nominee within 28 days after the commission gives the company the written notice; and
- (c) the commission must cancel the company's licence if the relevant individual does not stop being a director, secretary, influential person or nominee within the 28 days mentioned in paragraph (b).
- (3) The commission must cancel the company's licence by written notice given to the company if the relevant individual does not stop being a director, secretary, influential person or nominee within the 28 days mentioned in subsection (2)(b).

[s 67AO]

(4) Section 49 does not apply to a cancellation under subsection (3).

67AO Terms of bans

- (1) This section states the term for which an individual given a notice under section 67AH, 67AI, 67AL or 67AM is taken not to be a fit and proper person for part 3, division 2 (the *term of ban*).
- (2) Only tier 1 defective work carried out after the commencement of this section may be taken into account in calculating the term of ban.
- (3) The term of ban for an individual given a notice for tier 1 defective work for the first time is 3 years.
- (4) The term of ban for an individual given a notice for tier 1 defective work a second time or subsequent time is the life of the individual.
- (5) A notice may be given to an individual a second time or subsequent time under section 67AH, 67AI, 67AL or 67AM if—
 - (a) a notice for tier 1 defective work has been given under one of the sections stating a ban of 3 years; and
 - (b) the second or subsequent notice relates to tier 1 defective work carried out after the notice stating a ban of 3 years is given.
- (6) It is declared that, in deciding whether a notice for tier 1 defective work has been given to an individual a second time or subsequent time, a notice given to an individual for the first time under section 67AH, 67AI, 67AL or 67AM stating a ban of 3 years must be counted whether or not the individual has served the term of the ban.

Example for subsections (5) and (6)—

An individual who is a licensee is given a notice under section 67AH for tier 1 defective work carried out by the individual. The notice informs the individual that the individual is taken not to be a fit and proper person for part 3, division 2 for 3 years. After the notice under section

[s 67AP]

67AH is given, the individual carries out other tier 1 defective work. The commission may give the individual a second notice under section 67AH for the other tier 1 defective work whether or not the 3 years have passed. Also, it is the second notice under section 67AH that informs the individual that the individual is taken not to be a fit and proper person for part 3, division 2 for the life of the individual.

- (7) However, in deciding whether a notice for tier 1 defective work is given to an individual a second time or subsequent time, an earlier notice given to an individual under section 67AH, 67AI, 67AL or 67AM must not be counted if—
 - (a) the earlier notice was given more than 10 years before the second or subsequent notice; or
 - (b) the commission's decision under the earlier notice was reversed or annulled on review by the tribunal.
- (8) In this section a reference to tier 1 defective work carried out is—
 - (a) for an individual given notice under section 67AH(3) or 67AI(3)—a reference to tier 1 defective work carried out by the individual; or
 - (b) for an individual given notice under section 67AL(3) or 67AM(3)—a reference to tier 1 defective work carried out by the company for which the individual was a director, secretary, influential person or nominee.

67AP Relationship of this part with pt 7, div 4

- (1) Subsection (2) applies if the commission has applied under section 88 for disciplinary action relating to defective building work on a ground mentioned in section 89(i) or 90(d) and the tribunal has decided the proceeding.
- (2) The commission must not give a notice under section 67AF or 67AJ in relation to the building work mentioned in subsection (1).

- (3) Nothing in subsection (1) or (2) prevents the commission from—
 - (a) withdrawing an application mentioned in subsection (1) relating to defective building work that has not been decided by the tribunal; and
 - (b) giving a notice under section 67AF or 67AJ for the same building work.
- (4) Subsection (5) applies if the commission has given a notice to an individual under section 67AF or 67AJ and is proceeding under this part.
- (5) The commission may apply under section 88 in relation to defective work stated in a notice to an individual under section 67AF or 67AJ only if the commission has not given the individual notice under section 67AH, 67AI, 67AL or 67AM.
- (6) Subsection (7) applies if—
 - (a) an individual has applied under section 86(1)(n) for a review of the commission's decision under section 67AH, 67AI, 67AL or 67AM; and
 - (b) the tribunal reverses or annuls the commission's decision.
- (7) The tribunal must, in the same proceeding, decide whether proper grounds exist for taking disciplinary action against—
 - (a) the individual, in relation to the building work stated in a notice under section 67AF; or
 - (b) the company for which the individual is a director, secretary, influential person or nominee, in relation to the building work stated in a notice under section 67AJ.
- (8) For subsection (7), the commission is taken to have applied under section 88 and the tribunal must consider whether proper grounds exist under 89(i) or 90(d).

[s 67AQ]

Part 3E Disqualified individuals

Division 1 Preliminary

67AQ Definitions for pt 3E

In this part—

accumulate—

A person accumulates a demerit point when the demerit point takes effect if it is subsequently allocated to the person.

administering authority see the State Penalties Enforcement Act 1999, schedule 2.

building contract see section 67AAA.

conviction, of a person for a demerit offence, includes the following in relation to the offence—

- (a) a court finding the person guilty or accepting the person's plea of guilty, whether or not a conviction is recorded;
- (b) the person paying a fine under an infringement notice, in full;
- (c) the person paying the first instalment of a fine under an infringement notice;
- (d) the registration by the registrar of a default certificate for an infringement notice given to the person;
- (e) a decision of the tribunal under the QCAT Act that proper grounds exist for taking disciplinary action against the person for a contravention mentioned in section 67AR(1)(b) or (2)(b).

Note—

See sections 89(a) and 91.

default certificate see the *State Penalties Enforcement Act* 1999, schedule 2.

[s 67AR]

demerit matter means-

- (a) a conviction for a demerit offence; or
- (b) an unsatisfied judgment debt.

demerit offence see section 67AR.

demerit points means demerit points allocated by the commission under this part for a demerit matter.

disqualified individual see section 67AU.

infringement notice see the *State Penalties Enforcement Act* 1999, schedule 2.

judgment debt see section 67AS.

period of 3 years, in relation to the accumulation of demerit points, means a period of 3 years or less.

registrar see the *State Penalties Enforcement Act 1999*, schedule 2.

SPER see the *State Penalties Enforcement Act 1999*, schedule 2.

unsatisfied, in relation to a judgment debt, see section 67AT.

67AR Meaning of *demerit offence*

- (1) A *demerit offence* is—
 - (a) an offence, committed after the commencement of this subsection, against—
 - (i) section 67G, 67I or 67V; or
 - (ii) the *Domestic Building Contracts Act 2000*, section 64, 65, 66 or 67; or
 - (b) a contravention, after the commencement of this subsection, by a licensee, of a requirement imposed under a section mentioned in paragraph (a).
- (2) Also, a *demerit offence* is—
 - (a) an offence, committed after the commencement of this subsection, against—

[s 67AS]

- (i) section 42B, 68(1) or 72(10); or
- (ii) the *Domestic Building Contracts Act 2000*, section 26; or
- (iii) the *Domestic Building Contracts Act 2000*, section 27 to the extent it relates to noncompliance with the formal requirement mentioned in section 27(2)(f); or
- (b) a contravention, after the commencement of this subsection, by a licensee, of a requirement imposed under a provision mentioned in paragraph (a).

67AS Meaning of *judgment debt*

- (1) A *judgment debt* is the amount, for which judgment has been entered in a court of competent jurisdiction, owing by a building contractor—
 - (a) in relation to a building contract or a domestic building contract; or
 - (b) for goods or services supplied for—
 - (i) building work to be carried out under a building contract; or
 - (ii) domestic building work to be carried out under a domestic building contract; or
 - (c) to the commission for a claim under the statutory insurance scheme.
- (2) Judgment must be entered after the commencement of this section.
- (3) However, the circumstances giving rise to the judgment debt may have arisen before the commencement of this section.

67AT Meaning of *unsatisfied* judgment debt

(1) A judgment debt is *unsatisfied* if it has not been paid in full within—

[s 67AU]

- (a) 28 days after judgment is entered; or
- (b) if a longer period is allowed by the court for payment—the longer period.
- (2) A judgment debt is not unsatisfied if the judgment creditor has accepted and been paid an amount in full and final settlement within the relevant period mentioned in subsection (1).

67AU Disqualified individual

- (1) An individual is a *disqualified individual* if the individual is given notice under this part that the individual is taken not to be a fit and proper person for part 3, division 2.
- (2) The individual continues to be a disqualified individual for the term calculated under this part and stated in the notice.

67AV Operation of pt 3E

This part has effect despite anything in part 3, but does not apply to—

- (a) a site supervisor's licence; or
- (b) a fire protection occupational licence.

Division 2 Calculation of demerit points and notification of unsatisfied judgment debts

67AW Demerit points for demerit matters

- (1) This section applies to a person who—
 - (a) has a conviction for a demerit offence; or
 - (b) is a judgment debtor for an unsatisfied judgment debt.
- (2) The commission must allocate demerit points under this part to the person as follows—
 - (a) for a conviction for a demerit offence—2 points;

- (b) for an unsatisfied judgment debt—10 points.
- (3) This section is subject to section 67AZB.

67AX When demerit points allocated for demerit offences

- (1) The commission must allocate demerit points to a person for a conviction for a demerit offence as soon as practicable after the points take effect.
- (2) Demerit points for a conviction for a demerit offence take effect—
 - (a) if a court finds a person guilty—on the day after the last day on which the person may appeal the finding; or
 - (b) if a court accepts a person's plea of guilty—on the day the plea is accepted; or
 - (c) if a person pays the fine under an infringement notice, in full—on the day the fine is paid; or
 - (d) if a person applies to the administering authority to pay the fine under an infringement notice, by instalments—on the day the administering authority receives the first instalment; or
 - (e) if the administering authority gives a default certificate to SPER for registration—on the day the default certificate is registered; or
 - (f) if the tribunal decides that proper grounds exist for taking disciplinary action against a person for a contravention mentioned in section 67AR(1)(b) or (2)(b)—on the day after the last day on which the person may appeal the tribunal's decision.
- (3) However, if a conviction for a demerit offence is appealed, demerit points for the conviction must not be allocated until the appeal is finally dealt with or withdrawn.
- (4) Also, if a conviction for a demerit offence is appealed and after the appeal there is no conviction, demerit points stop having effect.

[s 67AY]

67AY When demerit points allocated for unsatisfied judgment debts

- (1) The commission must allocate demerit points to a person for an unsatisfied judgment debt as soon as practicable after the points take effect.
- (2) Demerit points take effect when the judgment debt becomes an unsatisfied judgment debt.
- (3) However, if a decision relating to a judgment is appealed or an application is made to set the judgment aside, demerit points must not be allocated for the unsatisfied judgment debt until the appeal or application is finally dealt with or withdrawn.
- (4) Also, if a decision relating to a judgment is appealed or an application is made to set the judgment aside and after the appeal or application there is no unsatisfied judgment debt, demerit points stop having effect.

67AZ Judgment debtor must notify unsatisfied judgment debt

(1) A judgment debtor for a judgment debt must notify the commission within 14 days after the judgment debt becomes an unsatisfied judgment debt.

Maximum penalty—40 penalty units.

- (2) Notification under subsection (1) must include the following—
 - (a) particulars of the debt;
 - (b) the name and address of the judgment debtor;
 - (c) the name and address of the judgment creditor;
 - (d) the date judgment was entered and the amount for which it was entered;
 - (e) the court in which it was entered;
 - (f) the number of the proceeding for which it was entered sufficient to allow a search of the court registry;
 - (g) details of any payments made to reduce the judgment debt.

67AZA Commission must notify person about demerit points

- (1) Subsection (2) applies if the commission allocates demerit points to a person.
- (2) The commission must, as soon as practicable after the demerit points are allocated, notify the person in writing of—
 - (a) the demerit points allocated; and
 - (b) the demerit matters for which they were allocated; and
 - (c) the date the points took effect.

67AZB Limit on demerit points from single audit or investigation

- (1) This section applies if a licensee is convicted of demerit offences discovered by the commission as a result of a written notice given to a licensee under section 50C(2).
- (2) The maximum demerit points that may be allocated to the licensee for the convictions for the demerit offences is 6.
- (3) Nothing in this section prevents another 6 demerit points being allocated to the licensee for convictions for demerit offences discovered by the commission as a result of a later written notice given under section 50C(2).
- (4) However other demerit points must not be allocated—
 - (a) if the earlier notice and the later notice relate to—
 - (i) the same audit under an approved audit program; or
 - (ii) information received by the commission from the same source; or
 - (b) for convictions for demerit offences discovered by the commission as a result of a later notice if the offences—
 - (i) were committed before an earlier notice; and
 - (ii) demerit offences were discovered as a result of the earlier notice and resulted in the allocation of demerit points.

[s 67AZC]

Division 3 Licence exclusion and cancellation

67AZC Exclusion from licence

The commission must not grant a person a licence if the person is—

- (a) a disqualified individual; or
- (b) a company for which a disqualified individual is a director, secretary, influential person or nominee.

67AZD Procedure if commission considers individual has accumulated 30 demerit points

- (1) This section applies if the commission considers that an individual has accumulated 30 demerit points in a period of 3 years.
- (2) The commission must give the individual a written notice stating—
 - (a) details of the demerit matters for which the demerit points have accumulated and the dates the points took effect; and
 - (b) the effect of the individual becoming a disqualified individual for accumulating 30 demerit points in a period of 3 years; and
 - (c) an invitation to the individual to make written submissions, within a stated period, to satisfy the commission that the individual has not accumulated 30 demerit points in a period of 3 years.
- (3) The stated period must be at least 28 days after the written notice is given to the individual.
- (4) The commission must consider any submissions made by the individual.
- (5) In deciding whether an individual has accumulated 30 demerit points in a period of 3 years, demerit points must not be

counted for an unsatisfied judgment debt paid in full before the end of the stated period.

67AZE Ending procedure without further action

- (1) This section applies if, after considering submissions made by the individual, the commission is satisfied the individual has not accumulated 30 demerit points in a period of 3 years.
- (2) The commission must, as soon as practicable, advise the individual in writing that no further action under this part will be taken in relation to the notice given under section 67AZD(2).

67AZF Notice of cancellation and that not a fit and proper person to individual who is a licensee

- (1) For an individual who is a licensee, subsection (3) applies if, after considering submissions made by the individual for the notice under section 67AZD(2), the commission still considers that the individual has accumulated 30 demerit points in a period of 3 years.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AZD(2).
- (3) The commission must, by written notice given to the individual—
 - (a) inform the individual that the commission still considers the individual has accumulated 30 demerit points in a period of 3 years; and
 - (b) cancel the individual's licence; and
 - (c) inform the individual—
 - (i) that the individual is taken not to be a fit and proper person for part 3, division 2 for the term calculated under section 67AZM and stated in the notice; and
 - (ii) of the individual's right to apply to the tribunal for a review of the commission's decision; and

[s 67AZG]

- (iii) how, and the period within which, the individual may apply to the tribunal for the review; and
- (iv) any right the individual has to have the operation of the commission's decision stayed by the tribunal.

Note—

An individual who is given notice that they are taken not to be a fit and proper person (a *disqualified individual*) for a stated term may have the commission's decision reviewed under section 86(1)(p).

- (4) An individual given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.
- (5) Section 49 does not apply to a cancellation under subsection (3).

67AZG Notice that not a fit and proper person to individual who is not a licensee

- (1) For an individual who is not a licensee, subsection (3) applies if, after considering submissions made by the individual for the notice under section 67AZD(2), the commission still considers that the individual has accumulated 30 demerit points in a period of 3 years.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AZD(2).
- (3) The commission must, by written notice given to the individual, inform the individual—
 - (a) that the commission still considers the individual has accumulated 30 demerit points in a period of 3 years; and
 - (b) that the individual is taken not to be a fit and proper person for part 3, division 2 for the term calculated under section 67AZM and stated in the notice; and
 - (c) of the individual's right to apply to the tribunal for a review of the commission's decision; and

- (d) how, and the period within which, the individual may apply to the tribunal for the review; and
- (e) any right the individual has to have the operation of the commission's decision stayed by the tribunal.

Note—

An individual who is given notice that they are taken not to be a fit and proper person (a *disqualified individual*) for a stated term may have the commission's decision reviewed under section 86(1)(p).

(4) An individual given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.

67AZH Notice by commission to director, secretary, influential person or nominee about demerit points

- (1) This section applies if the commission considers that a company has accumulated 30 demerit points in a period of 3 years.
- (2) The commission may give an individual who was a director or secretary of, or an influential person or nominee for, the company at the times the 30 demerit points were accumulated, a written notice stating—
 - (a) details of the demerit matters for which the demerit points have accumulated and the dates the points took effect; and
 - (b) the effect of the director, secretary, influential person or nominee becoming a disqualified individual because the company has accumulated 30 demerit points in a period of 3 years; and
 - (c) an invitation to the director, secretary, influential person or nominee to make written submissions, within a stated period, to satisfy the commission that—
 - (i) the company has not accumulated 30 demerit points in a period of 3 years; or

[s 67AZI]

- (ii) the director, secretary, influential person or nominee exercised reasonable diligence to ensure that some or all of the matters for which the demerit points accumulated did not happen; or
- (iii) the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to some or all of the matters for which the demerit points accumulated.
- (3) The stated period must be at least 28 days after the written notice is given to the director, secretary, influential person or nominee.
- (4) The commission must consider any submissions made by the director, secretary, influential person or nominee.
- (5) In deciding whether a company has accumulated 30 demerit points in a period of 3 years, demerit points must not be counted for an unsatisfied judgment debt paid in full before the end of the stated period.

67AZI Ending procedure without further action

- (1) This section applies if, after considering submissions made by the director, secretary, influential person or nominee, the commission is satisfied that—
 - (a) the company has not accumulated 30 demerit points in a period of 3 years; or
 - (b) the director, secretary, influential person or nominee exercised reasonable diligence to ensure that some or all of the demerit matters for which the demerit points accumulated did not happen; or
 - (c) the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs in relation to some or all of the demerit matters for which the demerit points accumulated.

- (2) The commission must, as soon as practicable, advise the director, secretary, influential person or nominee in writing that no further action under this part will be taken in relation to the notice given under section 67AZH(2).
- (3) However, subsection (2) does not apply if at least 30 demerit points remain after taking into account the demerit matters mentioned in subsection (1)(b) and (c).

67AZJ Notice of cancellation and that not a fit and proper person to director, secretary, influential person or nominee who is a licensee

- (1) For a director, secretary, influential person or nominee who is a licensee, subsection (3) applies if, after considering the submissions made by the director, secretary, influential person or nominee for the notice under section 67AZH(2), the commission—
 - (a) still considers that the company has accumulated 30 demerit points in a period of 3 years; and
 - (b) is not satisfied, in relation to demerit matters for which at least 30 demerit points accumulated—
 - (i) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs; or
 - (ii) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the demerit matters did not happen.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AZH(2).
- (3) The commission must, by written notice given to the director, secretary, influential person or nominee—
 - (a) inform the director, secretary, influential person or nominee that the commission—
 - (i) still considers that the company has accumulated 30 demerit points in a period of 3 years; and

- (ii) is not satisfied, in relation to demerit matters for which at least 30 demerit points accumulated—
 - (A) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs; or
 - (B) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the demerit matters did not happen; and
- (b) cancel the director's, secretary's, influential person's or nominee's licence; and
- (c) inform the director, secretary, influential person or nominee—
 - (i) that the director, secretary, influential person or nominee is taken not to be a fit and proper person for part 3, division 2, for the term calculated under section 67AZM and stated in the notice; and
 - (ii) of the director's, secretary's, influential person's or nominee's right to apply to the tribunal for a review of the commission's decision; and
 - (iii) how, and the period within which, the director, secretary, influential person or nominee may apply to the tribunal for the review; and
 - (iv) any right the director, secretary, influential person or nominee has to have the operation of the commission's decision stayed by the tribunal.

Note—

A director, secretary, influential person or nominee who is given notice that they are taken not to be a fit and proper person (a *disqualified individual*) for a stated term may have the commission's decision reviewed under section 86(1)(p).

(4) A director, secretary, influential person or nominee given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.

(5) Section 49 does not apply to a cancellation under subsection (3).

67AZK Notice that not a fit and proper person to director, secretary, influential person or nominee who is not a licensee

- (1) For a director, secretary, influential person or nominee who is not a licensee, subsection (3) applies if, after considering the submissions made by the director, secretary, influential person or nominee for the notice under section 67AZH(2), the commission—
 - (a) still considers that the company has accumulated 30 demerit points in a period of 3 years; and
 - (b) is not satisfied, in relation to demerit matters for which at least 30 demerit points accumulated—
 - (i) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs; or
 - (ii) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the demerit matters did not happen.
- (2) Subsection (3) also applies if there are no submissions for the notice under section 67AZH(2).
- (3) The commission must, by written notice given to the director, secretary, influential person or nominee inform the director, secretary, influential person or nominee—
 - (a) that the commission—
 - (i) still considers that the company has accumulated 30 demerit points in a period of 3 years; and
 - (ii) is not satisfied, in relation to demerit matters for which at least 30 demerit points accumulated—
 - (A) that the director, secretary, influential person or nominee was not in a position to influence the conduct of the company's affairs; or

[s 67AZL]

- (B) that the director, secretary, influential person or nominee exercised reasonable diligence to ensure that the demerit matters did not happen; and
- (b) that the director, secretary, influential person or nominee is taken not to be a fit and proper person for part 3, division 2, for the term calculated under section 67AZM and stated in the notice; and
- (c) of the director's, secretary's, influential person's or nominee's right to apply to the tribunal for a review of the commission's decision; and
- (d) how, and the period within which, the director, secretary, influential person or nominee may apply to the tribunal for the review; and
- (e) any right the director, secretary, influential person or nominee has to have the operation of the commission's decision stayed by the tribunal.

Note—

A director, secretary, influential person or nominee who is given notice that they are taken not to be a fit and proper person (a *disqualified individual*) for a stated term may have the commission's decision reviewed under section 86(1)(p).

(4) A director, secretary, influential person or nominee given notice under subsection (3) is taken not to be a fit and proper person for part 3, division 2 as stated in the notice.

67AZL Notice by commission to company for which a disqualified individual is a director, secretary, influential person or nominee

- (1) This section applies if the commission considers that a company that is a licensee has an individual who is a disqualified individual as a director or secretary of, or an influential person or nominee for, the company.
- (2) The commission must give the company a written notice stating—

(a) particulars identifying the individual (the *relevant individual*) the commission considers is a director or secretary of, or an influential person or nominee for, the company; and

Note—

A company may have the commission's decision reviewed under section 86(1)(q).

- (b) that the relevant individual must stop being a director, secretary, influential person or nominee within 28 days after the commission gives the individual the written notice; and
- (c) the commission must cancel the licensee's licence if the relevant individual does not stop being a director, secretary, influential person or nominee within the 28 days mentioned in paragraph (b).
- (3) The commission must cancel the company's licence by written notice given to the company if the relevant individual does not stop being a director, secretary, influential person or nominee within the 28 days mentioned in subsection (2)(b).
- (4) Section 49 does not apply to a cancellation under subsection (3).

67AZM Terms of disqualifications

- (1) This section states the term for which an individual given a notice under section 67AZF, 67AZG, 67AZJ or 67AZK is taken not to be a fit and proper person for part 3, division 2 (the *term of disqualification*).
- (2) Only demerit points accumulated after the commencement of this section may be taken into account in calculating the term of disqualification.
- (3) The term of disqualification for an individual given a notice for accumulating 30 demerit points in a period of 3 years for the first time is 3 years.

[s 67AZM]

- (4) The term of disqualification for an individual given a notice for accumulating 30 demerit points in a period of 3 years a second time or subsequent time is the life of the individual.
- (5) A notice may be given to an individual a second time or subsequent time under section 67AZF, 67AZG, 67AZJ or 67AZK if—
 - (a) a notice for accumulating 30 demerit points in a period of 3 years has been given under one of the sections stating a disqualification of 3 years; and
 - (b) the second or subsequent notice relates to 30 demerit points accumulated in a period of 3 years after the notice stating a disqualification of 3 years is given.
- (6) It is declared that, in deciding whether a notice for accumulating 30 demerit points in a period of 3 years has been given to an individual a second time or subsequent time, a notice given to an individual for the first time under section 67AZF, 67AZG, 67AZJ or 67AZK stating a disqualification of 3 years must be counted whether or not the individual has served the term of the disqualification.

Example for subsections (5) and (6)—

An individual who is a licensee is given a notice under section 67AZF for accumulating 30 demerit points in a period of 3 years. The notice informs the individual that the individual is taken not to be a fit and proper person for part 3, division 2 for 3 years. After the notice under section 67AZF is given, the individual accumulates a further 30 demerit points in a period of 3 years that may include part of the term of disqualification. The commission may give the individual a second notice under section 67AZF for the further 30 demerit points whether or not the term of disqualification has ended. Also, it is the second notice under section 67AZF that informs the individual that the individual is taken not to be a fit and proper person for part 3, division 2 for the life of the individual.

(7) However, in deciding whether a notice for accumulating 30 demerit points in a period of 3 years is given to an individual a second time or subsequent time, an earlier notice given to an individual under section 67AZF, 67AZG, 67AZJ or 67AZK must not be counted if—

- (a) the earlier notice was given more than 10 years before the second or subsequent notice; or
- (b) the commission's decision under the earlier notice was reversed or annulled on review by the tribunal.
- (8) In this section a reference to accumulating 30 demerit points in a period of 3 years is—
 - (a) for an individual given notice under section 67AZF(3) or 67AZG(3)—a reference to the individual accumulating 30 demerit points in a period of 3 years; or
 - (b) for an individual given notice under section 67AZJ(3) or 67AZK(3)—a reference to the company for which the individual was a director, secretary, influential person or nominee accumulating 30 demerit points in a period of 3 years.

Part 4A Building contracts other than domestic building contracts

Division 1 Preliminary

67A Definitions for pt 4A

In this part—

approved security provider means a financial institution that is an approved security provider under the *Financial Accountability Act 2009*.

building contract see section 67AAA.

carry out building work means-

- (a) carry out building work personally; or
- (b) directly or indirectly, cause building work to be carried out; or

(c) provide advisory, administrative, management or supervisory services for carrying out building work.

commercial building contract means a building contract that is not a construction management trade contract or a subcontract.

construction management trade contract means a building contract described in section 67B.

contracted party, for a building contract, means the party to the contract who is to carry out the building work the subject of the contract.

contracting party, for a building contract, means the party to the contract for whom the building work the subject of the contract is to be carried out.

contract price, for a building contract, means the amount payable under the contract for carrying out the building work the subject of the contract, including, if the contract has been the subject of a variation, the contract as varied.

payment claim see the *Building and Construction Industry Payments Act 2004*, schedule 2.

principal means a person who is the contracting party for a building contract and who—

- (a) is not a building contractor; or
- (b) is a building contractor, but did not enter into the building contract in the course of carrying on business as a building contractor.

progress payment see the *Building and Construction Industry Payments Act 2004*, schedule 2.

retention amount, for a building contract, means an amount described in section 67C.

security, for a building contract, means something-

(a) given to, or for the direct or indirect benefit of, the contracting party for the contract by or for the contracted party for the contract; and

- (b) intended to secure, wholly or partly, the performance of the contract; and
- (c) in the form of either, or a combination of both, of the following—
 - (i) an amount, other than an amount held as a retention amount for the contract;
 - (ii) 1 or more valuable instruments, whether or not exchanged for, or held instead of, a retention amount for the contract.

subcontract means a building contract described in section 67D.

valuable instrument means any of the following-

- (a) a banker's undertaking;
- (b) a bond;
- (c) inscribed stock;
- (d) a guarantee policy;
- (e) an interest bearing deposit.

variation, of a building contract, means an addition to, or an omission from, the building work the subject of the contract.

67AAA Meaning of building contract

- (1) For this part, a *building contract* means a contract or other arrangement for carrying out building work in Queensland but does not include—
 - (a) a domestic building contract; or
 - (b) a contract exclusively for construction work that is not building work.
- (2) In this section—

construction work see the *Building and Construction Industry Payments Act 2004*, section 10.

[s 67B]

67B Meaning of construction management trade contract

- (1) A building contract is a *construction management trade contract* if—
 - (a) the contracting party for the building contract is a principal; and
 - (b) the contracted party for the building contract is the holder of a contractor's licence; and
 - (c) the building work the subject of the building contract is part of a wider project of building work (the *project*) involving the principal in entering into 1 or more other building contracts, also as a principal, for the carrying out of other building work that is also part of the project; and
 - (d) the contracted party is not the construction manager for the project.
- (2) For deciding whether a principal has entered into a building contract, it does not matter if the building contract was entered into on behalf of the principal, including by a construction manager.

67C Meaning of *retention amount* in pt 4A

For this part, an amount is a *retention amount* for a building contract if—

- (a) the amount is payable as part of the contract price under the building contract, but, under the contract, may be withheld from payment to the contracted party for the building contract—
 - (i) during the progress of the building work the subject of the contract; or
 - (ii) for a period (a *maintenance period*) after the completion of the building work; or
 - (iii) both during the progress of the building work and for a maintenance period; and

[s 67D]

(b) the purpose of withholding the amount is to give financial protection to the contracting party in relation to the need to correct defects in the building work, or otherwise to secure, wholly or partly, the performance of the contract.

67D Meaning of *subcontract* in pt 4A

For this part, a building contract is a *subcontract* if—

- (a) both the contracting party and the contracted party for the contract are building contractors; and
- (b) for the contract, the contracted party is a subcontractor for the contracting party; and
- (c) the building work the subject of the contract is the whole or a part of building work the subject of—
 - (i) another building contract, under which the contracting party mentioned in paragraphs (a) and (b) is the contracted party; or
 - (ii) a domestic building contract between the contracting party mentioned in paragraphs (a) and (b) and a consumer.

67E Operation of pt 4A

- (1) Subject to any provision of this part that expressly provides that a building contract, or a provision of a building contract, is void, this part does not have effect to make void or voidable a building contract, or a provision of a building contract, even if—
 - (a) in entering into the building contract, or the building contract containing the provision, a party to the building contract commits an offence against this part; or
 - (b) the building contract or the provision of the building contract is inconsistent with a condition to which the building contract is subject under this part.

[s 67F]

- (2) However, if a building contract, or a provision of a building contract, is inconsistent with a provision (the *Act provision*) of this part applying to the building contract, the building contract, or the provision of the building contract, has effect only to the extent it is not inconsistent with the Act provision.
- (3) Without limiting subsection (2), a building contract is unenforceable against the contracted party for the contract to the extent that the contract provides for retention amounts or security in a way that is inconsistent with a condition to which the contract is subject under division 2.
- (4) This part—
 - (a) has effect in relation to a building contract despite anything in the building contract; and
 - (b) applies to a building contract even if—
 - (i) the contract was entered into outside Queensland; or
 - (ii) the parties to the contract have agreed that the law of Queensland does not apply to the contract or to a provision of the contract.

Division 2 All building contracts

67F Suggested forms of contract

The commission may prepare and publish suggested forms for building contracts.

67G Building contracts to be in writing

- (1) A building contractor commits an offence if—
 - (a) the building contractor enters into a building contract, whether as the contracting party or the contracted party for the contract; and
 - (b) the building contract is not put into writing—

[s 67G]

- (i) if the reasonable cost of the building work the subject of the contract is more than \$10,000—before carrying out the building work is started; or
- (ii) if the reasonable cost of the building work the subject of the contract is \$10,000 or less—before carrying out the building work is finished.

Maximum penalty—80 penalty units.

- (2) A building contractor commits an offence if—
 - (a) the building contractor enters into a building contract, whether as the contracting party or the contracted party for the contract; and
 - (b) the reasonable cost of the building work the subject of the building contract is \$10,000 or less; and
 - (c) after the building contract is entered into but before the building contract has been put into writing, the reasonable cost of the building work the subject of the building contract becomes more than \$10,000 because of a variation of the building contract, whether or not the variation is the first variation of the building contract; and
 - (d) the building contract, incorporating all variations, is not put into writing—
 - (i) if no building work has been carried out under the contract—before carrying out building work under the contract is started; or
 - (ii) otherwise—before there is further carrying out of building work under the contract.

Maximum penalty—80 penalty units.

- (3) A building contractor commits an offence if—
 - (a) the building contractor enters into a building contract, whether as the contracting party or the contracted party for the contract; and
 - (b) the building contract is put into writing; and

(c) the building contract, in writing, does not comply with the formal requirements for a building contract stated in subsection (4).

Maximum penalty—80 penalty units.

- (4) A building contract in writing complies with the formal requirements for a building contract if the contract states the following—
 - (a) the scope of the building work the subject of the contract;
 - (b) when the building work is to be completed;
 - (c) the amount to be paid for carrying out the building work or, if appropriate, how the amount to be paid for carrying out the building work is to be worked out;
 - (d) the parties' agreement about retention amounts and securities to be held;
 - (e) the name of the building contractor who is the contracted party for the building contract;
 - (f) the licence number of the building contractor mentioned in paragraph (e), as it appears on the building contractor's licence card;
 - (g) the address of the land where the building work is to be carried out.
- (5) This section does not apply to a building contractor who enters into a building contract as a principal.
- (6) If, in contravention of subsection (1) or (2), a building contract is not put into writing, a building contractor who is a party to the contract is taken not to commit an offence against the provision if—
 - (a) building work the subject of the contract must be carried out urgently; and
 - (b) it is not reasonably practicable to enter into a written contract in the particular circumstances.

Example—

a cyclone has caused considerable damage at a remote community, repairs are urgently needed, the parties to the building contract are not both present at the community and communications failure prevents transmission of written material between the parties

67I Directions given under building contracts

- (1) This section applies if under a building contract the contracting party for the contract, or another person authorised under the contract, may, without the agreement of the contracted party for the contract, give a direction to the contracted party.
- (2) Unless the building contract otherwise provides, a direction may initially be given other than in writing.
- (3) However, if a direction is given other than in writing, the contracted party—
 - (a) may ask for the direction to be given in writing; and
 - (b) is not required to comply with the direction until it is given in writing.
- (4) If a direction is given other than in writing, the contracting party commits an offence if the direction is not given to the contracted party in writing within 3 business days after it was given other than in writing.

Maximum penalty—80 penalty units.

(5) In this section—

direction includes agreement, approval, authorisation, certificate, decision, demand, determination, explanation, instruction, notice, order, permission, rejection, request or requirement.

67J Set-offs under building contracts

(1) The contracting party for a building contract may use a security or retention amount, in whole or in part, to obtain an amount owed under the contract, only if the contracting party

[s 67K]

has given notice in writing to the contracted party advising of the proposed use and of the amount owed.

- (2) The notice must be given within 28 days after the contracting party becomes aware, or ought reasonably to have become aware, of the contracting party's right to obtain the amount owed.
- (3) If, because of subsections (1) and (2), the contracting party is stopped from using a security or retention amount, the contracting party for the contract is not stopped from recovering the amount owed in another way.
- (4) This section does not apply if, under the contract—
 - (a) work has been taken out of the hands of the contracted party or the contract has been terminated; or
 - (b) the security or retention amount is to be used to make a payment into court to satisfy a notice of claim of charge under the *Subcontractors' Charges Act 1974*.
- (5) In this section—

amount owed, under a building contract, means an amount that, under the contract, is a debt due from the contracted party for the contract to the contracting party for the contract because of circumstances associated with the contracted party's performance of the contract.

use of security or retention amount includes the act of converting securities into cash where the securities are held as negotiable instruments.

67K Limits for retention amounts and securities for building contracts other than subcontracts

- (1) This section applies to a building contract if the contracting party under the contract is a principal or a special purpose vehicle.
- (2) The building contract is subject to a condition that at any time before, under the contract, practical completion of building work is reached, the total value of the following is to be not more than 5% of the contract price for the contract—
- (a) all retention amounts for the contract that are being withheld;
- (b) all securities for the contract given and still held.
- (3) Subsection (2) does not apply to retention amounts or securities to the extent that the retention amounts or securities are for the financial protection of the contracting party, having regard to amounts paid by the contracting party that relate to something that has not yet been installed in accordance with the requirements of the contract.
- (4) The building contract is not subject to the condition mentioned in subsection (2) if—
 - (a) the contract—
 - (i) is in writing; and
 - (ii) explains the condition; and
 - (iii) expressly provides that the contract is not subject to the condition; and
 - (b) the provision of the contract that expressly provides in the way mentioned in paragraph (a)(iii) is initialled by the parties to the contract.

67L Limits for retention amounts and securities for subcontracts

- A subcontract is subject to a condition that, at any time before, under the contract, practical completion of building work is reached, the total value of the following is to be not more than 5% of the contract price for the subcontract—
 - (a) all retention amounts for the subcontract that are being withheld;
 - (b) all securities for the subcontract given and still held.
- (2) Subsection (1) does not apply to retention amounts or securities to the extent that the retention amounts or securities are for the financial protection of the contracting party, having regard to amounts paid by the contracting party that relate to

[s 67M]

something that has not yet been installed in accordance with the requirements of the contract.

(3) Subsection (1) does not apply to a subcontract if the contracting party for the building contract is a special purpose vehicle.

67M Limits on deductions for retention amounts

- (1) This section applies if—
 - (a) an amount (the *relevant amount*) is payable by the contracting party for a building contract to the contracted party for the building contract; and
 - (b) the relevant amount relates to carrying out building work under the contract; and
 - (c) the relevant amount, except for a retention amount for the building contract that is withheld, is paid to the contracted party.
- (2) The building contract is subject to a condition that the retention amount withheld is to be not more than 10% of the relevant amount.

67N Limits for retention amounts and securities for building contracts after practical completion

- A building contract is subject to a condition that, at any time after, under the contract, practical completion of building work is reached, the total value of the following is to be not more than 2.5% of the contract price for the contract—
 - (a) all retention amounts for the contract that are being withheld;
 - (b) all securities for the contract given and still held.
- (2) Subsection (1) does not apply to retention amounts or securities to the extent that the retention amounts or securities do not relate to the need to correct defects, identified in the defects liability period under the contract, in the building work under the contract.

670 Suspension of works

- (1) This section applies if—
 - (a) the contracting party for a building contract has not complied with an order of a court or of the tribunal given in favour of the contracted party for the contract in relation to an issue arising under the contract; or
 - (b) all of the following apply—
 - (i) an amount is required to be paid to the contracted party for a building contract by a particular time;
 - (ii) the full amount is not paid, other than solely because of the retention of an amount lawfully permitted to be retained;
 - (iii) the requirement to pay the amount is not in dispute between the contracting party and the contracted party.
- (2) The contracted party may give the contracting party a written notice stating—
 - (a) details of the circumstance mentioned in subsection (1)(a), or of the circumstances mentioned in subsection (1)(b)(i) to (iii); and
 - (b) that the contracted party intends to suspend building work the subject of the building contract if the order mentioned in subsection (1)(a) is not complied with, or if the amount mentioned in subsection (1)(b) is not paid, within the time stated in the written notice.
- (3) The time stated in the written notice must be not less than 7 days after the written notice is given.
- (4) If the order is not complied with, or the amount is not paid, within the time stated in the written notice, the contracted party may—
 - (a) give the contracting party a further written notice that the contracted party is suspending the building work immediately; and

[s 67P]

	(b) suspend the building work immediately the further written notice is given.
(5)	If the contracted party suspends building work under subsection (4), the contracted party—
	(a) is not in breach of the building contract; and
	(b) keeps the contracted party's rights under the contract, including any right to terminate the contract; and
	(c) may at any time lift the suspension, even if the order has not been complied with or the amount has not been paid.
(6)	Subsection (7) applies if—
	(a) the order is complied with, or the amount is paid; and
	(b) the suspension is still in force; and
	(c) the contracting party gives written notice to the contracted party—
	(i) advising the order has been complied with or the amount has been paid; and
	(ii) requiring the contracted party to recommence the building work under the contract.
(7)	The building contract is subject to a condition that the contracted party must recommence carrying out building work under the contract within 7 days after the contracted party receives the written notice mentioned in subsection $(6)(c)$, or at a later time agreed to between the contracting party and the contracted party.

67P Late progress payments

- (1) This section applies if—
 - (a) the contracting party for a building contract is required to pay an amount (the *progress amount*) to the contracted party for the building contract; and
 - (b) the progress amount is payable as the whole or a part of a progress payment; and

[s 67R]

- (c) the time (the *payment time*) by which the progress amount is required to be paid has passed, and the progress amount, or a part of the progress amount, has not been paid.
- (2) For the period for which the progress amount, or the part of the progress amount, is still unpaid after the payment time, the contracting party is also required to pay the contracted party interest at the penalty rate, as applying from time to time, for each day the amount is unpaid.
- (3) In this section—

penalty rate means—

- (a) the rate made up of the sum of the following—
 - (i) 10% a year;
 - (ii) the rate comprising the annual rate, as published from time to time by the Reserve Bank of Australia, for 90 day bills; or
- (b) if the building contract provides for a higher rate of interest than the rate worked out under paragraph (a)—the higher rate.

Division 3 Construction management trade contracts and subcontracts

67R Application of div 3

This division applies to a building contract if the building contract is a construction management trade contract or a subcontract.

67S Lodgement of security instead of retention amount or security in money form

(1) This section applies if the contracted party for a building contract is under a lawful obligation to lodge a security in the form of an amount of money (the *relevant amount*).

[s 67T]

- (2) The contracted party complies with the obligation if—
 - (a) the contracted party lodges with the contracting party a security, in the form of a government bond or a valuable instrument from an approved security provider, to take the place of the security in the form of money; and
 - (b) the value of the security is equal to the relevant amount.
- (3) If the contracted party lodges a security in the form of a valuable instrument from an entity that is an approved security provider, and the entity stops being an approved security provider, the contracted party must, if asked by the contracting party, lodge a further security, in a form mentioned in subsection (2)(a), to take the place of the security in the form of money.

67T Lodgement of security to replace retention amount or security in money form

- (1) This section applies if, under a building contract, the contracting party is holding—
 - (a) an amount (the *relevant amount*) as a retention amount; or
 - (b) a security in the form of an amount of money (also the *relevant amount*).
- (2) The building contract is subject to a condition that—
 - (a) the contracted party may lodge with the contracting party a security, in the form of a government bond or a valuable instrument from an approved security provider, to take the place of the retention amount or of the security in the form of money; and
 - (b) on the lodging of the security, the contracting party must pay the contracted party the relevant amount.
- (3) However, subsection (2) applies only if the value of the security lodged is equal to the relevant amount.
- (4) If the contracted party lodges a security in the form of a valuable instrument from an entity that is an approved

[s 67U]

security provider, and the entity stops being an approved security provider, the contracted party must, if asked by the contracting party, lodge a further security, in a form mentioned in subsection (2)(a), to take the place of the retention amount or of the security in the form of money.

67U Void payment provision in construction management trade contract or subcontract

A provision in a construction management trade contract or subcontract is void to the extent it provides for payment of a progress payment by a contracting party to a contracted party later than 25 business days after submission of a payment claim.

Division 4 Warning for construction management trade contracts

67V Offence of not warning that contract is construction management trade contract

- (1) The contracting party for a construction management trade contract commits an offence if—
 - (a) the construction management trade contract does not include a warning complying with subsection (2); and
 - (b) the warning is not initialled by the contracted party for the contract.

Maximum penalty—80 penalty units.

- (2) The warning—
 - (a) must be in a form prescribed by regulation; and
 - (b) must be concerned with the possible dangers for the contracted party for the contract of entering into a construction management trade contract rather than a subcontract.

[s 67W]

Division 5 Commercial building contracts

67W Void payment provision in commercial building contract

A provision in a commercial building contract is void to the extent it provides for payment of a progress payment by a contracting party to a contracted party later than 15 business days after submission of a payment claim.

Part 5 The statutory insurance scheme

67X Statutory insurance scheme may be called Queensland Home Warranty Scheme

The statutory insurance scheme may operate under the name, and be referred to as—

- (a) the Queensland Home Warranty Scheme; or
- (b) if another name is prescribed by regulation, that other name.

67Y Protection of expressions associated with statutory insurance scheme

- (1) A person must not use a declared expression in connection with selling the right to participate in any warranty or insurance scheme unless—
 - (a) the scheme is that to which this Act relates; and
 - (b) that person does so on behalf of the commission.
- (2) A person must not—
 - (a) use any variation of a declared expression; or

[s 68]

(b) use any word (either alone or in conjunction with any other word) similar in sight or sound to a declared expression;

in connection with selling the right to participate in any insurance or warranty scheme, being, in either case, a use likely to afford reasonable grounds for believing the scheme is or is associated with the scheme to which this Act relates, unless—

- (c) the scheme in question is one to which this Act relates; and
- (d) that person does so on behalf of the commission.
- (3) A person who contravenes subsection (1) or (2) commits an offence against this Act.

Maximum penalty—100 penalty units.

(4) In this section—

declared expression means-

- (a) the Queensland Home Warranty Scheme; or
- (b) if another name is prescribed by regulation under section 67X, that other name.

68 Payment of insurance premium for residential construction work

- (1) The appropriate insurance premium payable under section 68D for residential construction work must be paid to the commission by the following—
 - (a) if section 68B applies, the licensed contractor;
 - (b) if section 68C applies, the construction manager who holds a contractor's licence of the relevant class.

Maximum penalty—100 penalty units.

- (1A) The premium must be paid—
 - (a) if section 68B(a) applies, as soon as practicable after the contract is entered into with the consumer; or

- (b) if section 68C applies, as soon as practicable after the construction management contract is entered into with the principal; or
- (c) if section 68B(b) applies, before residential construction work is started.
- (2) An assessment manager or compliance assessor must not, under the *Sustainable Planning Act 2009*, issue a development approval or a compliance permit for building work in respect of residential construction work unless—
 - (a) the assessment manager or compliance assessor has written information from the commission showing that the appropriate insurance premium has been paid; or
 - (b) the applicant produces satisfactory evidence that no insurance premium is payable.
- (3) A certificate of insurance issued by the commission in relation to residential construction work is conclusive evidence that the work is covered by a policy of insurance under the statutory insurance scheme.
- (4) A private certifier who is acting as an assessment manager must not contravene subsection (2).

Maximum penalty—20 penalty units.

68A Multiple contracts for the same residential construction work

- (1) This section applies if a licensed contractor and a consumer enter into 2 or more separate contracts that—
 - (a) could be the subject of a single contract to carry out residential construction work; and
 - (b) if they were the subject of a single contract, would be a contract to carry out residential construction work.
- (2) For this part, the separate contracts are taken to be a single contract for which the contract price is the sum of the contract prices for the separate contracts.

Example of separate contracts—

A licensed contractor that manufactures and installs kitchens enters into one contract with a building owner for the manufacture of a kitchen and a second contract for its installation.

68B When insurance premium is payable by licensed contractor

A licensed contractor who is to carry out residential construction work must pay the insurance premium for the work if—

- (a) the work is carried out under a contract with a consumer and the work is not the subject of a construction management contract; or
- (b) the work is speculative residential construction work.

68C When insurance premium is payable by construction manager

A construction manager who holds a contractor's licence of the relevant class must pay the insurance premium for residential construction work if a principal engages a construction manager under a construction management contract for the carrying out of the work.

68D Setting of insurance premiums for residential construction work

- (1) For residential construction work, the commission must state the insurance premium payable, or the way it is calculated, in the gazette.
- (2) Before stating the premium, or the way it is calculated, the commission must—
 - (a) have regard to the commission's obligation under section 26A; and
 - (b) ensure insurance premiums are sufficient to meet the costs mentioned in section 26(3); and

- (c) have regard to any regulation made under section 26(4); and
- (d) obtain the Minister's approval.
- (3) The commission must review premiums payable at least once every 12 months.
- (4) A regulation may prescribe the way the value of residential construction work is calculated for stating the insurance premium payable.
- (5) Subsection (6) applies if a principal engages a construction manager under a construction management contract for the carrying out of residential construction work.
- (6) For calculating the insurance premium payable by the construction manager, the amount paid by the principal to the construction manager under the contract must be added to the value of the residential construction work.

69 Insurance of residential construction work

- (1) When the commission accepts the appropriate insurance premium in respect of residential construction work, the commission must issue a certificate of insurance in respect of the residential construction work.
- (2) A policy of insurance comes into force in the terms stated in the board's policies for the purpose—
 - (a) if a consumer enters into a contract for the performance of residential construction work, and—
 - (i) the contract bears the licence number of a licensed contractor and, under the licensed contractor's licence, the licensed contractor may enter into contracts with consumers to carry out residential construction work covered by the statutory insurance scheme; or
 - (ii) the contract is with a licensed contractor and, under the licensed contractor's licence, the licensed contractor may enter into contracts with

consumers to carry out residential construction work covered by the statutory insurance scheme; or

- (iii) the contract is with a person fraudulently claiming to hold a licence under which the person may enter into contracts with consumers to carry out residential construction work covered by the statutory insurance scheme; or
- (b) if the work is speculative residential construction work carried out by a licensed contractor and, under the contractor's licence the contractor may carry out residential construction work covered by the statutory insurance scheme.
- (3) Subsection (2) applies whether or not an insurance premium has been paid or a certificate of insurance has been issued.
- (4) The certificate of insurance given to a consumer need not state the terms of the policy of insurance, but a copy of the policy must be given to the consumer when the certificate of insurance is given to the consumer and the certificate of insurance must include a clear reference to the policy.
- (5) To remove doubt, it is declared that a policy of insurance under this section has effect according to its terms and a consumer can not avoid the consequences of a breach of a provision of the policy of insurance only because the policy of insurance forms part of a statutory insurance scheme.

69A Commencement of insurance cover

- (1) Subsection (2) applies to residential construction work carried out under a contract with a consumer where the work is not managed under a construction management contract.
- (2) A policy of insurance for the work, in the terms stated in the board's policies, comes into force on the earliest of the following to happen—
 - (a) when a licensed contractor pays the appropriate insurance premium for the work under section 68;

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- (b) on the date a contract between a building contractor and a consumer is entered into for the work;
- (c) when a building contractor commences the work.
- (3) Subsection (4) applies to speculative residential construction work.
- (4) A policy of insurance for the work, in the terms stated in the board's policies, comes into force on the earlier of the following to happen—
 - (a) when the licensed contractor who is to carry out the work pays the appropriate insurance premium for the work under section 68;
 - (b) when the contractor commences the work.
- (5) Subsection (6) applies to residential construction work if the work is managed by a construction manager under a construction management contract.
- (6) A policy of insurance for the work, in the terms stated in the board's policies, comes into force on the earlier of the following to happen—
 - (a) when the construction manager pays the appropriate insurance premium for the work under section 68;
 - (b) when a building contractor commences the work.

70 Insurance claims

- (1) A person claiming to be entitled to indemnity under the insurance scheme must give notice of the claim to the commission in accordance with the regulations.
- (2) If the regulations do not state the way the notice of claim is to be given, a person who has applied to the commission under section 71A is taken to have given notice under this section.

[s 70A]

70A Persons not entitled to indemnity under insurance scheme

- (1) A building contractor who carries out speculative residential construction work is not entitled to indemnity under the statutory insurance scheme for the work.
- (2) Where a person has entered into 1 or more building contracts, in force at the same time, to construct 3 or more living units, the person is not entitled to indemnity under the statutory insurance scheme for the work.
- (3) For subsection (2)—
 - (a) a single detached dwelling is taken to be 1 living unit; and
 - (b) a residential unit is taken to be 1 living unit; and
 - (c) a duplex is taken to be 2 living units.
- (4) A policy of insurance for residential construction work, in the terms stated in the board's policies, may include other circumstances in which a person is not entitled to indemnity under the statutory insurance scheme.
- (5) Nothing in this section affects the right of a subsequent owner of residential construction work mentioned in this section to claim indemnity under the statutory insurance scheme.

71 Recovery from building contractor etc.

- (1) If the commission makes any payment on a claim under the insurance scheme, the commission may recover the amount of the payment, as a debt, from the building contractor by whom the relevant residential construction work was, or was to be, carried out or any other person through whose fault the claim arose.
- (2) For subsection (1)—
 - (a) a building contractor by whom the relevant residential construction work was, or was to be, carried out is taken to include—

	(i)	a licensed contractor whose licence card is imprinted on the contract for carrying out the work; and
	(ii)	a licensed contractor whose name, licence number and address are stated on the contract; and
	(iii)	a licensed contractor whose name is stated on the contract for carrying out the work; and
	(iv)	a licensed contractor whose name is stated on an insurance notification form for the work; and
	(v)	a licensed contractor whose licence number is stated on the contract for carrying out the work; and
	(vi)	a licensed contractor whose licence number is stated on an insurance notification form for the work; and
	(vii)	a licensed contractor whose PIN was used for putting in place, for the work, insurance under the statutory insurance scheme; and
	(viii)) a building contractor by whom the work was, or was to be, carried out; and
	(ix)	a person who, for profit or reward, carried out the work; and
(b)	inclu	rson through whose fault the claim arose is taken to ade a person who performed services for the work if services were performed without proper care and
that the r	the co ights	nission is subrogated, to the extent of any payment ommission has made, or has undertaken to make, to of a person to whom, or for whose benefit, the has been, or is to be, made in respect of the matter

(4) In a proceeding brought by the commission under subsection

 (1) against a licensed contractor mentioned in subsection
 (2)(a)(i), it is a defence for the licensed contractor to prove
 that—

out of which the insurance claim arose.

(3)

[s 71]

- (a) the licensed contractor's licence card was imprinted on the contract for carrying out the work without the licensed contractor's authority; and
- (b) the licensed contractor took all reasonable steps to ensure that the licence card was imprinted on contracts only with the licensed contractor's authority.
- (5) In a proceeding brought by the commission under subsection
 (1) against a licensed contractor mentioned in subsection
 (2)(a)(ii), it is a defence for the licensed contractor to prove that—
 - (a) the licensed contractor's name, licence number and address were stated on the contract for carrying out the work without the licensed contractor's authority; and
 - (b) the licensed contractor took all reasonable steps to ensure that the licensed contractor's name, licence number and address were stated in contracts only with the licensed contractor's authority.
- (6) In a proceeding brought by the commission under subsection
 (1) against a licensed contractor mentioned in subsection
 (2)(a)(iii), (iv), (v), (vi) or (vii), it is a defence for the licensed contractor to prove—
 - (a) for a licensed contractor mentioned in subsection
 (2)(a)(iii)—that the licensed contractor's name was stated on the contract for carrying out the work without the licensed contractor's authority; and
 - (b) for a licensed contractor mentioned in subsection (2)(a)(iv)—that the licensed contractor's name was stated on the insurance notification form for the work without the licensed contractor's authority; and
 - (c) for a licensed contractor mentioned in subsection (2)(a)(v)—that the licensed contractor's licence number was stated on the contract for carrying out the work without the licensed contractor's authority; and
 - (d) for a licensed contractor mentioned in subsection (2)(a)(vi)—that the licensed contractor's licence number

[s 71AA]

was stated on the insurance notification form for the work without the licensed contractor's authority; and

- (e) for a licensed contractor mentioned in subsection (2)(a)(vii)—
 - (i) that the licensed contractor's PIN was used for putting in place, for the work, insurance under the statutory insurance scheme without the licensed contractor's authority; and
 - (ii) that the licensed contractor took all reasonable steps to ensure the licensed contractor's PIN was kept and used in accordance with the commission's requirements for the keeping and use of the PIN.

71AA Cancellation of policy of insurance

- (1) A policy of insurance for residential construction work taken out by a licensed contractor may be cancelled by the contractor if—
 - (a) the contractor asks the commission in writing to cancel the policy; and
 - (b) the contract between the contractor and the consumer has ended; and
 - (c) the deposit under the contract has been refunded, less any amounts that may be lawfully deducted from the deposit; and
 - (d) the work insured under the policy has not started; and
 - (e) not more than 1 year has elapsed from the day the contract was entered into.
- (2) A policy of insurance for residential construction work taken out by a construction manager may be cancelled by the construction manager if—
 - (a) the construction manager asks the commission in writing to cancel the policy; and

- (b) the relevant construction management contract and all the construction management trade contracts for the work have ended; and
- (c) deposits paid under the relevant construction management contract and all the construction management trade contracts have been refunded, less any amounts that may be lawfully deducted from the deposits; and
- (d) the work insured under the policy has not started; and
- (e) not more than 1 year has elapsed from the day the first construction management trade contract for the work was entered into.
- (3) On cancellation of the policy, the commission must refund the insurance premium paid for the work to the licensed contractor or construction manager or to a person nominated in writing by the contractor or manager.
- (4) To remove doubt it is declared that, despite section 68(3), a person may not claim to be entitled to indemnity under a policy of insurance cancelled under this section.

71AB Statutory insurance scheme not to affect licensing decisions

In deciding the action to be taken in relation to a licensee's licence, the commissioner must not have regard to the implications for the statutory insurance scheme.

[s 71A]

Part 6 Rectification of building work

71A Dispute resolution before rectification of building work ordered

- (1) This section applies to a consumer who wants the commission to consider whether to direct rectification of building work under section 72.
- (2) The consumer must apply in writing to the commission stating—
 - (a) the name of the person who carried out the building work; and
 - (b) details of the building work the consumer considers is defective or incomplete; and
 - (c) other details the commission reasonably requires to consider the matter.
- (3) The application must be accompanied by the fee prescribed under a regulation.
- (4) Before it considers whether building work is defective or incomplete, the commission may require the consumer to comply with a process established by the commission to attempt to resolve the matter with the person who carried out the building work.
- (5) For this section, the person who carried out the building work is taken to include a person mentioned in section 72(5).

72 Power to require rectification of building work

- (1) If the commission is of the opinion that building work is defective or incomplete, the commission may direct the person who carried out the building work to rectify the building work within the period stated in the direction.
- (2) In deciding whether to give a direction under subsection (1), the commission may take into consideration all the circumstances it considers are reasonably relevant, and in particular, is not limited to a consideration of the terms of,

including the terms of any warranties included in, the contract for carrying out the building work.

- (3) The period stated in the direction must be at least 28 days unless the commission is satisfied that, if the direction is not required to be complied with within a shorter period—
 - (a) a substantial loss will be incurred by, or a significant hazard will be caused to the health or safety of, a person because of the defective building work; or
 - (b) the defective building work will cause a significant hazard to public safety or the environment generally.
- (4) Subject to subsection (3), the period stated in the direction must be a period the commission considers to be appropriate in the circumstances.
- (5) For subsection (1), the person who carried out the building work is taken to include—
 - (a) a licensed contractor whose licence card is imprinted on the contract for carrying out the building work; and
 - (b) a licensed contractor whose name, licence number and address are stated on the contract; and
 - (ba) a licensed contractor whose name is stated on the contract for carrying out the building work; and
 - (bb) a licensed contractor whose name is stated on an insurance notification form for the building work; and
 - (bc) a licensed contractor whose licence number is stated on the contract for carrying out the building work; and
 - (bd) a licensed contractor whose licence number is stated on an insurance notification form for the building work; and
 - (be) a licensed contractor whose PIN was used for putting in place, for the building work, insurance under the statutory insurance scheme; and
 - (c) a building contractor by whom the building work was carried out; and

- (d) a person who, for profit or reward, carried out the building work; and
- (e) a person who, under the *Domestic Building Contracts Act 2000*, is a building contractor under a domestic building contract who managed the carrying out of the building work; and
- (f) a construction manager engaged under a construction management contract to provide building work services for the building work; and
- (g) a principal who was the contracting party for a building contract for building work for a building, or part of a building, intended for sale if—
 - (i) the building, or part of a building, is not, and has never been, the principal place of residence of the principal; and
 - (ii) the principal engages a building contractor or a construction manager to carry out the building work in a way, or using materials, likely to result in the work being defective or incomplete; and
 - (iii) the principal knew, or ought to have known, that the way the work was to be carried out, or the materials to be used, was likely to result in the work being defective or incomplete; and

Example where principal knew that work or materials were likely to result in defective or incomplete building work—

A principal may know materials are likely to result in work being defective because of advice received from a building contractor or construction manager.

- (h) a person who was the nominee for a licensed contractor that is a company, for work carried out by the company while the person was the company's nominee.
- (5AA) A direction to rectify may be given to more than 1 person for the same building work.

- (5A) In subsection (2), a reference to a contract for carrying out building work includes a reference to a domestic building contract for managing the carrying out of building work.
 - (6) If in order to rectify building work it is necessary to do so, the direction may require that a building or part of a building be demolished and building work be recommenced.
 - (7) If a direction is given under this section to a person who is not currently licensed to carry out the required work, the person must have the work carried out by a licensed contractor.
 - (8) A direction cannot be given under this section more than 6 years and 3 months after the building work to which the direction relates was completed or left in an incomplete state unless the tribunal is satisfied, on application by the commission, that there is in the circumstances of a particular case sufficient reason for extending the time for giving a direction and extends the time accordingly.
 - (9) The fact that a direction is given under this section does not prevent disciplinary action in respect of the defective or incomplete building work.
- (10) A person who fails to rectify building work as required by a direction under this section is guilty of an offence.

Maximum penalty—250 penalty units.

- (11) For the purposes of subsection (5)(c) and (d)—
 - (a) a person carries out building work whether the person—
 - (i) carries it out personally; or
 - (ii) directly or indirectly causes it to be carried out; and
 - (b) a person is taken to carry out building work if the person provides advisory services, administration services, management services or supervisory services for the work.
- (12) In a prosecution for an offence against subsection (10), or in a proceeding for taking disciplinary action on the ground that a licensee has failed to comply with a direction to rectify

building work, it is a defence for a licensed contractor mentioned in subsection (5)(a) to prove that—

- (a) the licensed contractor's licence card was imprinted on the contract for carrying out the building work without the contractor's authority; and
- (b) the licensed contractor took all reasonable steps to ensure that the licence card was imprinted on contracts only with the licensed contractor's authority.
- (13) In a prosecution for an offence against subsection (10), or in a proceeding for taking disciplinary action on the ground that a licensee has failed to comply with a direction to rectify building work, it is a defence for a licensed contractor mentioned in subsection (5)(b) to prove that—
 - (a) the licensed contractor's name, licence number and address were stated on the contract for carrying out the building work without the licensed contractor's authority; and
 - (b) the licensed contractor took all reasonable steps to ensure that the licensed contractor's name, licence number and address were stated in contracts only with the licensed contractor's authority.
- (13A) In a prosecution for an offence against subsection (10), or in a proceeding for taking disciplinary action on the ground that a licensee has failed to comply with a direction to rectify building work—
 - (a) it is a defence for a licensed contractor mentioned in subsection (5)(ba) to prove that the licensed contractor's name was stated on the contract for carrying out the building work without the licensed contractor's authority; and
 - (b) it is a defence for a licensed contractor mentioned in subsection (5)(bb) to prove that the licensed contractor's name was stated on the insurance notification form for the building work without the licensed contractor's authority; and

- (c) it is a defence for a licensed contractor mentioned in subsection (5)(bc) to prove that the licensed contractor's licence number was stated on the contract for carrying out the building work without the licensed contractor's authority; and
- (d) it is a defence for a licensed contractor mentioned in subsection (5)(bd) to prove that the licensed contractor's licence number was stated on the insurance notification form for the building work without the licensed contractor's authority; and
- (e) it is a defence for a licensed contractor mentioned in subsection (5)(be) to prove that—
 - (i) the licensed contractor's PIN was used for putting in place, for the building work, insurance under the statutory insurance scheme without the licensed contractor's authority; and
 - (ii) the licensed contractor took all reasonable steps to ensure the licensed contractor's PIN was kept and used in accordance with the commission's requirements for the keeping and use of the PIN.
- (14) The commission is not required to give a direction under this section to a person who carried out building work for the rectification of the building work if the commission is satisfied that, in the circumstances, it would be unfair to the person to give the direction.

Example for subsection (14)—

The commission might decide not to give a direction for the rectification of building work because of the amount payable but unpaid under the contract for carrying out the building work.

- (15) A direction given under this section need not be complied with if—
 - (a) a proceeding for a review of the commission's decision is started in the tribunal; and
 - (b) the tribunal orders a stay of the decision.

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73 Panels of licensed contractors for rectification work

- (1) The commission may establish panels of licensed contractors who express interest in tendering for rectification work.
- (2) The panels will be established in relation to—
 - (a) the areas of the State in which the work is to be carried out; and
 - (b) the nature of the work involved.
- (3) The commission may advertise, from time to time, inviting expressions of interest from licensed contractors who wish to be included on the appropriate panel for the area or areas in which they carry on business.
- (4) A licensed contractor who by notice in writing to the commission expresses interest in being included on a panel for a particular area may, if entitled to carry out building work of the relevant class, be included on the panel.
- (5) The commission may remove the name of a contractor from a panel for any good reason.

74 Tenders for rectification work

- (1) If rectification work in respect of residential construction work is required under this Act and the person required to carry out the work does not carry it out, or have it carried out, within the time allowed by the direction, the commission must seek tenders for carrying out the work.
- (2) The commission must also seek tenders for carrying out building work if the commission—
 - (a) is of the opinion that the building work is defective or incomplete; but
 - (b) has decided not to give a direction under section 72 for the rectification of the building work.
- (3) The commission may accept any tender that it considers appropriate, irrespective whether the tender was for the lowest cost.

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- (4) Tenders for carrying out the building work must be sought from the number of licensed contractors considered by the commission to be reasonable in the circumstances.
- (5) A licensed contractor from whom a tender may be sought must be—
 - (a) a licensed contractor whose name is included on an appropriate panel; or
 - (b) a licensed contractor whose name is not included on an appropriate panel, if the commission is satisfied it would be in the best interests of the efficient rectification of the building work if the licensed contractor were to provide a tender.
- (6) The commission may authorise the person for whom the building work requiring rectification was, or was to be, carried out to act for the commission in seeking the necessary tenders.
- (7) The commission may only have work carried out under this section to the extent that the cost of the work is covered by a payment to be made under the statutory insurance scheme in relation to the defective or incomplete work.

Part 7 Jurisdiction of tribunal

Division 1 Meaning of central terms

75 *Tribunal work* defined

- (1) The following is *tribunal work*
 - (a) the erection or construction of a building;
 - (b) the renovation, alteration, extension, improvement or repair of a building;

(c)	the provision of electrical work, water supply, sewerage
	or drainage or other like services for a building;

- (d) the demolition, removal or relocation of a building;
- (e) any site work (including the construction of retaining structures, driveways, landscaping and the construction of a swimming pool) related to tribunal work of a kind mentioned in paragraphs (a) to (d);
- (f) the preparation of plans, specifications or bills of quantity for the carrying out of tribunal work;
- (fa) fire protection work;
- (g) the inspection of a completed building;
- (h) subject to subsection (3), work prescribed under a regulation.
- (2) To remove doubt, it is declared that reviewable domestic work is tribunal work.
- (3) A person carries out tribunal work whether the person carries it out personally, or directly or indirectly causes it to be carried out.
- (4) A person is taken to carry out tribunal work if the person provides advisory services, administration services, management services or supervisory services relating to the tribunal work.
- (5) A person undertakes to carry out tribunal work if the person enters into a contract to carry it out or submits a tender or makes an offer to carry it out.

76 What is not *tribunal work*

- (1) The following is not *tribunal work*
 - (a) the construction, extension, repair or replacement of a water reticulation system, sewerage system or storm water drain, outside the boundaries of private property;
 - (b) the building or maintenance of a road as defined under the *Land Act 1994*;

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- (c) the construction, maintenance or repair of a bridge, other than a bridge on private property;
- (d) the construction, maintenance or repair of railway tracks, signals or associated structures, unless the structures are buildings for residential purposes, or are storage or service facilities;
- (e) the construction, maintenance or repair of airport runways, taxiways and aprons;
- (f) the construction, maintenance or repair of ports or ports infrastructure, unless the structures are buildings for residential purposes, or are storage or service facilities;
- (g) the construction, maintenance or repair of a dam;
- (h) the construction, maintenance or repair of communications installations performed for a public company or public body engaged in radio or television broadcasting or in some other form of communications business or undertaking;
- (i) the installation of manufacturing equipment or equipment for hoisting, conveying or transporting materials or products (including primary produce), other than the installation of fixed structures providing shelter for the equipment;
- (j) construction work in mining;
- (k) work consisting of monumental masonry, sculpture, or the erection or construction of statues, fountains or other works of art, other than work affecting the way in which a building is constructed;
- (1) tribunal work carried out by the State for the State or for an entity representing the State;
- (m) tribunal work carried out by a local government for a local government, the State or an entity representing a local government or the State;
- (n) tribunal work carried out outside Queensland.

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- (2) For subsection (1)(l), tribunal work is not carried out by the State if the work is carried out for the State by an independent contractor.
- (3) For subsection (1)(m), tribunal work is not carried out by a local government if the work is carried out for the local government by an independent contractor.

Division 2 Building disputes

77 Tribunal may decide building dispute

- (1) A person involved in a building dispute may apply, as provided under the QCAT Act, to the tribunal to have the tribunal decide the dispute.
- (2) Without limiting the tribunal's powers to resolve the dispute, the tribunal may exercise 1 or more of the following powers—
 - (a) order the payment of an amount found to be owing by 1 party to another;
 - (b) order relief from payment of an amount claimed by 1 party from another;
 - (c) award damages, and interest on the damages at the rate, and calculated in the way, prescribed under a regulation;
 - (d) order restitution;
 - (e) declare any misleading, deceptive or otherwise unjust contractual term to be of no effect, or otherwise vary a contract to avoid injustice;
 - (f) avoid a policy of insurance under the statutory insurance scheme;
 - (g) order rectification or completion of defective or incomplete tribunal work;
 - (h) award costs.

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78 When major commercial building dispute may be heard by tribunal

A major commercial building dispute may be decided by the tribunal only if the tribunal is satisfied all parties to the dispute consent to it doing so.

79 Procedure to decide whether all parties consent

- (1) An application to start a proceeding for a major commercial building dispute must be accompanied by the written consent of all parties to the dispute.
- (2) The written consent must include an acknowledgement by the consenting party that the consent can not be withdrawn.
- (3) The tribunal may, before another step is taken in the proceeding, conduct a pre-hearing conference to decide whether there is another person who should be joined as a party to the dispute.
- (4) If the tribunal decides that there is another person who should be joined as a party to the dispute, the tribunal—
 - (a) must give the consenting parties an opportunity to obtain the written consent of the other person; and
 - (b) may hear the dispute only if the other person consents.
- (5) If the consenting parties obtain the consent of the other person, the tribunal must join the other person as a party to the dispute.
- (6) The consent of all parties to the dispute must be obtained before another step is taken in the proceeding.

80 Procedure if another party discovered

- (1) This section applies if the tribunal becomes aware that there is another person who should be joined as a party to a major commercial building dispute (other than at a pre-hearing conference under section 79).
- (2) The tribunal does not have jurisdiction to decide the dispute.

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(3) The tribunal must order that the proceeding be removed to a court under section 94(3).

81 Consent may not be withdrawn

A party that gives written consent to have a major commercial building dispute heard and decided by the tribunal can not withdraw the consent.

82 Tribunal may make interim order

- (1) Before finally deciding a building dispute, the tribunal may make 1 or more of the interim orders mentioned in subsections (2) to (4).
- (2) The tribunal may make an order requiring a building contractor to rectify or complete defined tribunal work, or tribunal work to be defined by a process identified in the order, that is the subject of the dispute.
- (3) The tribunal may make an order requiring a building owner, in relation to the amount claimed to be owing from the building owner to a building contractor, to do 1 or more of the following—
 - (a) pay an amount decided by the tribunal into the tribunal's trust account to be held until the tribunal is satisfied that the building contractor has completed the tribunal work;
 - (b) provide security of a type and for an amount decided by the tribunal until the tribunal is satisfied that the building contractor has completed the tribunal work;
 - (c) pay an amount decided by the tribunal to the building contractor.
- (4) The tribunal may make an order requiring a person, in relation to the amount claimed to be owing from the person to a subcontractor, to do 1 or more of the following—
 - (a) pay an amount decided by the tribunal into the tribunal's trust account to be held until the tribunal is satisfied that the subcontractor has completed the tribunal work;

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- (b) provide security of a type and for an amount decided by the tribunal until the tribunal is satisfied that the subcontractor has completed the tribunal work;
- (c) pay an amount decided by the tribunal to the subcontractor.
- (5) On being satisfied the tribunal work has been completed the tribunal must order—
 - (a) the amount paid into the tribunal's trust account under subsection (3)(a) or (4)(a) be paid to the building contractor or subcontractor; or
 - (b) the application of the security in satisfaction of the amount decided by the tribunal under subsection (3)(b) or (4)(b) in favour of the building contractor or subcontractor.
- (6) However for subsection (5)(b), the tribunal may order that the security be discharged if an amount equal to the amount of the security is paid to the building contractor or subcontractor.

83 Proceeding in tribunal stops unilateral action by commission

- (1) If a proceeding about a building dispute is started in or removed from a court to the tribunal—
 - (a) the tribunal is to have the management of the dispute; and
 - (b) the commission must not act in relation to the dispute except as allowed or required by section 84.
- (2) To remove doubt, it is declared that nothing in this section affects a direction that tribunal work be rectified or completed issued by the commission before the proceeding is started or removed.

84 Action by commission while proceeding in tribunal

(1) This section applies if the tribunal has the management of a building dispute under section 83.

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- (2) The commission may apply, as provided under the QCAT Act, to the tribunal for an order that tribunal work be rectified or completed if the commission considers the tribunal work needs to be urgently rectified or completed.
- (3) The application must be heard by the tribunal as an expedited hearing.
- (4) Whether or not the commission applies under subsection (2), the commission may also apply, as provided under the QCAT Act, to the tribunal for a decision about whether it would have been appropriate for the commission to have issued a direction to rectify or complete tribunal work if section 83 had not stopped the commission from acting further in relation to the dispute.
- (5) The tribunal must make a decision under subsection (4) if it hears the proceeding mentioned in subsection (1).
- (6) The commission may apply, as provided under the QCAT Act, to the tribunal for approval that the commission may act in relation to the dispute.
- (7) The tribunal may grant the approval with or without conditions.

85 Tribunal may hear dispute while contract still in operation

The tribunal may make an order to resolve a building dispute even though the contract under which the dispute arose has not been terminated or finalised.

Division 3 Proceedings for review

86 Reviewable decisions

- (1) The tribunal may review the following decisions of the commission—
 - (a) a decision to refuse an application for a licence or a permit;

- (aa) a decision to refuse an application for renewal of a licence;
- (b) a decision to impose or vary a condition of a licence;
- (c) a decision to suspend or cancel a licence or permit;
- (d) a decision that there are reasonable grounds for concern that a licensee does not satisfy the relevant financial requirements for a licence;
- (e) a decision to direct or not to direct rectification or completion of tribunal work;
- (f) a decision that tribunal work undertaken at the direction of the commission is or is not of a satisfactory standard;
- (g) a decision about the scope of works to be undertaken under the statutory insurance scheme to rectify or complete tribunal work;
- (h) a decision to disallow a claim under the statutory insurance scheme wholly or in part;
- a decision that a domestic building contract has been validly terminated having the consequence of allowing a claim for non-completion under the statutory insurance scheme;
- (j) a decision not to categorise an individual as a permitted individual for a relevant event;
- (k) a decision under section 56AF or 56AG that—
 - (i) a person is an excluded individual or excluded company; or
 - (ii) an individual is still a director or secretary of, or an influential person for, a company;
- (l) a decision under section 66 or 67 that a person is a convicted company officer;
- (m) a decision under section 67AA that a company that is a licensee has an individual who is a convicted company officer as a director or secretary of, or an influential person or nominee for, the company;

- (n) a decision under section 67AH, 67AI, 67AL or 67AM that an individual is a banned individual for a stated term;
- a decision under section 67AN that a company that is a licensee has an individual who is a banned individual as a director or secretary of, or an influential person or nominee for, the company;
- (p) a decision under section 67AZF, 67AZG, 67AZJ or 67AZK that an individual is a disqualified individual for a stated term;
- (q) a decision under section 67AZL that a company that is a licensee has an individual who is a disqualified individual as a director or secretary of, or an influential person or nominee for, the company.
- (2) The tribunal must not review the following decisions of the commission—
 - (a) a decision to recover an amount under section 71;
 - (b) a decision to direct rectification or completion of tribunal work by a building contractor and any finding by the commission in arriving at the decision if—
 - (i) 28 days have elapsed from the date the direction to rectify or complete was served on the building contractor and the contractor has not, within that time, applied to the tribunal for a review of the decision; and
 - (ii) the commission has—
 - (A) started a disciplinary proceeding against the building contractor by an application under division 4; or
 - (B) served a notice on the building contractor advising a claim under the statutory insurance scheme has been approved in relation to tribunal work stated in the direction; or
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- (C) started a prosecution, or served an infringement notice, for an offence against section 72(10);
- (c) a decision about the scope of works to be undertaken under the statutory insurance scheme to rectify or complete tribunal work if 28 days have elapsed since the decision was served on the building contractor and the contractor has not, within that time, applied to the tribunal for a review of the decision.
- (3) For subsection (1)(n), if the tribunal, after reviewing the matters mentioned in section 67AH(1)(a) and (b), 67AI(1)(a) and (b), 67AL(1)(a) and (b) or 67AM(1)(a) and (b), is satisfied that an individual is a banned individual, it may not vary the term of ban applying to the individual if it has been correctly calculated under section 67AO.
- (4) For subsection (1)(p), if the tribunal, after reviewing the matters mentioned in section 67AZF(1), 67AZG(1), 67AZJ(1)(a) and (b) or 67AZK(1)(a) and (b), is satisfied that an individual is a disqualified individual, it may not vary the term of disqualification applying to the individual if it has been correctly calculated under section 67AZM.

87 Application for review

A person affected by a reviewable decision of the commission may apply, as provided under the QCAT Act, to the tribunal for a review of the decision.

Division 4 Disciplinary proceedings

88 Tribunal has jurisdiction to conduct disciplinary proceeding

The commission may apply, as provided under the QCAT Act, to the tribunal to conduct a proceeding to decide whether proper grounds exist for taking disciplinary action against a person under this division.

89 Proper grounds for disciplinary action against a licensee

For section 88, proper grounds exist for taking disciplinary action against a licensee if—

- (a) the licensee contravenes a requirement imposed under this Act or the *Domestic Building Contracts Act 2000*; or
- (b) the licensee is convicted of an indictable offence; or
- (c) if a licensee is a corporation—a director or other person who is in a position to control or substantially influence the conduct of the corporation's affairs is not a fit and proper person to exercise that control or influence; or
- (d) the licensee is carrying on business under the licence in partnership with a person who is not a fit and proper person to have an interest in the business; or
- (e) the licensee is bankrupt or insolvent; or
- (f) the licensee has committed an offence involving fraud or dishonesty relating to the business carried on under the licence; or
- (g) the licensee knowingly helps a person to perform tribunal work in contravention of this Act or the *Domestic Building Contracts Act 2000*; or
- (h) the licensee contravenes or is taken to have contravened the *Fair Trading Act 1989* in relation to tribunal work carried out under the licence; or

Note—

A contravention of the *Fair Trading Act 1989* includes a contravention of the Australian Consumer Law (Queensland) which forms part of that Act.

- (i) the licensee is negligent or incompetent in carrying out tribunal work under the licence; or
- (j) the licensee fails to comply with a direction of the commission to rectify or complete tribunal work; or
- (k) the licensee contravenes a condition of the licence; or

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- (1) the licensee owes an amount to the commission and fails to comply with a demand by the commission to pay the amount; or
- (m) the licensee fails to comply with an order of the tribunal.

90 Proper grounds for disciplinary action against person not a licensee

- (1) For section 88, proper grounds exist for taking disciplinary action against a person who is not a licensee if the person—
 - (a) carries out, or undertakes to carry out, tribunal work for which a licence is required without holding a licence of the appropriate class; or
 - (b) has committed an offence involving fraud or dishonesty relating to the performance of tribunal work; or
 - (c) contravenes or is taken to have contravened the *Fair Trading Act 1989* in relation to the performance of tribunal work; or

Note—

A contravention of the *Fair Trading Act 1989* includes a contravention of the Australian Consumer Law (Queensland) which forms part of that Act.

- (d) is negligent or incompetent in carrying out tribunal work for which a licence is required; or
- (e) fails to comply with a direction of the commission to rectify or complete tribunal work.
- (2) In this section—

undertakes to carry out tribunal work means enters into a contract to carry out tribunal work or submits a tender or makes an offer to carry out tribunal work, unless the contract, submission or offer is conditional on the person obtaining a licence of the appropriate class.

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91 Orders for disciplinary action

- (1) If the tribunal decides that appropriate grounds exist for taking disciplinary action against a person, the tribunal may make 1 or more of the orders mentioned in subsections (3) to (5).
- (2) Also, the tribunal may, in relation to defective or incomplete tribunal work carried out by a person for a building owner—
 - (a) make an order that the person rectify or complete the work; or
 - (b) if the person is not appropriately licensed to rectify or complete the work—make an order that the person have the work rectified or completed by another person who is appropriately licensed; or
 - (c) make an order that the person pay the building owner an amount sufficient to rectify or complete the work.
- (3) The tribunal may make an order imposing a penalty on the person of not more than—
 - (a) for an individual—an amount equivalent to 200 penalty units; or
 - (b) for a corporation—an amount equivalent to 1000 penalty units.
- (4) The tribunal may make an order directing the person to pay compensation to someone else who has suffered loss or damage because of the act or omission that resulted in the disciplinary action.
- (5) If the person is a licensee, the tribunal may make an order—
 - (a) reprimanding the licensee; or
 - (b) suspending the licence; or
 - (c) imposing conditions on the licence; or
 - (d) cancelling the licence.
- (6) An order of the tribunal under subsections (3) to (5) must be published.

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(7) The commission may recover an amount ordered by the tribunal to be imposed as a penalty as a debt due to it in a court with jurisdiction up to the amount of the debt.

Division 5 Public examinations

92 Tribunal may conduct public examination

The tribunal may, on application by the commission, conduct a public examination—

- (a) that investigates the conduct or competence of a person who has carried out tribunal work or undertaken to carry out tribunal work; or
- (b) that investigates whether a person—
 - (i) meets the financial requirements imposed for the licence held by the person; or
 - (ii) has the qualifications and experience required for the licence held by the person; or
 - (iii) if the person is the nominee for a corporation that holds a licence—has the qualifications and experience required for the licence held by the corporation; or
 - (iv) is a fit and proper person to hold a licence; or
 - (v) if the person exercises control over a corporation that holds a licence—is a fit and proper person to exercise control over the corporation; or
 - (vi) has breached a condition imposed on the person's licence.

92A Procedure before public examination starts

(1) Before the start of a public examination, the tribunal must be satisfied each person to be examined has received written grounds for the public examination.

- (2) On being satisfied under subsection (1), the tribunal must—
 - (a) decide a time and place for the public examination; and
 - (b) issue an attendance notice to each person to be examined.
- (3) If a person to be examined is a corporation, the tribunal must issue the attendance notice requiring the executive officer of the corporation to attend the tribunal for examination.
- (4) The attendance notice must state—
 - (a) the time and place for the public examination decided by the tribunal; and
 - (b) that the person may make oral and written submissions at the examination.
- (5) The commission must serve the attendance notice on the person to whom it was issued.

Division 6 Decisions about debts arising from statutory insurance scheme

93 Decisions about debts arising from statutory insurance scheme

- (1) The commission may recover a debt under section 71 by application to the tribunal under this section.
- (2) The tribunal may exercise 1 or more of the following powers—
 - (a) order the payment of an amount the tribunal has found to be owing to the commission;
 - (b) order the payment of interest on the amount mentioned in paragraph (a);
 - (c) order the payment of costs;
 - (d) order that amounts mentioned in paragraphs (a), (b) and(c) be paid by instalments or another way directed by the tribunal.

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Division 7 Tribunal proceedings

93A Representation of parties

- (1) This section applies to a party in a proceeding before the tribunal relating to a matter under this Act.
- (2) The party may be represented by a lawyer if—
 - (a) the proceeding relates to an application under section 93; or
 - (b) the proceeding is a public examination.

94 Transfer of proceedings between tribunal and the courts

If proceedings relating to a major commercial building dispute are brought in a court, the court may order that the proceeding be transferred to the tribunal only if all parties to the dispute apply for the order.

95 Expedited hearing of domestic building disputes

(1) The tribunal must decide a minor domestic building dispute at an expedited hearing if the dispute has been to mediation but has not been settled, unless the tribunal considers the dispute is too complex to be properly dealt with at an expedited hearing.

Example of too complex to be properly dealt with—

The dispute involves complex legal and contractual issues for which the tribunal is unaware of any authoritative precedent.

- (2) Subject to subsection (3), the tribunal may decide a domestic building dispute that is not a minor domestic building dispute at an expedited hearing only if—
 - (a) all parties to the proceeding apply, as provided under the QCAT Act, to the tribunal for the matter to be dealt with at an expedited hearing; and
 - (b) the tribunal considers it appropriate for the dispute to be decided at an expedited hearing.

- (3) The tribunal may decide a domestic building dispute between a building owner and a building contractor at an expedited hearing if—
 - (a) the building contractor applied to the tribunal to have the tribunal decide the dispute; and
 - (b) prior to the proceedings the building owner applied under section 71A for the commission to consider whether to direct the following rectification of building work—
 - (i) to complete incomplete domestic building work;
 - (ii) to remedy defective domestic building work relating to a building that—
 - (A) adversely affects the structural performance of the building; or
 - (B) adversely affects the health or safety of a person occupying the building; or
 - (C) adversely affects the functional use of the building; or
 - (D) allows water penetration into the building; and
 - (c) the tribunal considers the building owner may suffer undue hardship if the matter is not dealt with by expedited hearing; and
 - (d) having regard to the complexity of the dispute, the tribunal considers that it may properly be dealt with at an expedited hearing.
- (4) The tribunal may decide a review of a decision of the commission at an expedited hearing if—
 - (a) a building contractor applied to the tribunal for the review; and
 - (b) the review is of a decision of the commission—

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- (i) to direct or not to direct rectification or completion of tribunal work in relation to domestic building work; or
- (ii) that a domestic building contract has been validly terminated having the consequence of allowing a claim for noncompletion under the statutory insurance scheme; and
- (c) prior to the review being commenced, the relevant building owner for the decision applied under section 71A for the commission to consider whether to direct the following rectification of building work—
 - (i) to complete incomplete domestic building work;
 - (ii) to remedy defective domestic building work relating to a building that—
 - (A) adversely affects the structural performance of the building; or
 - (B) adversely affects the health or safety of a person occupying the building; or
 - (C) adversely affects the functional use of the building; or
 - (D) allows water penetration into the building; and
- (d) the tribunal considers the relevant building owner for the decision may suffer undue hardship if the matter is not dealt with by expedited hearing; and
- (e) having regard to the complexity of the review the tribunal considers that it may properly be dealt with at an expedited hearing.

96 Certain minor commercial building disputes may be expedited

(1) The tribunal may conduct an expedited hearing for a minor commercial building dispute between a subcontractor and another person if—

- (a) neither the claim nor any counterclaim exceeds \$10000; and
- (b) the dispute relates only to a claim for moneys owing by a person to the subcontractor for tribunal work completed by the subcontractor under a written contract; and
- (c) the subcontractor files an application for an expedited hearing, a copy of the contract and an affidavit by the subcontractor stating that—
 - (i) the tribunal work under the contract has been completed by the subcontractor to the standard required under the contract; and
 - (ii) a claim for payment payable under the contract has been given to the other person by the subcontractor; and
 - (iii) the amount claimed by the subcontractor has not been paid by the other person; and
 - (iv) no complaint about the tribunal work under the contract has been made to the subcontractor by the other person.
- (2) A hearing under this section may proceed only if the subcontractor has given the other person a copy of the application and all documents filed by the subcontractor at least 5 days before the hearing.

Division 8 Other powers of the tribunal

97 Entry and inspection of property by member of tribunal

- (1) A member of the tribunal may, if it is necessary to do so for the purposes of a proceeding before the tribunal—
 - (a) enter and inspect a building or land relevant to the proceeding accompanied by the parties to the proceeding; or

- (b) authorise a person in writing to take the following action and report to the tribunal on the action taken—
 - (i) enter and inspect a building or land relevant to the proceeding;
 - (ii) take photographs, video film or an image of the building or land or anything relevant to the proceeding;
 - (iii) carry out tests approved by the tribunal.

Examples of building or land relevant to the proceeding—

- a display home mentioned in a contract as the model to be followed by a building contractor if the display home is occupied by a third party
- land adjoining other land on which is situated building work the subject of a proceeding to better inspect the building work from the adjoining land
- (2) A person must not obstruct a member of the tribunal, or a person authorised under subsection (1)(b), in the exercise of a power mentioned in subsection (1).

Maximum penalty—200 penalty units.

97A Procedure before entry

- (1) This section applies to entry under section 97.
- (2) Before entering a building or land the member or a person authorised to enter must do or make a reasonable attempt to do the following—
 - (a) give an occupier or, if there is no occupier, the owner, reasonable notice of the entry;
 - (b) on arriving at the building or land, identify himself or herself to a person present who is an occupier of the building or land by producing—
 - (i) for the member—a copy of a document that evidences the member's appointment; or

- (ii) for a person authorised to enter—a copy of the authorisation and evidence that the person is the person authorised;
- (c) give the person present a copy of the things produced under subsection (2)(b);
- (d) tell the person present the member or authorised person is permitted to enter the building or land.

97B Stop orders

- (1) This section applies if the tribunal is satisfied, on application by the commission, that something is being done, or is about to be done, in contravention of this Act.
- (2) The tribunal may, by order, prohibit the person who is doing, or about to do, the thing (the *prohibited person*) from starting or continuing the thing.
- (3) The tribunal may make an order under this section on application by the commission made without notice to the prohibited person but, in that case, the tribunal must allow the prohibited person a reasonable opportunity to show cause why the order should not be confirmed.
- (4) If the tribunal, after considering the prohibited person's evidence and submissions, if any, and any further evidence or submissions of the commission, is not satisfied the order should continue in force, the tribunal must rescind the order.
- (5) A person must not contravene an order under this section.

Maximum penalty—500 penalty units.

97C Suspension orders

- (1) If the tribunal is satisfied, on application by the commission, that a licence should be suspended, the tribunal may, by order, suspend the licence—
 - (a) for the period the tribunal considers reasonable; or

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- (b) until the holder of the licence (the *suspended person*) complies with a condition imposed on the licence by the tribunal.
- (2) The order may direct the suspended person to deliver the licence to the commission and include any other directions the tribunal considers necessary or convenient to give effect to the order.
- (3) The tribunal may make an order under this section on application made without notice to the suspended person but, in that case, the tribunal must allow the suspended person a reasonable opportunity to show cause why the order should be rescinded.
- (4) If the tribunal after considering the suspended person's evidence and submissions, if any, and any further evidence or submissions of the commission, is not satisfied the order should continue in force, the tribunal must rescind the order.
- (5) The holder of a licence who contravenes a direction under subsection (2) commits an offence.

Maximum penalty—80 penalty units.

Part 8 Registers

98 Definitions for pt 8

In this part—

developer register see section 103B.

licensee register see section 99.

owner-builder register see section 103.

99 Licensee register

(1) A register of licensees (the *licensee register*) must be kept by the commission.

- (2) The register must include the following particulars in relation to each licensee—
 - (a) the licensee's full name, business address and licence number;
 - (b) if the licensee is a company—the full name and business address of the nominee and each director;
 - (c) the class of licence held by the licensee and any conditions to which the licence is, or has been, subject;
 - (d) any particulars required by regulation.
- (3) The register must also contain against the name of each licensee a note of—
 - (a) each direction of the commission requiring the licensee to rectify building work; and
 - (b) each order made against the licensee by the tribunal under section 91; and
 - (c) any decision of the tribunal under section 84 that it would have been appropriate for the commission to issue a direction requiring the licensee to rectify or complete building work; and
 - (d) each time the licensee is convicted of an offence against this Act or the *Domestic Building Contracts Act 2000* and the provision of the Act that was contravened; and
 - (e) each offence for which the licensee has for this Act or the *Domestic Building Contracts Act 2000* been served with an infringement notice and—
 - (i) the licensee has paid the fine in full or in part; or
 - (ii) a default certificate has been registered for the infringement notice; and
 - (f) any demerit points allocated to the licensee, the demerit matters for which they were allocated and the dates the points took effect; and

- (g) any cancellation or suspension of the licensee's licence including the circumstances that led to the cancellation or suspension; and
- (h) if the licensee has been a permanently excluded individual, an excluded individual, a banned individual or a disqualified individual—the details of the circumstances that led to the exclusion, ban or disqualification; and
- if the licensee is a company that has a director, secretary, (i) influential person or nominee that became а permanently excluded individual. an excluded individual, a banned individual or a disqualified individual in the last 10 years-the details of the circumstances that led to the exclusion, ban or disqualification.
- (4) A reference in subsection (3) to something happening in relation to a person who is a licensee includes something happening—
 - (a) in relation to the person before the person became a licensee; and
 - (b) before the commencement of this section.
- (5) In this section—

licensee includes a person who was previously a licensee.

100 When information may be included in the licensee register

- (1) No information may be included in the register under section 99(3)(a) until—
 - (a) all periods for seeking a review of the direction, and for making any appeal arising out of review of the direction, have ended; and
 - (b) any review or appeal about the direction is finally decided or is not proceeded with.

- (2) No information may be included in the register under section 99(3)(b) or (c) until—
 - (a) all periods for making an appeal arising out of the tribunal's order or decision have ended; and
 - (b) any appeal about the order or decision is finally decided or is not proceeded with.
- (3) No information may be included in the register under section 99(3)(d) until—
 - (a) all periods for making an appeal arising out of the conviction have ended; and
 - (b) any appeal about the conviction is finally decided or is not proceeded with.
- (4) No information may be included in the register under section 99(3)(e)(ii) if a licensee has applied for cancellation of the enforcement order and the application for cancellation has not been finally decided.

101 Licensees must advise change of circumstances

- (1) If circumstances change so that the particulars recorded in the licensee register in relation to a particular licensee no longer reflect the current position, the licensee must, within 14 days after the date of the change, give the commission particulars of the change.
- (2) Particulars may be given under subsection (1)—
 - (a) by written notice; or
 - (b) in another way approved by the commission, and advised generally to licensees, as a suitable way for advising particulars to the commission.

102 When notes must be removed from the licensee register

(1) A note made in the register under section 99(3) must be taken off—

- (a) for information mentioned in section 99(3)(g) and (h), 10 years after it is made; or
- (b) for information mentioned in section 99(3)(f), 3 years after it is made; or
- (c) for information mentioned in section 99(3)(i), the earlier of—
 - (i) when the director, secretary, influential person or nominee is no longer a director, secretary, influential person or nominee for the company; or
 - (ii) 10 years from the time the director, secretary, influential person or nominee became a permanently excluded individual, excluded individual, banned individual or a disqualified individual; or
- (d) otherwise, 5 years after it is made.
- (2) However, details of demerit points and the demerit matters for which points were allocated must be removed from the register—
 - (a) if the points stop having effect; or
 - (b) if the points relate to an unsatisfied judgment debt, when the commission is satisfied the judgment debt has been paid.
- (3) Also, for section 99(3)(e), details of an offence must be removed from the register if—
 - (a) the infringement notice for the offence is withdrawn by the commission; or
 - (b) the enforcement order stops having effect, other than because the amount owing under the enforcement order has been paid or the order has been otherwise discharged.
- (4) Also, a note that states that an individual is prevented, for the life of the individual, from obtaining a licence under this Act must not be removed from the register.

103 Register of holders of owner-builder permits

- (1) A register of persons holding owner-builder permits (the *owner-builder register*) must be kept by the commission.
- (2) The register must include the following particulars in relation to each permittee—
 - (a) the permittee's full name, or if the permittee is a company the full name and business address of each of the directors of the company;
 - (b) the location of the land on which the owner-builder work is to be carried out;
 - (c) the real property description of the land;
 - (d) a description of the owner-builder work to be carried out on the land;
 - (e) the date of issue of the permit;
 - (f) the term of the permit;
 - (g) the permit number;
 - (h) the conditions of the permit;
 - (i) any particulars required by regulation.
- (3) If circumstances change so that the particulars recorded in the register in relation to a particular permittee no longer reflect the current position, the permittee must, within 14 days after the date of the change, give the commission particulars of the change.
- (4) Particulars under subsection (3) may be given—
 - (a) by written notice; or
 - (b) in another way approved by the commission.

103A When particulars must be removed from owner-builder register

Particulars relating to a permittee must be removed from the owner-builder register when the term of the permittee's permit ends.

103B Developer register

- (1) A register (the *developer register*) containing details about each principal (the *relevant developer*) mentioned in section 72(5)(g) given a direction under section 72(1) must be kept by the commission.
- (2) The register must contain against the name of a relevant developer a note of—
 - (a) each direction of the commission requiring the relevant developer to rectify building work; and
 - (b) each time the relevant developer is convicted of an offence against section 72(10).
- (3) However, no information may be included in the register under subsection (2)(a) until—
 - (a) all periods for seeking a review of the direction, and for making any appeal arising out of a review of the direction, have ended; and
 - (b) any review or appeal about the direction is finally decided or is not proceeded with.
- (4) Also, no information may be included in the register under subsection (2)(b) until—
 - (a) all periods for making an appeal arising out of the conviction have ended; and
 - (b) any appeal about the conviction is finally decided or is not proceeded with.
- (5) Subsection (6) applies if—
 - (a) the register contains the name of a relevant developer that is a company because the company has been convicted of an offence against section 72(10); and
 - (b) an executive officer of the company is convicted of an offence under section 111B in relation to the offence under section 72(10); and
 - (c) all periods for making an appeal arising out of the conviction of the executive officer have ended; and

- (d) any appeal about the conviction by the executive officer is finally decided or is not proceeded with.
- (6) A note of the conviction of the executive officer must be included in the register.

103C When notes must be removed from developer register

A note made in the developer register must be taken off the register 5 years after it is made.

103D Inspection of registers

A person may, on payment of the fee, and subject to the conditions, prescribed by regulation, inspect a register at the commission's offices at any time the offices are open to the public.

103E Publication of registers

The commission may publish a register on the commission's internet site.

Editor's note—

The commission's internet site is <www.bsa.qld.gov.au>.

103F Certificates

- (1) The commission may, on application by any person and payment of the fee fixed by regulation, issue a certificate certifying any matter contained in a register kept under this Act.
- (2) A certificate under this section is admissible in legal proceedings as evidence of any matter stated in the certificate.

Part 9 Inspectors

104 Appointment of inspectors

- (1) The commission may appoint relevant officers of the commission as inspectors.
- (2) The commission must issue an identity card, containing a photograph of the inspector, to each inspector.
- (3) A person who ceases to be an inspector must, as soon as practicable, return the identity card to the commission.

Maximum penalty for contravention of this subsection—10 penalty units.

105 Inspector to produce identity card and provide information

- (1) An inspector must not exercise a power under this Act in relation to a person unless the inspector—
 - (a) first produces the inspector's identity card for inspection by the person or has the identity card displayed so it is clearly visible to the person when exercising the power; and
 - (b) tells the person that the inspector intends to exercise the power; and
 - (c) generally advises the person of the nature of the power to be exercised; and
 - (d) requests the person's cooperation in relation to the exercise of the power.
- (2) However, if it is not practicable to comply with subsection (1)(a), the authorised officer must produce the identity card for the person's inspection at the first reasonable opportunity.
- (3) Failure to comply with subsection (1) does not affect the validity of the exercise of the power.

106 Inspector's power to require name and address

- (1) An inspector who suspects on reasonable grounds that a person has committed an offence against this Act may require the person to state the person's name and address and, if the inspector has reasonable grounds to believe that the name or address given is false, may require evidence of its correctness.
- (2) A person who is required under subsection (1) to state the person's name and address must not, without reasonable excuse—
 - (a) fail to comply with the requirement; or
 - (b) state a false name or address.

Maximum penalty—50 penalty units.

(3) A person who is required under subsection (1) to give evidence of the correctness of a name or address must not, without reasonable excuse, fail to give the evidence or give false evidence.

Maximum penalty—50 penalty units.

- (4) If—
 - (a) an inspector makes a requirement under subsection (1) on suspicion that a person has committed an offence against this Act; and
 - (b) the person is not proved to have committed an offence against this Act;

the person cannot be convicted of an offence against subsection (1) because of a failure to comply with the requirement.

106A Power to require production of documents

(1) An inspector may require a person who has obligations under this Act or the *Domestic Building Contracts Act 2000* to make available, or produce, for inspection by the inspector at a reasonable time and place nominated by the inspector, a document to which the person has access that relates to the

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person's obligations under this Act or the *Domestic Building Contracts Act 2000*.

- (2) The inspector may keep the document to copy it.
- (3) If the inspector copies the document, or an entry in the document, the inspector may require the person responsible for keeping the document to certify the copy as a true copy of the document or entry.
- (4) The inspector must return the document to the person as soon as practicable after copying it.
- (5) However, if a requirement (a *document certification requirement*) is made of a person under subsection (3), the inspector may keep the document until the person complies with the requirement.
- (6) A requirement under subsection (1) is a *document production requirement*.

106B Failure to produce document

(1) A person of whom a document production requirement is made must comply with the requirement, unless the person has a reasonable excuse.

Maximum penalty—200 penalty units.

(2) It is not a reasonable excuse to fail to produce the document that producing the document might tend to incriminate the person.

106C Failure to certify copy of document

(1) A person of whom a document certification requirement is made must comply with the requirement, unless the person has a reasonable excuse.

Maximum penalty—100 penalty units.

(2) It is not a reasonable excuse to fail to certify the document or an entry in the document that certifying the document or entry might tend to incriminate the person.

107 Power to enter and inspect building site

- (1) An inspector may enter and inspect a building site—
 - (a) for the purpose of ascertaining whether this Act is being complied with; or
 - (b) for the purpose of determining whether the building work has been, or is being, properly carried out.
- (2) The entry and inspection must be—
 - (a) made with the consent of the person in control of the building site; or
 - (b) during times building work is being carried out on the site; or
 - (c) authorised by warrant of the tribunal.
- (2A) For the purpose of asking the person in control of the site for consent to enter, an inspector may, without the person's consent or a warrant enter the site to the extent that is reasonable to contact the person.
- (2B) Neither subsection (2)(b) or (2A) authorises entry to a place where a person resides.
 - (3) An inspector may apply, as provided under the QCAT Act, to the tribunal for a warrant under this section in relation to a particular building site.
 - (4) Subject to subsection (5), the tribunal may issue the warrant if the tribunal is satisfied, by information on oath, that there is a proper reason for entering and inspecting the building site.
 - (5) If the tribunal requires further information concerning the grounds on which the warrant is sought, the tribunal must not issue the warrant unless the inspector or some other person has given the information to the tribunal in the form (either orally or by affidavit) that the tribunal requires.
 - (6) The warrant must—
 - (a) authorise the inspector, with such assistance and by such force as is necessary and reasonable, to enter and inspect the place; and

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- (b) state whether the entry may be made at any time of the day or night or during specified hours of the day or night; and
- (c) specify the day (not more than 6 months after the issue of the warrant) on which the warrant ceases to have effect; and
- (d) state the purposes for which the warrant is issued.

107A Obstructing inspectors

(1) A person must not obstruct an inspector who is exercising a power under this Act, unless the person has a reasonable excuse.

Maximum penalty—100 penalty units.

- (2) If a person has obstructed an inspector and the inspector decides to proceed with the exercise of the power, the inspector must warn the person that—
 - (a) it is an offence to obstruct the inspector, unless the person has a reasonable excuse; and
 - (b) the inspector considers the person's conduct an obstruction.
- (3) Subsection (2) does not apply if the obstruction is an assault.
- (4) In this section—

obstruct includes assault, hinder or resist or attempt or threaten to obstruct.

108 Obligation of assessment manager

(1) An assessment manager must allow a relevant officer of the commission, at any reasonable time, to examine and make copies of, or take extracts from, books, documents, papers and records of the local government relating to building work or proposed building work.

[s 108A]

(2) In this section—

assessment manager includes a private certifier acting as an assessment manager under the Sustainable Planning Act 2009.

Part 10 Miscellaneous

108A Documents that must be kept for 7 years

- (1) Subsection (2) applies to the following documents—
 - (a) a building contract entered into by a building contractor and the plans, specifications and variations relating to the contract;
 - (b) a building contractor's financial records relevant to the financial requirements stated in the board's policies.
- (2) The contractor must keep each document for 7 years from the date it is put into writing.

Maximum penalty—100 penalty units.

108B False or misleading statement

(1) A person must not state anything to the commission the person knows is false or misleading in a material particular.

Maximum penalty—100 penalty units.

- (2) In a proceeding for an offence against this section, it is enough for a charge to state that the statement was, without specifying which, 'false or misleading'.
- (3) This section does not apply to information to which section 53B applies.

[s 108C]

108C False or misleading document

(1) A person must not give the commission a document containing information the person knows is false or misleading in a material particular.

Maximum penalty—100 penalty units.

- (2) Subsection (1) does not apply to a person if the person, when giving the document—
 - (a) tells the commission, to the best of the person's ability, how it is false or misleading; and
 - (b) if the person has, or can reasonably obtain, the correct information, gives the correct information.
- (3) A person must not make an entry in a document required or permitted to be made or kept under this Act knowing the entry to be false or misleading in a material particular.

Maximum penalty—100 penalty units.

- (4) In a proceeding for an offence against subsection (1) or (3), it is enough for a charge to state that the information or entry was, without specifying which, 'false or misleading'.
- (5) This section does not apply to a document to which section 53B applies.

108D Contracting out prohibited

A person can not contract out of the provisions of this Act.

109 Access to building sites

- (1) A building contractor must, at the request of a consumer, allow the consumer reasonable access to a building site at which building work is being carried out for the consumer.
- (2) A contractual provision that is inconsistent with subsection (1) is void.

[s 109A]

109A Service of documents

- (1) A document may be served under this Act on a licensee by leaving it at, or sending it by post, telex, facsimile or similar facility to, the address of the licensee in the register of licensees kept by the commission.
- (2) Subsection (1) does not limit the *Acts Interpretation Act 1954*, section 39.

111 Prosecutions for offences

- (1) A prosecution for an offence against this Act may be started within 2 years after the alleged date of commission of the offence or within 1 year after the offence comes to the knowledge of the commission, whichever is the later.
- (2) A prosecution may only be started by a person authorised by the commission (either generally or in the particular case) to bring the prosecution or the Attorney-General.
- (3) The authorisation required by subsection (2) is to be presumed in the absence of evidence to the contrary.

111A Responsibility for acts or omissions of representatives

- (1) This section applies for—
 - (a) a proceeding for an offence against this Act; and
 - (b) an inquiry conducted by the tribunal under part 7, division 4 to decide whether proper grounds exist for taking disciplinary action under the division.
- (2) If it is relevant to prove a person's state of mind about a particular act or omission, it is enough to show—
 - (a) the act was done or omitted to be done by a representative of the person within the scope of the representative's actual or apparent authority; and
 - (b) the representative had the state of mind.
- (3) An act done or omitted to be done for a person by a representative of the person within the scope of the

[s 111B]

representative's actual or apparent authority is taken to have been done or omitted to be done also by the person, unless the person proves the person could not, by the exercise of reasonable diligence, have prevented the act or omission.

(4) In this section—

representative means-

- (a) of a company—an executive officer, employee or agent of the company; or
- (b) of an individual—an employee or agent of the individual.

state of mind of a person includes—

- (a) the person's knowledge, intention, opinion, belief or purpose; and
- (b) the person's reasons for the intention, opinion, belief or purpose.

111B Liability of executive officer—particular offences committed by company

- (1) An executive officer of a company commits an offence if—
 - (a) the company commits an offence against an executive liability provision; and
 - (b) the officer did not take all reasonable steps to ensure the company did not engage in the conduct constituting the offence.

Maximum penalty—the penalty for a contravention of the executive liability provision by an individual.

- (2) In deciding whether things done or omitted to be done by the executive officer constitute reasonable steps for subsection (1)(b), a court must have regard to—
 - (a) whether the officer knew, or ought reasonably to have known, of the company's conduct constituting the offence against the executive liability provision; and

- (b) whether the officer was in a position to influence the company's conduct in relation to the offence against the executive liability provision; and
- (c) any other relevant matter.
- (3) The executive officer may be proceeded against for, and convicted of, an offence against subsection (1) whether or not the company has been proceeded against for, or convicted of, the offence against the executive liability provision.
- (4) This section does not affect—
 - (a) the liability of the company for the offence against the executive liability provision; or
 - (b) the liability, under the Criminal Code, chapter 2, of any person, whether or not the person is an executive officer of the company, for the company's offence against the executive liability provision.
- (5) In this section—

executive liability provision means either of the following provisions—

- section 42(9)
- section 42D.

111C Liability of directors for amounts

- (1) This section applies if—
 - (a) a company is convicted of an offence against a provision of this Act; and
 - (b) a penalty for the offence is imposed on the company; and
 - (c) the amount of the penalty is not paid within the time required for its payment.
- (2) This section also applies if—

- (a) under section 91, the tribunal finds that proper grounds exist for taking disciplinary action against a company; and
- (b) an order is made by the tribunal imposing a penalty on the company; and
- (c) the amount of the penalty is not paid within the time required for its payment.
- (3) This section also applies if a company owes the commission an amount because of a payment made by the commission on a claim under the insurance scheme.
- (4) If this section applies because of subsection (1), the liability to pay the penalty attaches to—
 - (a) each individual who was a director of the company when the offence was committed; and
 - (b) each individual who is a director of the company when the penalty is imposed.
- (5) If this section applies because of subsection (2), the liability to pay the penalty attaches to—
 - (a) each individual who was a director of the company when the act or omission happened giving rise to the finding of the tribunal; and
 - (b) each individual who is a director of the company when the penalty is imposed.
- (6) If this section applies because of subsection (3), the liability to pay the amount attaches to—
 - (a) each individual who was a director of the company when building work the subject of the claim was, or was to have been, carried out; and
 - (b) each individual who was a director of the company when the payment was made by the commission.
- (7) A liability under subsection (4), (5) or (6) to pay a penalty or an amount applies regardless of the status of the company, including, for example, that the company is being or has been wound up.

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(8) If a liability under subsection (4), (5) or (6) attaches to 2 or more persons, the persons are jointly and severally liable.

112 Appropriation of penalty

Any monetary penalty recovered for an offence against this Act must be paid to the commission.

113 Double jeopardy

- (1) The fact that disciplinary action has been taken against a person under this Act does not affect the liability of that person to be prosecuted, convicted and punished for an offence arising from the same circumstances.
- (2) The fact that a person has been prosecuted and convicted or acquitted of an offence against this Act or the *Builders' Registration and Home-owners' Protection Act 1979* does not affect the liability of that person to disciplinary action under this Act.

114 Protection

- (1) Neither the State, the commissioner nor a relevant officer of the commission incurs any civil liability for an honest act or omission, other than a publication act, in the performance or purported performance of functions under this Act or the *Building Act 1975*.
- (2) A civil liability that would, apart from subsection (1), attach to an entity other than the commission attaches instead to the commission.
- (3) Neither the State, the commission nor a relevant officer of the commission incurs any liability for a publication act.
- (4) This section does not affect the liability of a person other than the commission to disciplinary action under the conditions of the person's employment.

(5) In this section—

publication act means a disclosure or publication made by or for the commission in giving a warning to the public under section 18(2)(f) about—

- (a) building work; or
- (b) the commercial or business reputation of any person associated with building work; or
- (c) the quality or standard of building work performed by any person; or
- (d) a contravention or alleged contravention of this Act or the operation or enforcement of this Act.

115 Commission may provide services to a professional board

(1) The commission may provide services to a professional board in relation to a disciplinary proceeding being, or that may be, conducted by the tribunal.

Example—

The commission might make a lawyer performing work for it under a work performance arrangement available to represent a professional board at a disciplinary proceeding being conducted by the tribunal.

- (2) The commission may enter into arrangements with a professional board about the fees to be paid to the commission for services provided under subsection (1).
- (3) In this section—

disciplinary proceeding means—

- (a) a disciplinary proceeding under the *Architects Act 2002*; or
- (b) a disciplinary proceeding under the *Professional Engineers Act 2002.*

professional board means —

(a) the Board of Architects of Queensland established under the *Architects Act 2002*; or

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(b) the Board of Professional Engineers of Queensland established under the *Professional Engineers Act 2002*.

115A Delegations

- (1) The board or commissioner may delegate their functions or powers under an Act to an appropriately qualified relevant officer of the commission.
- (2) In this section—

appropriately qualified includes having the qualifications, experience or standing appropriate to perform the function or exercise the power.

Example of standing—

a person's seniority level in an entity

116 Regulations

- (1) The Governor in Council may make regulations under this Act.
- (2) Without limiting subsection (1), a regulation may—
 - (aa) prescribe the procedure for, and other matters relating to, the application for, or renewal of, licences under this Act; and
 - (a) set fees payable under this Act and the matters for which the fees are payable; and
 - (b) provide for the refunding of fees in stated circumstances; and
 - (c) impose a penalty of not more than 20 penalty units for a contravention of a provision of the regulation.

Schedule 1

Schedule 1	Transitional and validating provisions					
Part 1	Transitional provisions for Act No. 98 of 1991 and amending Acts up to and including Act No. 70 of 1997					

1A Definitions

In section 2—

authority means the former Queensland Building Services Authority established under the *Queensland Building Services Authority Act 1991*, section 5.

former board means the Builders' Registration Board of Queensland established under the repealed Act.

repealed Act means the *Builders' Registration and Home-Owners' Protection Act 1979.*

2 Transitional provisions

- (3) The provisions of the repealed Act about insurance continue to apply, with the changes prescribed by regulation under this Act, to building work started before 1 July 1992 and—
 - (a) the provisions continue to apply to the building work whether the work is carried out before or after the date as if the repealed Act had not been repealed; and
 - (b) the authority may exercise any of the powers of the former board about the insurance.
- (3A) Instruments of guarantee and indemnity entered into under section 31(3) of the repealed Act and in force immediately before 1 July 1992 continue to have effect, with all necessary changes and any changes prescribed by regulation under this Act, until the instruments are discharged by the authority.

(3B)	Without	limiting	subsec	ction	(3A),	a	reference	in	the
	instrumer	nts to the	former	board	l is tak	en 1	to be a refe	renc	e to
	the autho	rity.							

- (3C) The instruments guarantee the payment by the guarantors of amounts payable to the authority under—
 - (a) section 70 of the repealed Act because of subsection (3); and
 - (b) section 71 of this Act.
- (3D) A house purchaser's agreement that the former board is taken to have entered into under the repealed Act continues in force for the balance of its term and all rights, duties, obligations and liabilities of the former board under the agreement are taken to be the rights, duties, obligations and liabilities of the authority.
- (3E) An amount paid by the former board under its obligations under section 69 of the repealed Act and not recovered at 1 July 1992—
 - (a) is taken to be a debt owing to the authority; and
 - (b) the authority has the same rights under section 71 of this Act as if the amount had been paid by the authority on a claim under the insurance scheme mentioned in that section.
 - (4) A direction or order for rectification of building work may be made under this Act in relation to building work, as defined in the repealed Act, carried out before 1 July 1992 by a person who was a registered builder, registered general builder or registered house builder within the meaning of the repealed Act as if references in this Act to a licensed contractor extended to the person.
 - (5) An order in force under section 59 of the repealed Act immediately before the commencement of part 6 is, on the commencement of that part, taken to be a direction under that part.
 - (6) The provisions of the repealed Act relating to building work carried out by owner-builders continue to apply, subject to any adaptations and modifications prescribed under this Act,
to any such building work that had been carried out or started before the commencement of part 3 and an approval mentioned in section 53(3) of the repealed Act may be given by the authority.

- (7) A proceeding for an offence against the repealed Act may, subject to the limitation of time prescribed by section 58 of the repealed Act, be brought by a person authorised by the authority, either generally or in the particular case, to bring the proceeding.
- (8) A person who was, immediately before the commencement of part 3, carrying on the business of a class of building work for which a licence is required under this Act, but for which registration was not required under the repealed Act, is taken to be licensed to carry out and supervise that class of building work—
 - (a) until a day 6 months after the commencement of part 3 or, if another day is fixed by regulation for the purposes of this subsection in relation to the relevant class of building work, that other day; or
 - (b) until the day the person is granted or refused a licence under this Act;

whichever is earlier.

4 References to repealed Acts

A reference in an Act or document to either of the following Acts is taken to be a reference to this Act—

- Builders' Registration Act 1971
- Builders' Registration and Home-owners' Protection Act 1979.

5 References to registrar/general manager and Home Building Advisory Service

In an Act or document—

(a)	a reference	to the	regist	rar/general	mana	ager c	of the		
	authority is	taken	to be	a reference	e to	the ge	eneral		
	manager of the authority; and								

(b) a reference to Home Building Advisory Service is taken to be a reference to the authority.

Part 2 Validating provisions for Act No. 70 of 1997

8 Definitions

In this part—

affected licence means a document purporting to be a licence that—

- (a) was issued by the authority on or after 1 July 1992 but before the commencement day; and
- (b) was issued to an individual who did not, or a company in relation to which the company's nominated supervisor did not, when the document was issued, have the relevant qualifications and experience required by regulation.

authority means the former Queensland Building Services Authority established under the *Queensland Building Services Authority Act 1991*, section 5.

basic compliance period, for a transitional licence, see definition *transitional licence*.

building contract means a contract for the carrying out of building work by a person to whom an affected licence has been issued.

commencement day, for a provision of this part, means the day on which the provision in which the expression occurs commences.

compliance period, for a transitional licence, means-

- (a) the basic compliance period for the licence; or
- (b) if the authority extended or further extended the period—the period as extended.

expired licence means an affected licence to which section 9(3) applies.

relevant licensee, for an affected or transitional licence, means the person to whom the licence was issued.

transitional licence means an affected licence in relation to which the authority, in issuing the licence—

- (a) indicated to the relevant licensee that the licence was a transitional licence; and
- (b) stated a period (the *basic compliance period*)—
 - (i) as the period for which the licence was issued; and
 - (ii) in relation to the licensee (for a licence issued to an individual) or the company's nominated supervisor (for a licence issued to a company)—as the period for the licensee or supervisor to obtain stated qualifications or experience in order to have the relevant qualifications and experience required by regulation.

9 Validation of affected licences

- (1) An affected licence is taken to be, and at all times to have been, a validly issued licence.
- (2) Subsection (3) applies if—
 - (a) before the commencement day, an affected licence was suspended, cancelled or surrendered; and
 - (b) for a suspension or cancellation—the decision to suspend or cancel the licence was or is not subsequently annulled or terminated under this Act.
- (3) Despite subsection (1), the licence is taken to have been a validly issued licence only until it was suspended, cancelled or surrendered.

10 Period of effect of transitional licences—compliance period ended

- (1) This section applies to a transitional licence if—
 - (a) the licence is not an expired licence; and
 - (b) the compliance period for the licence ended before the commencement day.
- (2) The licence is taken to have remained in force for the period starting when the compliance period ended and ending on the day before the commencement day.
- (3) Also, the licence remains in force, subject to this Act, until the end of the period of 3 months starting on the commencement day.
- (4) Subsection (6) applies if—
 - (a) before the commencement day, the relevant licensee entered into a building contract; and
 - (b) neither of the following things happens before the end of the period of 3 months mentioned in subsection (3)—
 - (i) practical completion under the contract;
 - (ii) termination of the contract.
- (5) However, subsection (6) applies only for the contract or contracts to which it relates.
- (6) Despite subsection (3), the licence remains in force, subject to this Act—
 - (a) if, by the application of subsection (4), there is only 1 contract involved—until one of the following things happens—
 - (i) the stage of practical completion is reached under the contract;
 - (ii) the contract is terminated; or
 - (b) if, by the application of subsection (4), there is more than 1 contract involved—until there is no contract either under which the stage of practical completion has not been reached or that has not been terminated.

11 Period of effect of transitional licences—compliance period current

- (1) This section applies to a transitional licence if—
 - (a) the licence is not an expired licence; and
 - (b) the compliance period for the licence has not ended before the commencement day.
- (2) The licence remains in force, subject to this Act, until the later of the following—
 - (a) the end of the compliance period;
 - (b) the end of the period of 3 months starting on the commencement day.
- (3) Subsection (5) applies if—
 - (a) before the commencement day, the relevant licensee entered into a building contract; and
 - (b) neither of the following things happens before the end of the period for which the licence remains in force under subsection (2)—
 - (i) practical completion under the contract;
 - (ii) termination of the contract.
- (4) However, subsection (5) applies only for the contract or contracts to which it relates.
- (5) Despite subsection (2), the licence remains in force, subject to this Act—
 - (a) if, by the application of subsection (3), there is only 1 contract involved—until one of the following things happens—
 - (i) the stage of practical completion is reached under the contract;
 - (ii) the contract is terminated; or
 - (b) if, by the application of subsection (3), there is more than 1 contract involved—until there is no contract either under which the stage of practical completion has not been reached or that has not been terminated.

12 Condition of transitional licences

- (1) This section applies to a transitional licence that is not an expired licence.
- (2) The licence is subject to a condition that the relevant licensee must not, while the licence remains in force under section 10 or 11, enter into a building contract on the basis of being a licensee under the licence.
- (3) The authority must promptly give written notice of the condition to the relevant licensee.
- (4) A failure by the authority to comply with subsection (3) does not affect the effectiveness of the condition.

Part 3 Transitional provisions for Queensland Building Services Authority Amendment Act 1999

13 Existing board goes out of office

On the commencement of this section, the members of the board in office immediately before the commencement go out of office.

Part 4 Transitional provisions for GST and Related Matters Act 2000

14 Residential construction work for s 71

For applying section 71(2)(a)(iii) to (vi), the relevant residential construction work mentioned in section 71(2)(a) does not include relevant residential construction work that was the subject of a contract entered into before 1 July 2000.

15 Building work for s 72

For applying section 72(5)(ba) to (bd), the building work mentioned in section 72(5) does not include building work that was the subject of a contract entered into before 1 July 2000.

16 Delayed operation of definition *building work*, para (g)

Despite schedule 2, definition *building work*, paragraph (g), until the end of 31 December 2000, building work under this Act is taken not to include the installation, maintenance, or certification of the installation or maintenance, of a fire protection system for a commercial or residential building.

Part 5

Validating and transitional provisions for Queensland Building Services Authority and Other Legislation Amendment Act 2003

Division 1 Interpretation

17 Definitions for pt 5

In this part—

authority means the former Queensland Building Services Authority established under the *Queensland Building Services Authority Act 1991*, section 5.

board means the former Queensland Building Services Board established under the *Queensland Building Services Authority Act 1991*, section 8.

the regulation means the *Queensland Building Services Authority Regulation 1992.*

Division 2 Provision about financial requirements

18 Validation of provisions about financial requirements

- (1) This section applies to section 7 of the regulation as in force, or purporting to be in force, before 1 October 1999 and section 7A of the regulation as in force, or purporting to be in force, before 19 November 1993 so far as the sections related to financial requirements.
- (2) The sections are taken to have been valid at all times and to have imposed financial requirements under section 31 of the Act as in force at a time mentioned in subsection (1).
- (3) Without limiting subsection (2), the authority and board are taken to have had the power to make the determinations and policies mentioned in the sections of the regulation.

Division 3 Terms of insurance policies

19 Validation of provision about terms of insurance policy

- (1) This section applies to section 24 of the regulation as in force, or purporting to be in force, before the commencement of this section.
- (2) The section is taken to have been valid at all times including for the purpose of prescribing the terms of a policy of insurance under section 69(2) of the Act as in force at a time mentioned in subsection (1).
- (3) Without limiting subsection (2), the board is taken to have had the power to make the policies mentioned in the section of the regulation.

20 Existing policies apply for amended s 69(2)

(1) Board policies in force, or purporting to be in force, immediately before the commencement of this section for the purpose of section 24 of the regulation continue in force for

the purpose of section 69(2) of the Act as amended by the *Queensland Building Services Authority and Other Legislation Amendment Act 2003.*

(2) Subsection (1) does not prevent the board amending or repealing the policies.

Division 4 Licence classes and qualifications and experience

21 Validation of sch 2, pt 25 of the regulation etc.

- (1) This section applies to schedule 2, part 25 of the regulation as in force, or purporting to be in force, before the commencement of the *Queensland Building Services Authority Amendment Regulation (No. 2) 2002.*
- (2) The part is taken to have been valid at all times before the commencement of the *Queensland Building Services Authority Amendment Regulation (No. 2) 2002* for the purpose of specifying a class of building work by regulation under section 30(2) of the Act and having stated the qualifications and experience required by regulation under section 31(1)(b) or 32(b) of the Act.
- (3) Without limiting subsection (2), the authority is taken to have had the power to give the directions mentioned in the part and to make decisions for deciding qualifications and experience requirements for the part.
- (4) A board policy, or purported board policy, giving a direction or containing a decision about scope of work, qualifications or experience requirements is taken, for this section, to have been a direction or decision of the authority given for the part.

22 Transitional provision for licences valid

Section 35 of the regulation as inserted by the *Queensland Building Services Authority Amendment Regulation (No. 2)* 2002 is taken to have been valid for the purpose mentioned in the section.

Division 5 Non-trading licences

23 Definition for div 5

In this division—

non-trading licence means an instrument purporting to be a contractor's licence issued or renewed by the authority, in the period starting on 19 November 1999 and ending immediately before 27 August 2001, stating that it was a non-trading licence or containing an expression indicating that it was a non-trading licence.

Example of contractor's licence containing an expression indicating the licence was a non-trading licence—

a contractor's licence containing the expression 'NTL'

24 Non-trading licence taken to be valid

- (1) A non-trading licence is taken to have been and to be a valid contractors licence subject to a valid condition under section 35 or 36 of the Act that the licensee must not carry out, undertake to carry out or supervise the carrying out of building work.
- (2) If the authority removed or removes the statement or expression on the licence stating or indicating that it was a non-trading licence, the authority is taken to have validly revoked the condition.

Division 6 Courses of instruction for owner-builders

25 Validation of provision about courses of instruction for owner-builders

(1) This section applies to section 13(4) and (5) of the regulation as in force, or purporting to be in force, before the commencement of this section.

- (2) Section 13(4) and (5) are taken to have been, and to be, valid at all times including for the purpose of specifying a course of instruction under section 44(3) of the Act.
- (3) Without limiting subsection (2), the board is taken to have had the power to make the policies mentioned in section 13(4) and (5) of the regulation.

Division 7 Board's policies

26 Certain board's policies continue in force

- (1) The board's policies that are general policies in force under the Act before amendment—
 - (a) continue in force as board's policies under the Act after amendment; and
 - (b) may be reviewed by the board and amended or repealed as if they were made under section 9A of the Act after amendment.
- (2) The board's policies that are supervision policies continue in force only until the commencement of section 17 of the amendment Act.
- (3) In this section—

Act after amendment means the Queensland Building Services Authority Act 1991 as in force immediately after the commencement of section 6 of the amendment Act.

Act before amendment means the Queensland Building Services Authority Act 1991 as in force immediately before the commencement of section 6 of the amendment Act.

amendment Act means the *Queensland Building Services Authority and Other Legislation Amendment Act 2003.*

Part 6

Transitional provisions for the Building and Construction Industry Payments Act 2004

27 Definitions for pt 6

In this part—

commencement means the commencement of this part.

former provisions means sections 67H, 67I, 67J, 67Q, 67U and 67W, as in force before the commencement.

28 Application of former provisions to existing building contracts

The former provisions continue to apply to building contracts entered into before the commencement as if the *Building and Construction Industry Payments Act 2004*, schedule 1 had not commenced.

Part 7

Transitional provisions for Statutory Bodies Legislation Amendment Act 2007

29 Rights and entitlements of particular employees

- (1) This section applies to a person who—
 - (a) becomes an employee of the employing office; and
 - (b) was an employee of the authority—
 - (i) immediately before the commencement of this section; and
 - (ii) immediately before becoming an employee of the employing office.

- (2) On becoming an employee of the employing office, the person is taken to be employed under section 29F of the Act on the conditions on which the person would have been employed by the authority, immediately before the person became an employee of the employing office, if the authority had never become an employer under the *Workplace Relations Act 1996* (Cwlth).
- (3) Also—
 - (a) the person keeps all rights and entitlements, including entitlements to receive long service, recreation and sick leave and any similar entitlements, that—
 - (i) have accrued or were accruing to the person as an employee of the authority; and
 - (ii) would have accrued to the person if the authority had never become an employer under the *Workplace Relations Act 1996* (Cwlth); and
 - (b) if the person is a member of a superannuation scheme—
 - (i) the person keeps all entitlements accrued or accruing to the person as a member of the scheme; and
 - (ii) the person's membership of the scheme is not affected.
- (4) Without limiting subsection (3), for working out the person's rights and entitlements, including entitlements to receive long service, recreation and sick leave and any similar entitlements, employment of the person by the employing office is a continuation of employment of the person by the authority.
- (5) If the person was a seconded employee immediately before becoming an employee of the employing office, the arrangement under which the person was performing work for a government entity other than the authority may continue until the arrangement ends, and, if the arrangement does continue—
 - (a) subsection (2) does not apply to the person; and
 - (b) on the ending of the arrangement, the person is taken to be employed under section 29F of the Act on the

conditions on which the person would have been employed by the authority, on the ending of the arrangement, if—

- (i) the person had continued to be an employee of the authority; and
- (ii) the authority had never become an employer under the *Workplace Relations Act 1996* (Cwlth).
- (6) Subsections (2) and (5)(b) do not limit section 29F(3) and (4) of the Act.
- (7) In this section—

authority means the former Queensland Building Services Authority established under the *Queensland Building Services Authority Act 1991*, section 5.

employee of the authority includes a seconded employee.

seconded employee means an employee of the authority performing work for another government entity under an arrangement entered into, before the commencement of this section, by the authority with the appropriate authority of the other government entity.

30 Application of industrial instruments

The employing office is taken to be bound by the industrial instruments that bound the authority immediately before it became an employer under the *Workplace Relations Act 1996* (Cwlth).

Part 8 Transitional provisions for Queensland Building Services Authority and Other Legislation Amendment Act 2007

31 Transitional provisions for existing supervisors' licences

- (1) This section applies to a licensee who at the commencement of this section holds a supervisor's licence.
- (2) The licensee is taken to hold a nominee supervisor's licence for the classes of building work to which the supervisor's licence applies.
- (3) In this section—

supervisor's licence means a licence authorising the licensee to supervise (but not to carry out) building work.

32 Transitional qualifications for site supervisors' licences

- (1) This section applies if a person who does not have the required qualifications applies for a site supervisor's licence during the transitional period.
- (2) The authority may grant the application even though the applicant does not have the required qualifications for the licence if
 - (a) the authority has sighted a declaration by a licensed contractor stating that—
 - (i) the applicant has carried out building work as an officer or employee of the contractor for a period of not less than 12 months; and
 - (ii) the contractor has had an opportunity to assess the skills of the applicant during the period; and
 - (iii) the contractor is satisfied that the applicant has the skills to carry out the duties of a site supervisor for a licence of the class applied for; and

- (b) the authority is satisfied that the applicant has the skills to be a site supervisor for that class.
- (3) If the licensed contractor is a company, the declaration must be by the company's nominee.
- (4) Nothing in this section limits the powers the authority may exercise under section 33(2) or (3).
- (5) In this section—

required qualifications means the qualifications required for a site supervisor's licence under section 32AA.

transitional period means the period of 1 year from the commencement of section 30B.

33 Transitional provision for fire protection occupational licences

Sections 42C and 42D do not apply to anything happening before 2 years from the commencement of section 30C.

34 Transitional provision for certain fire protection work

Section 42 does not apply to the following building work until 2 years after the commencement of this section—

- (a) the design of a fire protection system;
- (b) the development, approval or certification of emergency evacuation procedures for a controlled evacuation during a fire emergency;
- (c) the formulation or provision of alternative solutions relating to fire safety;
- (d) the inspection or investigation of, and the provision of advice or a report about compliance with the *Building Act 1975* or the Building Code of Australia relating to fire safety.

35 Transitional provision for photograph on licence

- (1) Subsection (2) applies to the grant or renewal of a licence that happens before 2 years from the commencement of this section.
- (2) The licence may, or may not, contain a recent photograph of the licensee.

36 Transitional provision for continuation of register of licensees

- (1) The register of licensees under section 39, as in force immediately before the commencement of section 99, continues as the licensee register under section 99.
- (2) The authority may continue to exercise its powers under part 8 in relation to the licensee register.

Part 9 Transitional provisions for Queensland Building Services Authority Amendment Act 2013

Division 1 Preliminary

37 Definitions

In this part—

amending Act means the Queensland Building Services Authority Amendment Act 2013.

transfer day means the day the amending Act, section 5 commences.

document includes a policy of the former board.

former authority means the former Queensland Building Services Authority established under the QBSA Act.

former board means the Queensland Building Services Board established under the QBSA Act.

general manager means the person holding the office under the QBSA Act immediately before the transfer day.

QBSA Act means this Act as in force immediately before its amendment under the amending Act, section 5.

Division 2 Dissolutions

38 Dissolutions

On the transfer day the following end or cease to exist—

- (a) the former authority;
- (b) the former board;
- (c) the appointment of the members of the former board;
- (d) office of the general manager;
- (e) the general manager's employment.

39 No compensation because of dissolutions

- (1) No amount, whether by way of compensation, reimbursement or otherwise, is payable by the State or the commission to any person for or in connection with the enactment of section 38.
- (2) However, to avoid any doubt, subsection (1) does not limit or otherwise affect the general manager's right to a benefit or entitlement that, under the existing conditions of appointment, had accrued or was accruing immediately before the transfer day.
- (3) On the transfer day, a benefit or entitlement mentioned in subsection (2) ceases to accrue and becomes payable as if—
 - (a) the general manager's appointment had, according to its conditions, been terminated on the transfer day; and
 - (b) the termination is other than by the general manager.

(4) In this section—

existing conditions of appointment, for the general manager, means the general manager's conditions of appointment as determined by the Minister under the QBSA Act, section 17.

Division 3 Migration of matters to the commission

40 Agreements, assets, liabilities and rights

- (1) On the transfer day—
 - (a) the former authority's assets, liabilities and rights vest in the commission; and
 - (b) the commission is substituted for the former authority in all agreements to which the former authority is a party.
- (2) In this section—

agreement includes leases, undertakings and contractual arrangements.

41 Unresolved applications

- (1) On the transfer day, the commission stands in place of the former authority for any unresolved application made—
 - (a) under the QBSA Act to the former authority; or
 - (b) by the former authority to the tribunal.
- (2) For subsection (1)(a), the commission may be satisfied about a matter merely because the former authority was satisfied about the matter under the relevant provision of the QBSA Act.
- (3) In this section—

unresolved application means an application made, but not finally decided or withdrawn, before the transfer day.

42 Pending legal proceedings

- (1) If, immediately before the transfer day, the former authority was a party to a legal proceeding, the commission is substituted for the former authority as the party.
- (2) For section 111(1), the commission is taken to have knowledge of the commission of a past offence—
 - (a) if the offence came to the former authority's knowledge; and
 - (b) when the offence came to the former authority's knowledge.

Note—

See section 45(6) for the continued authorisation of particular persons to bring prosecutions for offences against this Act.

(3) In this section—

legal proceeding means a proceeding before a court or tribunal.

past offence means an offence against this Act for which-

- (a) the alleged date of commission of the offence was before the transfer day; and
- (b) the prosecution for the offence had not yet started on the transfer day.

43 Ministerial directions to former authority

- (1) A pending Ministerial direction to the former authority applies, if the context permits, to the commission as it would have applied to the former authority.
- (2) In this section—

pending Ministerial direction means a direction by the Minister under section 7 of the QBSA Act and in effect immediately before the transfer day.

44 Records of the former authority

- (1) On the transfer day, a record of the former board becomes a record of the commission.
- (2) In this section—

record includes a register kept by the former authority under the QBSA Act.

45 Continuing appointments and authorisations

- (1) The appointment of an individual as the insurance manager under section 21 of the QBSA Act continues and is taken to have been made by the commission.
- (2) The remuneration and conditions of appointment of the insurance manager decided by the former authority under the QBSA Act continue to apply for the appointment.
- (3) The appointment of a relevant officer of the former authority as an inspector under section 104 of the QBSA Act continues and is taken to have been made by the commission.
- (4) Subsection (5) applies if, immediately before the transfer day—
 - (a) a relevant officer of the former authority had been issued a card (however called) identifying the officer as a person holding an authorisation under section 104 of the QBSA Act; and
 - (b) the card had not expired according to its terms.
- (5) The card is taken to be an identity card issued to the officer as an inspector until the earlier of the following—
 - (a) the person is issued a new identity card by the commission under this Act;
 - (b) the card expires according to its terms.
- (6) A person authorised by the former authority to bring a prosecution for an offence against this Act under section 111(2) of the QBSA Act continues to be authorised and the authorisation is taken to have been given by the commission.

46 Annual report of former board

- (1) This section applies unless the transfer day is 1 July in a year.
- (2) The commission's annual report for the financial year in which the commission is established must include information about the former authority's operations that would have been required to be included in the commission's annual report if the QBSA Act had not been amended by the amending Act.
- (3) In this section—

annual report means annual report under the *Financial Accountability Act* 2009.

47 Other administrative matters

- (1) From the transfer day, each of the following things in effect immediately before the transfer day continue in effect as if the thing was approved, given or made by the commission when the thing first took effect—
 - (a) a direction or notice given by the former authority under the QBSA Act;

Example—

a direction to a licensee to rectify or complete tribunal work

(b) a demand, request or requirement made by the former authority under the QBSA Act that has not been fully complied with on the transfer day;

Examples—

- 1 a demand of a licensee to pay an amount owed to the former authority
- 2 a request of an applicant to provide the former authority with further information or evidence to decide an application
- (c) a certificate, licence or permit issued by the former authority under the QBSA Act;
- (d) a decision of the former authority made under the QBSA Act.

- (2) A policy of the former board, approved under section 9A of the QBSA Act and still in effect immediately before the transfer day, continues in effect as if the policy was made by the board.
- (3) For a provision of this Act enabling the taking of action by an entity if the commission had previously taken a particular action, a reference to the commission having previously taken the action is taken to include a reference to the former authority having previously taken it.

Division 4 Other matters

48 References to former entities

In an Act or document—

- (a) a reference to the former authority may, if the context permits, be taken to be a reference to the commission; and
- (b) a reference to the former board may, if the context permits, be taken to be a reference to the board; and
- (c) a reference to the general manager under the QBSA Act may, if the context permits, be taken to be a reference to the commissioner.

49 References to QSBA Act

In an Act or document, a reference to the QBSA Act may, if the context permits, be taken as a reference to this Act as in force after the transfer day.

50 Change to name of employing office

A change in the name of the employing office under the amending Act does not affect the status of that office.

51 Effect on legal relationships

- (1) Nothing done under the amendments to the QBSA Act under the amending Act (the *Act amendments*)—
 - (a) makes a relevant entity in breach of any instrument, including an instrument prohibiting, restricting or regulating the assignment, novation or transfer of a right or liability or the disclosure of information; or
 - (b) except as provided for under the Act amendments, is taken to fulfil a condition that—
 - (i) terminates, or allows a person to terminate, an instrument or obligation; or
 - (ii) modifies, or allows a person to modify, the operation or effect of an instrument or obligation; or
 - (iii) allows a person to avoid or enforce an obligation or liability contained in an instrument or requires a person to perform an obligation contained in an instrument; or
 - (iv) requires any money to be paid before its stated maturity; or
 - (c) releases a surety or other obligee, wholly or partly, from an obligation.
- (2) If, apart from this subsection, the advice, consent or approval of a person would be necessary to do something under the Act amendments, the advice is taken to have been obtained or the consent or approval is taken to have been given unconditionally.
- (3) If, apart from this Act, giving notice to a person would be necessary to do something under the Act amendments, the notice is taken to have been given.
- (4) In this section—

relevant entity means-

(a) the State or an employee or agent of the State; or

(b) the commission, a member or a relevant officer of the commission.

Part 10 Transitional provision for Residential Tenancies and Rooming Accommodation and Other Legislation Amendment Act 2013

52 Application of s 42 (Unlawful carrying out of building work)

- (1) Section 42(4) applies to building work carried out on or after 1 July 1992, unless the entitlement to payment for the carrying out of the building work was—
 - (a) before the commencement of this section, decided by—
 - (i) a court; or
 - (ii) the tribunal; or
 - (iii) an arbitrator or another entity authorised to make a binding decision about the entitlement; or
 - (b) before 2 March 1999, the subject of—
 - (i) a claim or counterclaim filed in a court; or
 - (ii) an application made to the tribunal; or
 - (iii) a reference to an arbitrator or another entity authorised to make a binding decision about the entitlement; or
 - (c) provided for as a term of a binding agreement entered into before the commencement of this subsection, but only if the binding agreement—
 - (i) is between—

		(A)	1 or more consumers and 1 or more building contractors; or		
		(B)	1 or more building contractors and 1 or more other building contractors; and		
	(ii)	was entered into to resolve a dispute between some or all of the parties to the binding agreement; and			
	(iii)	build	ot the contract for the carrying out of the ing work as originally entered into, or as nally entered into and as subsequently varied.		
(2)	In this section—				
	tribunal means the former Queensland Building Tribunal				

tribunal means the former Queensland Building Tribunal previously established under this Act, part 7, before amendment of this Act under the repealed *Queensland Building Tribunal Act 2000*.

Schedule 1A Exemptions from requirement to hold contractor's licence

section 42(2)

1 Employees

An unlicensed person who carries out, in the course of employment, building work (other than fire protection work) for which the person's employer holds a licence of the appropriate class under this Act does not contravene section 42(1).

2 Subcontractors

- (1) An unlicensed person who, as a subcontractor, carries out, or undertakes to carry out, building work (other than fire protection work) for a licensed trade contractor, does not contravene section 42(1) if the work is within the scope of the building work allowed by the class of licence held by the contractor.
- (2) In this section—

licensed trade contractor means a licensed contractor other than the following—

- (a) a licensed builder;
- (b) a licensed contractor who holds a contractor's licence authorising the licensee to carry out completed building inspections.

3 Partnerships

An unlicensed person who carries out, or undertakes to carry out, building work (other than fire protection work) in partnership with another person who is licensed to carry out building work of the relevant class does not contravene section 42(1).

Schedule 1A

Note-

Section 56 states that a licensed contractor may carry on business under the contractor's licence in partnership with an unlicensed person subject to the conditions stated in the section.

4 Owner-builders

An unlicensed person who holds an owner-builder permit does not contravene section 42(1) by carrying out building work permitted under the permit.

5 Design work by landscape architects

An unlicensed person who carries out, or undertakes to carry out, design work does not contravene section 42(1) if—

- (a) the person carries on business as a landscape architect; and
- (b) the person carries out the design work, or undertakes to carry it out, as part of the person's work as a landscape architect; and
- (c) the design work is of a type ordinarily carried out as an appropriate or necessary component of a landscape architect's work.

6 Consumers

A consumer who engages 1 or more licensed contractors to carry out building work for the consumer does not contravene section 42(1) if the consumer does not provide building work services for the work.

Examples of a consumer who does not provide building work services-

- a consumer who engages a licensed builder to build, and carry out all building work services for, a new residence
- a consumer who, as a principal, enters into construction management trade contracts for building work and engages a construction manager for building work services for the work

7 Other licences

A person who holds any of the following licences does not contravene section 42(1) only by doing something permitted under the licence—

- (a) a nominee supervisor's licence;
- (b) a site supervisor's licence;
- (c) a fire protection occupational licence.

8 Head contracts to carry out building work

- (1) An unlicensed person who enters into a contract to carry out building work does not contravene section 42(1) merely because the person entered into the contract if the building work—
 - (a) is not residential construction work or domestic building work; and
 - (b) is to be carried out by a person (an *appropriately licensed contractor*) who is licensed to carry out building work of the relevant class.
- (2) Also, the unlicensed person does not contravene section 42(1) merely because the person—
 - (a) directly or indirectly causes the building work to be carried out by an appropriately licensed contractor; or
 - (b) enters into another contract, with an appropriately licensed contractor, to carry out the work.
- (3) However, subsection (1) ceases to apply to the unlicensed person if the person causes or allows any of the building work to be carried out by a person who is not licensed to carry out building work of the relevant class.

9 Tenders and offers

An unlicensed person who submits a tender to carry out building work or makes an offer to carry out building work does not contravene section 42(1) merely because the person submits the tender or makes the offer if the building work—

- (a) is not residential construction work or domestic building work; and
- (b) is to be carried out by a person who is licensed to carry out building work of the relevant class.

10 Public-private partnerships

- (1) This section applies to a special purpose vehicle that undertakes to carry out building work under a public-private partnership.
- (2) The special purpose vehicle does not contravene section 42(1) merely because the vehicle undertakes to carry out the building work if the work is to be carried out by a person (an *appropriately licensed contractor*) who is licensed to carry out building work of the relevant class.
- (3) Also, the special purpose vehicle does not contravene section 42(1) merely because the special purpose vehicle—
 - (a) directly or indirectly causes the building work to be carried out by an appropriately licensed contractor; or
 - (b) enters into a contract, with an appropriately licensed contractor, to carry out the work.
- (4) However, this section ceases to apply to the special purpose vehicle if the special purpose vehicle causes or allows any of the building work to be carried out by a person who is not licensed to carry out building work of the relevant class.

11 Prescribed government projects

- (1) This section applies to an unlicensed person who, by doing any of the following, undertakes to carry out building work for a prescribed government project—
 - (a) enters into a contract with a government entity to carry out building work for the project;
 - (b) submits a tender to a government entity to carry out building work for the project;

Schedule 1A

- (c) makes an offer to a government entity to carry out building work for the project.
- (2) The unlicensed person does not contravene section 42(1) merely because the person undertakes to carry out the building work if the work—
 - (a) is of a class prescribed, under a regulation, for the prescribed government project; and
 - (b) is to be carried out by a person (an *appropriately licensed contractor*) who is licensed to carry out building work of the relevant class.
- (3) Also, the unlicensed person does not contravene section 42(1) merely because the person—
 - (a) directly or indirectly causes the building work to be carried out by an appropriately licensed contractor; or
 - (b) enters into a contract, with an appropriately licensed contractor, to carry out the work.
- (4) However, this section ceases to apply to the unlicensed person if the person causes or allows any of the building work to be carried out by a person who is not licensed to carry out building work of the relevant class.
- (5) In this section—

government entity means the State, the Commonwealth or a local government, or any of their authorities or agencies.

prescribed government project means a project, prescribed under a regulation, involving building work to be carried out—

- (a) for, or on behalf of, a government entity; and
- (b) by 1 or more private sector entities.

Schedule 2 Dictionary

section 4

accumulate, for part 3E, see section 67AQ.

administering authority, for part 3E, see section 67AQ.

administration services, for building work or tribunal work, includes the following—

- (a) preparing tender documentation and calling and selecting tenders;
- (b) arranging and conducting on-site meetings and inspections;
- (c) arranging payment of subcontractors;
- (d) arranging for certificates, including certificates from a local government, to be issued;
- (e) administration for the work usually carried out by—
 - (i) a construction manager; or
 - (ii) a project manager under a project management agreement;
- (f) other administration for the work usually carried out by a licensed contractor in the course of the contractor's business.

advisory services, for building work or tribunal work, includes the provision of advice or a report about building work other than—

- (a) the carrying out of a completed building inspection; or
- (b) the inspection or investigation of a building, and the provision of advice or a report, for the following—
 - (i) termite management systems for the building;
 - (ii) termite infestation in the building.

alternative solution see Building Act 1975, schedule 2.

apprentice see the *Further Education and Training Act 2014*, schedule 1.

approved security provider, for part 4A, see section 67A.

architect means a person registered as an architect under the *Architects Act 2002*.

assessment manager see the *Sustainable Planning Act 2009*, section 246(1).

banned individual see section 67AC.

board means the Queensland Building and Construction Board established under section 10.

board's policies means the policies of the board made for the purposes of section 19.

building includes any fixed structure.

Examples of a fixed structure—

- a fence other than a temporary fence
- a water tank connected to the stormwater system for a building
- an inground swimming pool or an aboveground pool fixed to the ground

Building Code of Australia see the *Building Act 1975*, section 12.

building contract—

- (a) for part 3E—see section 67AAA; and
- (b) for part 4A—see section 67AAA; and
- (c) for schedule 1, part 2—see schedule 1, section 8; and
- (d) otherwise—means a contract or other arrangement for carrying out building work in Queensland.

building contractor means a person who carries on a business that consists of or includes carrying out building work, and includes a subcontractor who carries out building work for a building contractor.

building dispute means—

(a) a domestic building dispute; or

- (b) a minor commercial building dispute; or
- (c) a major commercial building dispute if the parties to the dispute consent to the dispute being heard by the tribunal under section 79.

building owner means a person for whom tribunal work is to be, is being or has been carried out, but does not include a building contractor for whom tribunal work is carried out by a subcontractor.

building site means a place where building work has been, is being, or is about to be, carried out.

building work means—

- (a) the erection or construction of a building; or
- (b) the renovation, alteration, extension, improvement or repair of a building; or
- (c) the provision of lighting, heating, ventilation, airconditioning, water supply, sewerage or drainage in connection with a building; or
- (e) any site work (including the construction of retaining structures) related to work of a kind referred to above; or
- (f) the preparation of plans or specifications for the performance of building work; or
- (fa) contract administration carried out by a person in relation to the construction of a building designed by the person; or
- (g) fire protection work; or
- (h) carrying out site testing and classification in preparation for the erection or construction of a building on the site; or
- (i) carrying out a completed building inspection; or
- (j) the inspection or investigation of a building, and the provision of advice or a report, for the following—
 - (i) termite management systems for the building;
 - (ii) termite infestation in the building;

but does not include work of a kind excluded by regulation from the ambit of this definition.

building work services means 1 or more of the following for building work—

- (a) administration services;
- (b) advisory services;
- (c) management services;
- (d) supervisory services.

calling includes a craft, manufacture, occupation, trade, undertaking or vocation.

carry out, for building work (other than for part 4A) means any of the following—

- (a) carry out the work personally;
- (b) directly or indirectly cause the work to be carried out;
- (c) provide building work services for the work.

carry out building work, for part 4A, see section 67A.

carry out tier 1 defective work see section 67AB(2).

commercial building contract, for part 4A, see section 67A.

commercial building dispute means—

- (a) a claim or dispute arising between a building owner and a building contractor relating to the performance of reviewable commercial work or a contract for the performance of reviewable commercial work; or
- (b) a claim or dispute arising between 2 or more building contractors relating to the performance of reviewable commercial work or a contract for the performance of reviewable commercial work; or
- (c) a claim or dispute in negligence, nuisance or trespass related to the performance of reviewable commercial work other than a claim for personal injuries; or
- (d) a claim or dispute arising between a building owner or a building contractor and any 1 or more of the following

relating to the performance of reviewable commercial work or a contract for the performance of reviewable commercial work—

- (i) an architect;
- (ii) an engineer;
- (iii) a surveyor;
- (iv) a quantity surveyor;
- (v) an electrician or an electrical contractor;
- (vi) a supplier or manufacturer of materials used in the tribunal work.

commission means the Queensland Building and Construction Commission established under section 5.

commissioner means the commissioner of the commission.

company means any body corporate.

completed building inspection means the inspection or investigation of, and the provision of advice or a report about, the following class 1a or 10 buildings under the Building Code of Australia—

(a) a building for which there is no current contract between a building contractor and a consumer because the contract has been completed;

Example—

an existing detached house that has previously been occupied or that has recently been built

(b) a building on which work is not being carried out because the contract under which it was being carried out has been terminated;

Example—

a detached house on which a building contractor has stopped building work in breach of the terms of a contract with a consumer and the consumer has terminated the contract

(c) a building on which work is being, or was, carried out but not under a contract between a building contractor and a consumer.
Example—

a detached house being built by, or that was built by, a building contractor but not under a contract with a particular consumer This is known in the building industry as "a speccy".

Editor's note—

Class 1a includes a detached house, a row house, a terrace house, a town house and a villa unit.

Class 10 includes non-habitable buildings such as private garages, carports and sheds.

condition includes a limitation or restriction.

construction management contract means a contract under which a principal engages a construction manager to provide building work services for building work carried out for the principal under construction management trade contracts.

construction management trade contract see section 67A.

construction manager means a person who provides building work services for the carrying out of building work for a principal under a construction management contract.

consumer means a person for whom building work is carried out, but does not include a building contractor for whom building work is carried out by a subcontractor.

contract, for part 7, means a contract for carrying out tribunal work.

contract administration, in relation to building work designed by a person, includes the following—

- (a) preparing tender documentation and calling and selecting tenders;
- (b) preparing, or helping the person's clients with the preparation of, contracts;
- (c) preparing additional documentation for the person's clients or building contractors;
- (d) arranging and conducting on-site meetings and inspections;
- (e) arranging progress payments;

- (f) arranging for certificates, including certificates from a local government, to be issued;
- (g) providing advice and help to the person's clients including during the maintenance period allowed under a contract.

contracted party, for part 4A, see section 67A.

contracting party see section 67A.

contractor's licence see section 30(1).

contract price, for part 4A, see section 67A.

convicted company officer means a person who has been convicted of an offence under the *Corporations Act 2001* (Cwlth), section 596(1)(b) or (c).

conviction, for part 3E, see section 67AQ.

decision includes an order or direction.

default certificate see section 67AQ.

defective, in relation to building work, includes faulty or unsatisfactory.

demerit matter see section 67AQ.

demerit offence, for part 3E, see section 67AR.

demerit points see section 67AQ.

design work means—

- (a) the preparation of plans or specifications for building work; or
- (b) professional advice in relation to building work.

developer register, for part 8, see section 98.

disciplinary proceeding means a proceeding under section 88. *disqualified individual* see section 67AU.

document certification requirement see section 106A(5).

document production requirement see section 106A(6).

domestic building contract means a domestic building contract under the *Domestic Building Contracts Act 2000*.

domestic building dispute means-

- (a) a claim or dispute arising between a building owner and a building contractor relating to the performance of reviewable domestic work or a contract for the performance of reviewable domestic work; or
- (b) a claim or dispute arising between 2 or more building contractors relating to the performance of reviewable domestic work or a contract for the performance of reviewable domestic work; or
- (c) a claim or dispute in negligence, nuisance or trespass related to the performance of reviewable domestic work other than a claim for personal injuries; or
- (d) a claim or dispute arising between a building owner or a building contractor and any 1 or more of the following relating to the performance of reviewable domestic work or a contract for the performance of reviewable domestic work—
 - (i) an architect;
 - (ii) an engineer;
 - (iii) a surveyor;
 - (iv) a quantity surveyor;
 - (v) an electrician or an electrical contractor;
 - (vi) a supplier or manufacturer of materials used in the tribunal work.

domestic building work see the *Domestic Building Contracts Act* 2000, schedule 2.

employee of the employing office see section 29F(2).

employing office means the Queensland Building and Construction Employing Office established under section 29A.

enforcement debtor see the *State Penalties Enforcement Act* 1999, schedule 2.

enforcement order see the *State Penalties Enforcement Act* 1999, schedule 2.

engineer means a person who is a registered professional engineer under the *Professional Engineers Act 2002*.

excluded company see section 56AC(7).

excluded individual, for a relevant event, see section 56AC(3) and (4).

executive officer, for part 2A, means the executive officer of the employing office appointed under section 29D.

executive officer, of a company, means a person who is-

- (a) a director or secretary of the company; or
- (b) a person who is concerned with, or takes part in, the company's management, whether or not the person is a director or secretary of the company or the person's position is given the name of executive officer.

field work means—

- (a) a site investigation; or
- (b) a site assessment; or
- (c) soil sampling; or
- (d) soil collection.

fire protection occupational licence see section 30C(1) and (2).

fire protection system, for a building, means a system of fire protection for all or part of the building comprising some or all of the following—

- (a) portable firefighting appliances, including, for example, wheeled fire extinguishers, fire hoses, fire blankets and portable fire extinguishers;
- (b) fire hydrants, with or without pumps;
- (c) fire hose reels, with or without pumps;
- (d) a fire or smoke detection system, a heat or smoke alarm or another alarm system or emergency warning and communication system;

- (e) a fire suppression system or fire sprinkler system, whether solid based, liquid based or gas based;
- (f) fire doors, fire shutters and fire damper assemblies;
- (g) emergency lighting.

fire protection work means any of the following for a building or part of a building—

- (a) the installation, restoration, repair or maintenance of a fire protection system;
- (b) the preparation of any certificate, statement or record—
 - (i) relating to the installation, restoration, repair or maintenance of a fire protection system; or
 - (ii) stating whether a fire protection system meets a standard, requirement or specification;
- (c) the design of a fire protection system;
- (d) the development, approval or certification of emergency evacuation procedures for a controlled evacuation during a fire emergency;
- (e) the formulation or provision of alternative solutions relating to fire safety;
- (f) the inspection or investigation of, and the provision of advice or a report about, compliance with the *Building Act 1975* or the Building Code of Australia relating to fire safety.

government entity see the Public Service Act 2008, section 24.

industrial instrument see the *Industrial Relations Act 1999*, schedule 5.

influential person, for a company, means an individual, other than a director or secretary of the company, who is in a position to control or substantially influence the conduct of the company's affairs, including, for example, a shareholder with a significant shareholding, a financier or a senior employee.

infringement notice see section 67AQ.

infringement notice offence see the *State Penalties Enforcement Act 1999*, schedule 2.

insolvent under administration has the meaning given by the Corporations Act, section 9.

insurance notification form means a form approved by the commission on which information about building work is submitted to the commission for insuring the work under the statutory insurance scheme.

judgment debt see section 67AS.

licence means a licence under this Act.

licence card means a licence card issued under section 34.

licence certificate means a licence certificate issued under section 34.

licensed builder means a person who is a licensed builder under the regulations.

licensed contractor means a person who holds a contractor's licence.

licensed supervisor, for building work, means a person who holds a licence under this Act authorising supervision of building work of the relevant class.

licensee means a person who holds a licence.

licensee register, for part 8, see section 98.

major commercial building dispute means a commercial building dispute where either the claim or the counterclaim exceeds \$50000.

management services, for building work or tribunal work, includes—

- (a) coordinating the scheduling of the work by building contractors including as agent for another person; and
- (b) management for the work usually carried out by—
 - (i) a construction manager; or
 - (ii) a project manager under a project management agreement; and

(c) other management for the work usually carried out by a licensed contractor in the course of the contractor's business.

member means member of the board.

minor commercial building dispute means a commercial building dispute where neither the claim nor the counterclaim exceeds \$50000.

minor domestic building dispute means a domestic building dispute where neither the claim nor the counterclaim exceeds \$10000.

nominee, in relation to a company, means an officer or employee of the company nominated by the company to have the general supervision of building work to be carried out under a licence.

nominee supervisor means a person who holds a nominee supervisor's licence.

nominee supervisor's licence see section 30A(1).

occupational licence means a licence or registration for an occupation that is—

- (a) issued to a person by an entity other than the commission; and
- (b) required by the person to carry out the occupation.

owner of land means—

- (a) for freehold land—the registered owner of the land under the *Land Title Act 1994*; or
- (b) for land held under a statutory lease or licence giving a right to possession of the land—the lessee or licensee.

Note—

Owner has a different meaning for part 3, division 8.

owner-builder course, for part 3, division 8, see section 43D.

owner-builder permit see section 43E.

owner-builder register, for part 8, see section 98.

owner-builder work see section 43D.

payment claim, for part 4A, see section 67A.

period of 3 years, for part 3E, see section 67AQ.

permanently excluded individual see section 58.

permitted individual, for a relevant event, means an individual who, under part 3A, is categorised as a permitted individual for the relevant event.

permittee means the holder of an owner-builder permit under this Act.

personally supervise, in relation to building work, means personally exercise control over the work by overseeing and directing the work to ensure it is—

- (a) in accordance with the plans and specifications set out in the contract for the work; and
- (b) of a standard expected of a competent holder of a contractor's licence of the relevant class.

PIN see section 34A.

principal see section 67A.

private sector entity means an entity that is not owned, either wholly or partly, by the State, the Commonwealth or a local government, or any of their authorities or agencies.

progress payment, for part 4A, see section 67A.

project management agreement means a contract under which a principal engages a project manager to provide building work services for building work carried out for the principal other than under construction management trade contracts.

project manager means a person who provides building work services for the carrying out of building work for a principal under a project management agreement.

public-private partnership means an arrangement between the following entities with the purpose of financing, designing, constructing, maintaining or operating public infrastructure—

- (a) the State, the Commonwealth or a local government, or any of their authorities or agencies;
- (b) 1 or more private sector entities.

recorded conviction, for an indictable offence, does not include a finding of guilt, or the acceptance of a plea of guilty, by a court, in relation to the offence, without recording a conviction for the offence.

rectify building work means to remedy defective building work or to complete incomplete building work.

registrar—

- (a) for part 3E—see section 67AQ; or
- (b) otherwise—means the principal registrar under the Tribunal Act.

registrar of titles, for land that is not under the *Land Title Act 1994*, means the officer responsible for keeping a register for the land.

relevant bankruptcy event see section 56AC(1)(a).

relevant company event see section 56AC(2)(b).

relevant event means a relevant bankruptcy event or a relevant company event.

relevant officer, of the commission, means—

- (a) an employee of the employing office or of another government entity performing work for the commission under a work performance arrangement; or
- (b) an officer or employee of the commission.

renewal day, for a licence, means the day the licence is due to be renewed.

residential construction work means building work classified by regulation as residential construction work.

residential unit means a part of a building designed for separate occupation as a residence.

retention amount, for part 4A, see section 67A.

review means review by the tribunal.

reviewable commercial work means tribunal work other than reviewable domestic work.

reviewable domestic work means domestic building work under the *Domestic Building Contracts Act 2000*, except that for applying section 8(8) of that Act, the definition *excluded building work* in that Act is taken not to mean anything mentioned in paragraph (b), (c) or (d) of the definition.

security, for part 4A, see section 67A.

site classification means the classification of a site, or the reclassification of a site, under a standard directed to ensuring the appropriate selection or design of footings.

site supervisor means a person who holds a site supervisor's licence.

site supervisor's licence see section 30B(1) and (2).

site testing means-

- (a) field work for soil testing or site classification; or
- (b) laboratory testing of soil.

special purpose vehicle means an entity—

- (a) established for the purpose of carrying out a public-private partnership; and
- (b) declared by the Treasurer by gazette notice to be a special purpose vehicle for this Act.

speculative residential construction work means residential construction work carried out by a building contractor if—

- (a) the work is not carried out under a contract with a consumer; and
- (b) the work is carried out on land owned by the building contractor; and
- (c) the work is a single detached dwelling or a multiple dwelling of not more than 3 storeys; and
- (d) the dwelling has been sold, or is intended for sale, to another person.

spent conviction means a conviction—

- (a) for which the rehabilitation period under the *Criminal Law (Rehabilitation of Offenders) Act 1986* has expired under that Act; and
- (b) that is not revived as prescribed by section 11 of that Act.

SPER, for part 3E, see section 67AQ.

statutory insurance scheme means the insurance scheme established under part 5.

subcontract, for part 4A, see section 67A.

subcontractor, for part 7, means-

- (a) a building contractor that carries out tribunal work for another building contractor; or
- (b) a building contractor that carries out tribunal work for another person under a construction management trade contract under section 67B.

supervisory services, for building work or tribunal work, includes—

- (a) the development, implementation and management of a system for the supervision of the work; and
- (b) the coordination or management of persons undertaking the supervision of the work; and
- (c) the personal supervision of the work; and
- (d) any other supervision of building work under this Act.

term of ban see section 67AO.

term of disqualification, for part 3E, see section 67AZM.

tier 1 defective work see section 67AB(1).

trainee see the *Further Education and Training Act 2014*, schedule 1.

tribunal means QCAT.

tribunal work see sections 75 and 76.

undertake to carry out, for building work, means any of the following—

- (a) enter into a contract to carry out the work;
- (b) submit a tender to carry out the work;
- (c) make an offer to carry out the building work.

unsatisfied, in relation to a judgment debt, see section 67AT.

valuable instrument, for part 4A, see section 67A.

value of building work means an amount representing the reasonable cost to a consumer of having the work carried out by a licensed contractor on the basis that all building materials are to be supplied by the contractor (whether or not the work is in fact carried out by a licensed contractor on that basis).

variation, for part 4A, see section 67A.

work performance arrangement means an arrangement under which an employee of a government entity performs work for another government entity.

Endnotes

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2

Key

Key to abbreviations in list of	legislation and annotations
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Key		Explanation	Кеу		Explanation
AIA amd amdt ch def div exp		Acts Interpretation Act 1954 amended amendment chapter definition division expires/expired	(prev) proc prov pt pubd R[X] RA		previously proclamation provision part published Reprint No. [X] Reprints Act 1992
gaz hdg ins lap notfd num o in c om		gazette heading inserted lapsed notified numbered order in council omitted	reloc renum rep (retro) rv s sch sch		relocated renumbered repealed retrospectively revised version section schedule subdivision
orig p para prec pres prev	= = = = =	original page paragraph preceding present previous	SIA SIR SL sub unnum	= = = =	Statutory Instruments Act 1992 Statutory Instruments Regulation 2012 subordinate legislation substituted unnumbered

3 Table of reprints

A new reprint of the legislation is prepared by the Office of the Queensland Parliamentary Counsel each time a change to the legislation takes effect.

The notes column for this reprint gives details of any discretionary editorial powers under the *Reprints Act 1992* used by the Office of the Queensland Parliamentary Counsel in preparing it. Section 5(c) and (d) of the Act are not mentioned as they contain mandatory

requirements that all amendments be included and all necessary consequential amendments be incorporated, whether of punctuation, numbering or another kind. Further details of the use of any discretionary editorial power noted in the table can be obtained by contacting the Office of the Queensland Parliamentary Counsel by telephone on 3003 9601 or email legislation.gueries@oqpc.qld.gov.au.

From 29 January 2013, all Queensland reprints are dated and authorised by the Parliamentary Counsel. The previous numbering system and distinctions between printed and electronic reprints is not continued with the relevant details for historical reprints included in this table.

Reprint No.	Amendments to	Effective	Reprint date
1	1992 Act No. 68	7 December 1992	9 December 1992
2	1993 Act No. 76	14 December 1993	10 January 1994
3	1994 Act No. 20	5 August 1994	24 August 1994
3A	1995 Act No. 58	28 November 1995	20 August 1996
3B	1996 Act No. 58	5 December 1996	9 December 1996
Reprint	Amendments to	Effective	Reprint date
No.			
4	1996 Act No. 58	1 June 1997	20 October 1997
4A	1997 Act No. 70	1 December 1997	9 February 1998
5	1998 Act No. 13	30 April 1998	1 May 1998
6	1999 Act No. 43	1 October 1999	6 November 1999
7	2000 Act No. 24	1 July 2000	7 July 2000
7A	2000 Act No. 24	28 February 2002	1 March 2002
Reprint	Amendments included	Effective	Notes
No.			
7B	2002 Act No. 51	6 December 2002	
7C	2002 Act No. 53	1 January 2003	
	2002 Act No. 54	-	
7D	2003 Act No. 1	4 March 2003	
7E	2003 Act No. 1	5 May 2003	
7F	2003 Act No. 1	1 July 2003	
	2003 Act No. 30	-	
7G	2003 Act No. 36	4 July 2003	
8	2003 Act No. 1	1 September 2003	
8A	2004 Act No. 6	1 October 2004	
8B	2004 Act No. 53	29 November 2004	
8C	2004 Act No. 6 (amd 2005	30 September 2005	
	Act No. 33)	-	
8D	1975 Act No. 11 (amd 2006	1 September 2006	
	Act No. 36)	-	
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8E	2007 Act No. 20	23 April 2007	
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9A	2009 Act No. 9	1 July 2009	
0D			
9B	2009 Act No. 25	2 November 2009	

10 rv	2009 Act No. 24 2009 Act No. 48	1 December 2009	Revision notice issued for R10
10A	2009 Act No. 36	18 December 2009	
10B	2010 Act No. 54	1 January 2011	
Current	as at	Amendments included	Notes
1 November 2013		2013 Act No. 51	
1 December 2013		2013 Act No. 38	
		2013 Act No. 58	
1 July 2	014	2014 Act No. 25	

4 List of legislation

Queensland Building and Construction Commission Act 1991 No. 98 (prev Queensland Building Services Authority Act 1991)

date of assent 17 December 1991

- ss 1-2 commenced on date of assent
- pt 1 (other than ss 1–2), pt 2, pt 7, div 1 and s 115 commenced 1 January 1992 (see s 2(1))
- pt 7, divs 2-6 commenced 1 June 1992 (1992 SL No. 108)

remaining provisions commenced 1 July 1992 (1992 SL No. 108)

amending legislation-

Statute Law (Miscellaneous Provisions) Act 1992 No. 36 ss 1-2 sch 1

date of assent 2 July 1992 amdts 3–14 commenced 1 July 1992 (see s 2 sch 1) remaining provisions commenced on date of assent

Statute Law (Miscellaneous Provisions) Act (No. 2) 1992 No. 68 ss 1–3 sch 1

date of assent 7 December 1992 commenced on date of assent

Statute Law (Miscellaneous Provisions) Act (No. 2) 1993 No. 76 ss 1–3 sch 1 date of assent 14 December 1993

amdts 4–9 commenced 1 July 1992 (see s 3 sch 1) remaining provisions commenced on date of assent

Land Title Act 1994 No. 11 ss 1–2, 194 sch 2

date of assent 7 March 1994 ss 1–2 commenced on date of assent remaining provisions commenced 24 April 1994 (1994 SL No. 132)

Queensland Building Services Authority Amendment Act 1994 No. 20

date of assent 10 May 1994 ss 1–2 commenced on date of assent ss 4(1), (2) (ins of def *licensed builder*), 7–8, 13, 19–21, 23–24, 34, 3 sch amdts 3, 15 (ins of new cl 4) commenced 5 August 1994 (1994 SL No. 286) remaining provisions commenced 20 May 1994 (1994 SL No. 156)

Statute Law (Miscellaneous Provisions) Act (No. 2) 1994 No. 87 ss 1–3 sch 1 date of assent 1 December 1994 commenced on date of assent
Statute Law Revision Act (No. 2) 1995 No. 58 ss 1–2, 4 sch 1 date of assent 28 November 1995 commenced on date of assent
Public Service Act 1996 No. 37 ss 1–2, 147 sch 2 date of assent 22 October 1996 ss 1–2 commenced on date of assent remaining provisions commenced 1 December 1996 (1996 SL No. 361)
Statutory Bodies Financial Arrangements Amendment Act 1996 No. 54 ss 1–2, 9 sch date of assent 20 November 1996 ss 1–2 commenced on date of assent remaining provisions commenced 1 June 1997 (1997 SL No. 128)
Queensland Building Services Authority Amendment Act 1996 No. 58 date of assent 5 December 1996 ss 1–2 commenced on date of assent remaining provisions commenced 5 September 1996 (see s 2)
Queensland Building Services Authority Amendment Act 1997 No. 70 date of assent 1 December 1997 commenced on date of assent
Building and Integrated Planning Amendment Act 1998 No. 13 ss 1, 2(3) pt 8 date of assent 23 March 1998 ss 1–2 commenced on date of assent ss 185, 188 commenced 30 March 1998 (1998 SL No. 55) remaining provisions commenced 30 April 1998 (1998 SL No. 55)
Queensland Building Services Authority Amendment Act 1999 No. 43 date of assent 2 September 1999 ss 1–2 commenced on date of assent ss 3, 4(2), 5 (other than to the extent it ins the new s 4C), 6–37, 38(1)–(3) commenced 1 October 1999 (1999 SL No. 226) remaining provisions commenced 1 July 2000 (2000 SL No. 7)
Domestic Building Contracts Act 2000 No. 9 ss 1–2, 104 sch 1 date of assent 20 April 2000 ss 1–2 commenced on date of assent remaining provisions commenced 1 July 2000 (2000 SL No. 156)
Queensland Building Tribunal Act 2000 No. 10 ss 1–2, 183 sch 1 date of assent 20 April 2000 ss 1–2 commenced on date of assent remaining provisions commenced 1 July 2000 (2000 SL No. 157)
Mental Health Act 2000 No. 16 ss 1–2, 590 sch 1 pt 2 date of assent 8 June 2000 ss 1–2, 590 commenced on date of assent (see s 2(1))

remaining provisions commenced 28 February 2002 (2002 SL No. 27)
GST and Related Matters Act 2000 No. 20 ss 1, 2(2), (4), 29 sch 3 date of assent 23 June 2000 ss 1–2 commenced on date of assent remaining provisions commenced 1 July 2000 (see s 2(2), (4) and 2000 SL No. 7)
Equity and Fair Trading (Miscellaneous Provisions) Act 2000 No. 24 pts 1, 11 date of assent 27 June 2000 commenced on date of assent
Tribunals Provisions Amendment Act 2002 No. 51 ss 1–2, 101 sch date of assent 24 September 2002 ss 1–2 commenced on date of assent remaining provisions commenced 6 December 2002 (2002 SL No. 325)
Architects Act 2002 No. 53 ss 1, 2(2), 166 sch 1 date of assent 1 November 2002 ss 1–2 commenced on date of assent remaining provisions commenced 1 January 2003 (see s 2(2))
Professional Engineers Act 2002 No. 54 ss 1, 2(2), 166 sch 1 date of assent 1 November 2002 ss 1–2 commenced on date of assent remaining provisions commenced 1 January 2003 (see s 2(2))
Queensland Building Services Authority and Other Legislation Amendment Act 2003 No. 1 pts 1–2 date of assent 4 March 2003 ss 1–3, 41 and 43 commenced on date of assent (see s 2) s 6 commenced 5 May 2003 (2003 SL No. 78) ss 16, 23, 35 commenced 1 September 2003 (2003 SL No. 184) remaining provisions commenced 1 July 2003 (2003 SL No. 78)
Commercial and Consumer Tribunal Act 2003 No. 30 ss 1–2, 169 sch 1 date of assent 23 May 2003 ss 1–2 commenced on date of assent remaining provisions commenced 1 July 2003 (see s 2)
Residential Tenancies and Other Legislation Amendment Act 2003 No. 36 pts 1, 4 date of assent 2 June 2003 ss 1–2 commenced on date of assent remaining provisions commenced 4 July 2003 (2003 SL No. 157)
 Building and Construction Industry Payments Act 2004 No. 6 ss 1–2, 113 sch 1 (this Act is amended, see amending legislation below) date of assent 20 May 2004 ss 1–2 commenced on date of assent s 113 commenced 1 July 2004 (2004 SL No. 91) sch 1 amdts 1, 3 (to the extent it om def <i>building contract</i>), 4 (to the extent it ins def <i>building contract</i>), 5 commenced 30 September 2005 (2005 SL No. 238) remaining provisions commenced 1 October 2004 (see s 2)

amending legislation-

Housing and Other Acts Amendment Act 2005 No. 33 s 1, pt 3 (amends 2004 No. 6 above)

date of assent 18 August 2005 commenced on date of assent

Statute Law (Miscellaneous Provisions) Act 2004 No. 53

date of assent 29 November 2004 commenced on date of assent

Building Act 1975 No. 11 s 283(3)(e) (prev s 69(3)(e)) (this Act is amended, see amending legislation below)

amending legislation—

Building and Other Legislation Amendment Act 2006 No. 36 ss 1–2, 69 (amends 1975 No. 11 above)

date of assent 10 August 2006 ss 1–2 commenced on date of assent remaining provision commenced 1 September 2006 (2006 SL No. 226)

Building and Other Legislation Amendment Act 2006 No. 36 pt 1, s 101 sch

date of assent 10 August 2006 ss 1–2 commenced on date of assent remaining provisions commenced 1 September 2006 (2006 SL No. 226)

Statutory Bodies Legislation Amendment Act 2007 No. 20 pts 1, 6

date of assent 23 April 2007 commenced on date of assent

Queensland Building Services Authority and Other Legislation Amendment Act 2007 No. 47 pts 1–2

date of assent 25 October 2007

ss 1-2 commenced on date of assent

ss 6 (to the extent it ins s 30C), 8 (to the extent it ins s 32AB), 14(2)–(4), 14(5) (to the extent it ins s 42(8B)(c)), 15 (to the extent it ins ss 42C–42D), 16 (to the extent it ins s 43(1)(b)(iii), (2)(b)(iii), s 43B(2)(b)(iii), (3)(b)(iii)), 31 (to the extent it ins s 56AB(b)), 33 (to the extent it ins s 57(b)), 34 (to the extent it ins s 62(b)), 37 (to the extent it ins s 67AV(b)), 81 (to the extent it ins sch 1 pt 8 ss 33–34), 82(2) (to the extent it ins def *fire protection occupational licence*), 82(2) (to the extent it ins paras (c)–(f) of def *fire protection work*), 82(10) commenced 1 January 2009 (automatic commencement under AIA s 15DA(2)) (2008 SL No. 346 s 2))

remaining provisions commenced 21 December 2007 (2007 SL No. 330)

Financial Accountability Act 2009 No. 9 ss 1, 2(2), 136 sch 1

date of assent 28 May 2009 ss 1–2 commenced on date of assent remaining provisions commenced 1 July 2009 (2009 SL No. 80)

Queensland Civil and Administrative Tribunal (Jurisdiction Provisions) Amendment Act 2009 No. 24 ss 1–2, ch 12 pt 5

date of assent 26 June 2009 ss 1–2 commenced on date of assent remaining provisions commenced 1 December 2009 (2009 SL No. 252)
Criminal Code and Other Legislation (Misconduct, Breaches of Discipline and Public Sector Ethics) Amendment Act 2009 No. 25 pt 1, s 83 sch date of assent 11 August 2009 ss 1–2 commenced on date of assent remaining provisions commenced 2 November 2009 (2009 SL No. 241)
Sustainable Planning Act 2009 No. 36 ss 1–2, 872 sch 2 date of assent 22 September 2009 ss 1–2 commenced on date of assent remaining provisions commenced 18 December 2009 (2009 SL No. 281)
State Penalties Enforcement and Other Legislation Amendment Act 2009 No. 48 ss 1, 2(5), ch 4 pt 19 date of assent 19 November 2009 ss 1–2 commenced on date of assent remaining provisions commenced 1 December 2009 immediately after the commencement of the Queensland Civil and Administrative Tribunal Act 2009 No. 23 ch 7 (see s 2(5) and 2009 SL No. 251)
Fair Trading (Australian Consumer Law) Amendment Act 2010 No. 54 ss 1–2, 67 sch date of assent 1 December 2010 ss 1–2 commenced on date of assent remaining provisions commenced 1 January 2011 (2010 SL No. 359)
Queensland Building Services Authority Amendment Act 2013 No. 38 pts 1–2, s 14 sch 1 date of assent 29 August 2013 ss 1–2 commenced on date of assent remaining provisions commenced 1 December 2013 (2013 SL No. 240)
Directors' Liability Reform Amendment Act 2013 No. 51 ss 1–2(1), pt 56, s 229 sch 1 date of assent 29 October 2013 ss 1–2 commenced on date of assent remaining provisions commenced 1 November 2013 (see s 2(1))
Residential Tenancies and Rooming Accommodation and Other Legislation Amendment Act 2013 No. 58 ss 1–2, pt 3 date of assent 7 November 2013 ss 1–2 commenced on date of assent remaining provisions commenced 1 December 2013 (2013 SL No. 241)
Criminal Law (Criminal Organisations Disruption) and Other Legislation Amendment Act 2013 No. 64 ss 1, 2(1), pt 14 date of assent 27 November 2013 ss 1–2 commenced on date of assent remaining provisions <u>commence 1 July 2015</u> (see 2014 SL No. 90 and 2014 SL No. 91)

Further Education and Training Act 2014 No. 25 ss 1-2, 223 sch 1 pt 2 date of assent 21 May 2014 ss 1-2 commenced on date of assent remaining provisions commenced 1 July 2014 (2014 SL No. 102) 5 List of annotations Short title amd 2013 No. 38 s 4 s 1 Commencement om 1998 No. 13 s 186 s 2 **Objects of Act** s 3 amd 2000 No. 10 s 183 sch 1 Definitions s 4 amd 1999 No. 43 s 4(1) Note-s 4 contained definitions for this Act. Definitions are now located in sch 2-Dictionary. Note in text ins 2003 No. 1 s 4 s 4AA om 2007 No. 47 s 4 Value of building work carried out in stages prov hdg ins 1994 No. 20 s 3 sch s 4A (prev s 4(2)) renum 1994 No. 20 s 3 sch Act binds all persons ins 1999 No. 43 s 5 s 4B Certain building contractors not bound s 4C ins 1999 No. 43 s 5 sub 2003 No. 1 s 5 PART 2—QUEENSLAND BUILDING AND CONSTRUCTION COMMISSION sub 2013 No. 38 s 14 sch 1 pt hdg **Division 1—Establishment, functions and powers** div hdg sub 2013 No. 38 s 5 Establishment and status s 5 sub 2013 No. 38 s 5 Constitution amd 1994 No. 20 s 5 s 6 sub 2013 No. 38 s 5 Functions s 7 sub 2013 No. 38 s 5

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def trainee ins 2007 No. 47 s 82(2)
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